

# **NEW MEXICO**

# Abandoned Mine Lands

Project Manual Including Plans and Specifications For Construction of

LAKE VALLEY MINE SAFEGUARD PROJECT - PHASE II

Lake Valley, New Mexico

PROJECT NO. EMNRD-MMD-2007-01

**AUTHORIZED BY:** 

ABANDONED MINE LAND PROGRAM
MINING and MINERALS DIVISION
ENERGY, MINERALS AND NATURAL RESOURCES DEPARTMENT
STATE OF NEW MEXICO

(with reclamation fees paid by the New Mexico Coal Industry)

FEBRUARY 2007



PROJECT NAME:	Lake Valley Mine Safeguard Project – Phase II
TROJECT WHAL.	Lake Valley Wille Saleguard Hoject – Hase H
LOCATION:	Lake Valley, New Mexico
PROJECT NUMBER:	EMNRD-MMD-2007-01
ENGINEER OF RECORD:	John A. Kretzmann, P.E. Mining and Minerals Division 1220 South St. Francis Drive Santa Fe, New Mexico 87505 Telephone 505.476.3423
supervision and direction of the	contained in the specifications were prepared under the undersigned, whose seal as a Professional Engineer (P.E.), of New Mexico, is affixed below.
John A. Kretzmann, P.E.	7931 License No.
Authorized Representative/Title Energy, Minerals and Natural Resources Department	Date
	Bill Dichardson, Covernor

00002 - CERTIFICATE PAGE

#### Bill Richardson, Governor

All questions about the meaning or intent of these documents shall be submitted only to the Engineer of Record, stated above, in writing. Refer to Section 00120 - Supplementary Instructions to Bidders as to interpretations.

# 00003 - TABLE OF CONTENTS

Section - 7	itle itle	PAGE
00001 - TIT	E PAGE	i
00002 - CER	PIFICATION PAGE	ii
	LE OF CONTENTS	iii
	OF DRAWINGS, TABLES, AND SCHEDULES	ix
	QUIREMENTS, CONTRACT FORMS, AND CONDITIONS OF THE CONTRACT	<u> </u>
	- Pre Bid Information	1
	TATION TO BID	<u>1</u>
	RUCTIONS TO BIDDERS	5
00120 - SUP I.	LEMENTARY INSTRUCTIONS TO BIDDERS Information	6 6
 II		6
		6
Γ.		6
V	Supplements	7
00125	- Bid Assurances	8
I.	General	8
 II	Confidentiality	9
II	. Inspection	9
I	. Samples	9
V	Cancellation	9
00130 - MA	DATORY PRE-BID CONFERENCE	9
00300 - BID	Forms	11
	LEMENTS TO BID FORMS	21
00410	- Bid Security Form	21
00420	- Bidder's Qualification Forms	22
I.	Experience	22
П	References	22
00430	- Subcontractors List	23
00450	– Equipment List	23
00500 - AGI	EEMENT FORMS	25
I.	Scope of Work	25
II	Compensation	25
II	. Term	26
I.	Termination	26
V	Status of the Contractor	28
V	. Assignment	28
V	II. Subcontracting	29
V	III. Records and Audit	29
	. Appropriations	29
X		29

Section	– Title		PAGE
	XI.	Confidentiality	30
	XII.	Amendment	30
	XIII.	Scope of Agreement	30
	XIV.	Civil and Criminal Liability Notice	30
	XV.	Equal Opportunity Compliance	30
	XVI.	Applicable Law	30
	XVII.	Waiver	31
	XVIII.	Notices	31
	XIX.	Indemnification	31
	XX.	Duty to Insure	32
	XXI.	Disputes	32
	XXII.	Arbitration	33
	XXIII.	Suspension of Work	33
	XXIV.	Compliance with Minimum Wage Rate Decision	33
	XXV.	Required Bond for Public Works Contractor	33
	XXVI.	Liquidated Damage	34
00600 -		ND CERTIFICATES	39
		formance Bonds	39
00	0620 – Pay	ment Bonds	41
00650 -	CERTIFIC	ATE OF INSURANCE	43
		CONDITIONS	45
00	0704 – Dif	fering Site Conditions	45
00	0713 – Wa	rranty and Guarantee	45
00	0720 – Dut	ies, Responsibilities and Limitations of Authority of the Project Manager	46
00800 -	SUPPLEMI	ENTARY CONDITIONS	51
00	0820 – Ado	litional Articles	51
		RY REQUIREMENTS	51
		ATION REGARDING LOBBYING	53
		T/VIOLATOR SYSTEM INFORMATION	57
		TERMINATION SCHEDULE ION FOR PAYMENT	61
00200-	ATTLICAT	IONFORTATMENT	
SPECI	FICATI	ON	
		GENERAL REQUIREMENTS	1-1
		OF WORK	1-1
		OF PROJECT AND CONSTRUCTION ACCESS	1-2
		CE AREAS FOR PRESERVATION OF CULTURAL AND BIOLOGICAL	1-2
01015 –	CONTRAC	TOR'S USE OF THE PREMISES	1-3
		MENT AND PAYMENT	1-3
		IONS FOR PAYMENT	1-4
01028 -	PRICES		1-4

Section – Title	PAGE
I. Lump Sum Prices	1-4
II. Unit Prices	1-5
01030 - Alternates	1-7
01035 – Modification Procedures	1-7
01036 - CHANGE ORDER PROCEDURES	1-8
01040 - COORDINATION	1-8 1-8
01041 – Project Coordination 01042 – Mechanical and Electrical Coordination	1-8
01043 – Job Site Administration	1-9
01050 – FIELD ENGINEERING 01060 – REGULATORY REQUIREMENTS	1-9 1-9
01000 - REFERENCES	1-10
01092 – Abbreviations	1-10
01094 – Definitions	1-10
01100 - SPECIAL PROJECT PROCEDURES	1-12
01135 – Hazardous and Confined Area Procedures	1-12
I. Bad Air	1-13
II. Adit Cave-ins	1-13
III. Collar Cave-ins	1-13
IV. Falling	1-13
V. Loose Rock	1-14
01170 – Industrial Wastes and Toxic Substances	1-14
01200 - Project Meetings	1-14
01210 – Preconstruction Conferences	1-14
01220 – Progress Meetings	1-15
01300 - Submittals	1-15
01310 – Progress Schedules	1-15
01320 – Progress Reports	1-15
01340 – Shop Drawings, Product Data, and Samples	1-15
01380 – Construction Photographs	1-17
01400 – QUALITY CONTROL	1-17
01405 – Contract Quality Control	
01410 – Testing Laboratory Services	
01500 – CONSTRUCTION FACILITIES AND TEMPORARY CONTROLS 01505 – Mobilization	1-18 1-18
01510 – Temporary Utilities	
01516 – Temporary Sanitary Facilities  01530 – BARRIERS AND ENCLOSURES	
01530 – BARRIERS AND ENCLOSURES 01533 – Tree, Plant and Wildlife Protection	1-19 1-19
I. Tree and Plant Protection	1-19
II. Wildlife Protection	1-19
01535 – Protection of Installed Work	1-20
01333 Troccuri of instance work	1-20

SECTION - TITLE	PAGE
01540 - SECURITY	1-21
01550 - ACCESS ROADS AND PARKING AREAS	1-21
01560 - Temporary Controls	1-21
01561 – Construction Cleaning	1-21
01562 – Dust Control	1-22
01564 – Noise Control	1-22
01565 – Fire Prevention and Safety Awareness	1-22
01570 – Traffic Regulation	1-23
01572 – Flaggers	1-23
01574 – Haul Routes	1-23
01580 – Project Identification and Signs	1-23
01590 – FIELD OFFICES AND SHEDS	1-24
01600 - MATERIALS AND EQUIPMENT	1-24
01700 – CONTRACT CLOSEOUT	1-24
01701 – Contract Closeout Procedures	1-24
01702 – Final Inspection	1-24
01710 – Final Cleaning	1-24
01720 - Project Record Documents	1-25
	2.4
DIVISION 2 – SITEWORK	2-1
02050 – DEMOLITION	2-1
02070 – Selective Demolition	2-1
02100 – SITE PREPARATION 02110 – Site Cleaning	2-1 2-1
02200 – Earthwork	
02200 – EARTHWORK 02202 – Blasting	2-1 2-2
02210 – Grating	2-3
02211 – Grating 02211 – Rough Grading	2-3
02212 – Rough Grading 02212 – Decompaction	2-4
02216 – Access Road Closures	2-4
02220 – Excavating, Backfilling, and Compacting	2-5
02222 – Excavation	2-5
02223 – Backfilling of Mine Openings	
I. General	
II. Adit Backfilling	2-5
III. Shaft, Pit and Open Stope Backfilling	2-6
IV. Slow Backfill	2-6
V. Final Layer of Fill	2-7
02224 – Borrow	2-7
02229 – Compaction	2-7
02270 – Slope Protection and Erosion Control	2-8
02276 – Precast Stackable Concrete Units	2-8

Section – Title	PAGE
I. Drainage Aggregate	2-8
II. Scoria Fill	2-9
02600 - Piped utility Materials	2-9
02613 – Corrugated Metal Pipe	2-9
02800 – SITE IMPROVEMENTS	2-10
02820 – Fences	2-10
I. General	2-10
II. Wire Fence	2-10
III. Construction	2-12
02890 – Survey Caps	2-13
02900 - Landscaping	2-13
02920 - Soil Preparation/Extreme Surface Roughening	2-13
02921 – Topdressing	2-14
02930 – Grasses	2-14
02933 – Seeding	2-14
I. Seeding Time	2-15
II. Seed Species and Mixtures	2-15
III. Seeding Methods	2-15
02940 – Mulching	2-17
02955 – Salvage of Native Plants	2-17
02970 – Landscape Maintenance	2-18
02971 – Fertilizing	2-18
02990 - Submittals	2-19
DIVISION 3 – CONCRETE	3-1
03001 – General Requirements	3-1
03010 – Concrete Materials	3-1
I. Materials	3-1
03100 - CONCRETE FORMWORK	3-6
03200 – CONCRETE REINFORCEMENT	3-7
03210 – Reinforcing Steel	3-7
I. Bars	
II. Placing Reinforcing Steel	3-7
03250 – CONCRETE ACCESSORIES 03300 – CAST-IN-PLACE CONCRETE	3-7
03310 – CAST-IN-F LACE CONCRETE  03310 – Integrally Colored Cast-In-Place Concrete	3-7 3-7
03370 – Concrete Curing	3-8
03600 – GROUTS	3-8
03610 – Grout Materials	3-9
03620 – Nonshrinking Grout	3-9
03990 – SUBMITTALS	3-9

Section – Tit	'LE	PAGE
DIVISION 5 -	- METALS	5-1
05010 - METAL I		5-1
	letal Finishes	5-1
05031 – Sł	hop Coating	5-2
I.	Materials	5-2
·····	Cleaning.	5-2
III.	Galvanizing	5-2
IV.	Steel	5-2
V.	Aluminum	5-2
VI	Other Surfaces.	5-3
VII	Film Thickness.	5-3
05500 – METAL 1		5-3
	ield Erection	5-3
05530 – GRATING	G	5-5
05531 – Fa	abrication	5-5
05532 – In	stallation	5-5
05540 - EXPAND	ED METAL	5-6
05590 – SUBMITT	ΓALS	5-6
	S – SPECIAL CONSTRUCTION	13-1
	ETHANE FOAM CLOSURES	13-1
	laterials and Equipment	13-1
	laterial Safety, Handling and Transport	13-2
13055 – Ex		13-3
I.	Formwork	13-3
II.	Ventilation/Drainage Pipe and Corrugated Steel Pipe	13-4
III.	Polyurethane Foam (PUF)	13-4
IV.	Field Quality Control	13-6
V.	Backfilling	13-7
VI.	Survey Caps	13-7
VII.	Cleanup	13-7
	GINEERED STRUCTURES	13-8
	RENGTH STEEL MESH	13-8
I.	General	13-8
II.	Materials	13-8
III.	System Installation	13-10
IV.	Field Installation Supervision	13-11
13140 – TOROID	TIRE PLUGS  Materials	
I.		13-11
II.	Execution	13-12
13990 – SUBMITT	IALS	13-14
	End of Table of Contents	
	Liiu di Tabie di Collelius	

# 00004 - LIST OF DRAWINGS, TABLES, AND SCHEDULES

The following sections list the figures, statutes, and tables that are referenced in the Specifications.

### I. FIGURES

The following figures may be found as an attachment:

Figure 1:	Title Sheet
Figure 2:	Lake Valley Phase II Project Sites
Figure 3:	Site Map – Site 045 (Carolina Lode)
Figure 4:	Site Map – Site 046 (North Carolina Lode)
Figure 5:	Site Map – Site 047 (Emporia Lode)
Figure 6:	Site Map – Site 054 (Little Boy Lode)
Figure 7:	Site Map – Site 055 (Arizona Lode)
Figure 8:	Site Map – Site 056 (Silver Reef Lode)
Figure 9:	Plan - Carolina Lode West Stopes
Figure 10:	CSP Column Plug at Feature 045-003T Stope Opening
Figure 11:	Bat Gate at Feature 045-004T Stope
Figure 12:	Bat Gate Details at Feature 045-004T Stope
Figure 13:	Bat Compatible Closure at Feature 045-005Tb Shaft
Figure 14:	Bat Gate at Feature 045-026T Adit
Figure 15:	Airflow Closure at Feature 045-118S Shaft
Figure 16:	Airflow Closure Frame Detail at Feature 045-118S Shaft
Figure 17:	Steel Mesh Airflow Closure at Feature 045-119S Stope
Figure 18:	Bat Cupola at Feature 045-122S Shaft
Figure 19:	Elevation & Details - Bat Cupola at Feature 045-122S Shaft
Figure 20:	Bat Gate at Feature 045-132S Decline
Figure 21:	Airflow Closure at Feature 046-007T Adit
Figure 22:	Steel Mesh Airflow Closures at Shafts
Figure 23:	Polyurethane Foam Plug at Feature 046-040S Shaft
Figure 24:	Toroid Plug at Feature 046-052S Shaft
Figure 25:	Bat Cupola at Feature 046-115S Shaft
Figure 26:	Toroid Plug at Feature 046-124S Stope Opening
Figure 27:	Airflow Closure at Feature 046-133S Shaft
Figure 28:	Bat Compatible Closure at Feature 047-016S Shaft
Figure 29:	Bat Gate at Feature 047-016T Decline Adit
Figure 30:	Bat Gate at Feature 047-028T Adit
Figure 31:	Bat Gate at Feature 047-029OC Decline Adit

# 00004 - LIST OF DRAWINGS, TABLES, AND SCHEDULES (CONTINUE)

Figure 32: Figure 33:	Bat Gate Details at Features 047-028T and 047-029OC Adits Airflow Closure at Feature 047-034S Shaft
Figure 34:	Airflow Closure Details at Feature 047-034S Shaft
Figure 35:	Bat Gate at Feature 047-038S Decline
riguic 33.	But Gate at 1 catale 047-0305 Decime
Figure 36:	Bat Gate Detail at 48-Inch Diameter CSP
Figure 37:	Steel Mesh Closure at Feature 047-040ST Powder House
Figure 38:	Steel Mesh Airflow Closure at Feature 048-008S Shaft
Figure 39:	Hopper Grate at Feature 056-037ST Mill Structure
Figure 40:	Bat Cupola Details
Figure 41:	Latticework & Hatch Details
C	
Figure 42:	Removable Crossbar Lock Details
Figure 43:	Earth Fill Seal Shaft and Pit Closures
Figure 44:	Earth Fill Seal Adit Closure
Figure 45:	Welded Wire Fence Details
Figure 46:	Details – Waterbars for Closed Roads
Figure 47:	Dale E. Scholz – Toroid Plugs at Site 045-004T, 045-005T
Figure 48:	Dale E. Scholz – Toroid Plug at Site 045-056S
Figure 49:	Dale E. Scholz – Toroid Plug at Site 045-091S
Figure 50:	Dale E. Scholz – Toroid Plug at Site 045-114S
Figure 51:	Dale E. Scholz – Toroid Plug at Site 045-120Sa
C	
Figure 52:	Dale E. Scholz – Toroid Plug at Site 045-120Sb
Figure 53:	Dale E. Scholz – Toroid Plug at Site 045-132S
Figure 54:	Dale E. Scholz – Toroid Plug at Site 046-096S
Figure 55:	Dale E. Scholz – Toroid Plug at Site 047-024S
Figure 56:	Dale E. Scholz – Toroid Plugs at Site 047-029OC, 047-030OC

# II. STATUTES

The following statutes may be referenced in the text:

NMSA 1978, 13-1-28 through 199: NMSA 1978, 13- 4-1 through 30: NMSA 1978, 13- 4-31 through 43: NMSA 1978, 41-4-1 through 27: NMSA 1978, 52-1-1 through 70:	Procurement Code Public Works Contracts Subcontractors Fair Practices Act Tort Claims Act Workers' Compensation Act
NMSA 1978, 69-25B-1 through 12:	Abandoned Mine Reclamation Act
NMSA 1978, 74-13-1, et seq.:	Recycling and Illegal Dumping Act
NMSA 1978, 76-10-11 through 22:	New Mexico Seed Law
NMSA 1978, 77-16-1 through 18:	Fences

# 00004 - LIST OF DRAWINGS, TABLES, AND SCHEDULES (CONTINUE)

# 1. TABLES

The following tables are referenced in the text:

**Table I:** Seed Mix Table

**Table II:** Project Summary Table

Lake Valley Mine Safeguard Project	– Phase II	Lake Valley, New Mexico
	This page intentionally left blank.	
EMNRD-MMD-2007-01	xii	2/13/2007



#### **PURCHASING DIVISION**

P.O. Drawer 26110 SANTA FE, NEW MEXICO 87502-0110 (505) 827-0472

BIDDER:	INVITATION TO BID CONSTRUCTION CONTRACT
PROJECT: LAKE VALLEY MINE SAFEGUARD PROJECT – PHASE II LAKE VALLEY, NEW MEXICO PROJECT NO.: EMNRD-MMD-2007-01	BID NUMBER:
ARCHITECT/ENGINEER OF RECORD John A. Kretzmann	Sealed bid opening:NM STATE PURCHASING DIVISION
Telephone: (505) 476.3423 FAX: (505) 476.3402	DATE: Friday, April 27, 2007 @ 2:00 PM  Procurement Specialist: Kathy Sanchez /
OWNER: Abandoned Mine Land Program Mining and Minerals Division Energy, Minerals and Natural Resources Department State of New Mexico 1220 S. St. Francis Drive Santa Fe, New Mexico 87505	IMPORTANT: BIDS MUST BE SUBMITTED IN A SEALED ENVELOPE WITH THE BID NUMBER AND OPENING DATE CLEARLY INDICATED ON THE BOTTOM LEFT HAND SIDE OF THE FRONT OF THE ENVELOPE.
Telephone: (505) 476.3400	

SEALED BIDS WILL BE RECEIVED UNTIL THE ABOVE-SPECIFIED DATE AND LOCAL TIME, THEN PUBLICLY OPENED AT THE NEW MEXICO STATE PURCHASING DIVISION OFFICE AND READ ALOUD. HAND DELIVER BIDS TO THE STATE PURCHASING DIVISION, JOSEPH M. MONTOYA BLDG., ROOM 2016, 1100 ST. FRANCIS DR., SANTA FE, NM, 87505.

THIS BID IS SUBJECT TO THE REQUIREMENTS OF THE BIDDING DOCUMENTS AS DEFINED IN THE "INSTRUCTIONS TO BIDDERS," SECTION 00100.

THE BID PROPOSAL FORM MUST BE ACCOMPANIED BY A SURETY BOND, SUBCONTRACTOR LISTING FORM, AND DOCUMENTS SPECIFIED IN THE "INSTRUCTIONS TO BIDDERS."

#### This mailing contains three pages

#### **INVITATION TO BID page 2**

**PLEASE NOTE:** All hand-delivered bids must be received at the State Purchasing Division Office and should be submitted at the front desk, Room 2016.

Bidding Documents may be obtained at the office of the Architect/ Engineer of Record upon payment of **\$NO CHARGE (LIMIT ONE)** for each complete set. CHECKS SHOULD BE MADE PAYABLE **TO "N/A".** Incomplete sets will not be issued. The successful Bidder will receive refund of his deposit, and any unsuccessful Bidder who returns the Bidding Documents in good and complete condition within fifteen (15) days of the Bid Opening will also receive refund of this deposit. No deposits will be returned after the fifteen-day period.

#### BIDDING DOCUMENTS MAY BE REVIEWED AT THE FOLLOWING LOCATIONS:

ARCHITECT/ENGINEER OF RECORD 1220 S. ST. FRANCIS DRIVE SANTA FE, NM 87505 (505) 476.3430 JOHN.KRETZMANN@STATE.NM.US CONSTRUCTION NEWS SERVICE 3630 SINTON ROAD, SUITE 210 COLORADO SPRINGS, CO 80907 (719) 632.9292 REED CONSTRUCTION DATA 3351 CANDELARIA, NE SUITE D ALBUQUERQUE, NM 87107 (505) 881.8590

BUILDER'S NEWS AND PLAN ROOM 3435 PRINCETON DRIVE NE ALBUQUERQUE, NEW MEXICO 87107 DODGE REPORTS 1615 UNIVERSITY BOULEVARD NE ALBUQUERQUE, NEW MEXICO 87102 (505) 243-2817 THE PLAN ROOM AT SUN GLASS 602 WEST MAIN STREET FARMINGTON, NM 87401 (505) 327.9677 SUN4@DIGII.NET

(505) 884-1752

CONSTRUCTION REPORTER 1609 SECOND STREET NW P.O. BOX 6116 ALBUQUERQUE, NM 87197 (505) 243-9793 DODGE REPORTS 7500 VISCOUNT, SUITE C-40 EL PASO, TX 79925 (915) 778.5097

Bids shall be presented in the form of a total Base Bid proposal under a Lump Sum Contract plus any additive or deductive alternates that are selected by the Owner. A bid must be submitted on all bid items and alternates; segregated bids will not be accepted. Plans and specifications are available from the Architect/Engineer of record.

**NOTE:** Base Bid price shall not include state gross receipts or local options taxes. Taxes will be included in the Contracted Amount at prevailing rates as a separate item to be paid by Owner.

In submitting this bid, each Bidder must satisfy all terms and conditions of the Bidding Documents.

All work covered by this Invitation to Bid shall be in accordance with applicable state laws and, if the bid amount is sixty thousand dollars (\$60,000) or more, is subject to the minimum wage rate determination issued by the office of the Labor Commissioner for this project. If the bid amount of the contractor or any tier of subcontractor exceeds fifty thousand dollars (\$50,000), the contractor and subcontractor must comply with the registration requirements pursuant to the Public Works Minimum Wage Act.

#### **INVITATION TO BID page 3**

Bid Security in the form of a surety bond executed by a surety company authorized to do business in the State of New Mexico in the amount of  $\underline{5\%}$  of the total bid, or the equivalent in cash by means of a cashier's check or in a form satisfactory to the Owner, must accompany each bid in accordance with the Instructions to Bidders.

A 100 % Performance Bond and a 100% Payment and Materials Bond for the total contract amount, including appropriate New Mexico Gross Receipts Tax, executed by a surety company authorized to do business in the State of New Mexico shall be required from the successful Bidder prior to award of contract. A subcontractor shall provide performance and payment bonds if the subcontractor's contract (to the Contractor) for work to be performed is fifty thousand dollars (\$50,000) or more. Failure of a subcontractor to provide the required bonds shall not subject owner to any increase in cost due to approved substitution of subcontractor.

A completed Subcontractor Listing Form must accompany each bid.

The Bidding Documents contain a time for completion of the work and further impose liquidated damages for failure to complete the work within that time period.

No Bidder may withdraw his bid for **FORTY-FIVE (45) DAYS** after the actual date of the opening thereof.

The Owner intends to award this Project to the lowest responsible Bidder. The Owner reserves the right to reject any and all bids, to waive technical irregularities, and to award the contract to the Bidder whose bid it deems to be in the best interest of the Owner.

Attention of the Bidder is particularly directed to the current requirements as to Resident Contractor's Preference per NMSA 1978, Section 13-4-3. The provisions of NMSA 1978, Sections 13-4-1 through 13-4-4 are not applicable to projects receiving federal aid or when the expenditure of federal funds designated for a specific contract is involved.

Per the provisions of NMSA 1978, Section 13-4-13.1, all bids greater than \$50,000 shall be accompanied by the Bidder's proof of registration with the New Mexico Department of Labor. Additionally, in instances where Subcontractor's work is in excess of \$50,000, proof of the Subcontractor's registration with New Mexico Department of Labor shall also be included in the bid.

The Procurement Code, NMSA 1978, Sections 13-1-28 through –199, imposes civil and criminal misdemeanor penalties for its violations. In addition, New Mexico statutes impose felony penalties for bribes, gratuities and kickbacks.

#### MANDATORY PRE-BID CONFERENCE

A Mandatory Pre bid Conference will be held as follows:

DATE: Wednesday, April 18, 2007 TIME: 10:00 a.m.

LOCATION: <u>At the schoolhouse in Lake Valley, off State Highway 27 between Mile Posts 12 and 13, between Hillsboro and Nutt, NM. See Figures 1 and 2. Interested parties will tour many of the project sites from this location with AML Program Staff.</u>

PURSUANT TO INTERNAL REVENUE SERVICE REGULATIONS, VENDORS MUST FURNISH THEIR TAXPAYER IDENTIFICATION NUMBER (TIN) TO THE STATE. IF THIS NUMBER IS NOT PROVIDED, THE VENDOR MAY BE SUBJECT TO A 20% WITHHOLDING ON EACH PAYMENT. TO AVOID THIS 20% WITHHOLDING AND TO ENSURE ACCURATE TAX INFORMATION IS REPORTED TO THE INTERNAL REVENUE SERVICE AND THE STATE, PLEASE USE THIS FORM TO PROVIDE THE REQUESTED INFORMATION.

Legal Business Name:			
Address:			
Telephone Number:			
9 DIGIT TAXPAYER IDEN	TIFICATION NUM	IBER	
Social Security Number:			
Federal Employer Identific	ation Number		
Type of Business (Check One):	Corpoi Public Other (	roprietorship rship alLimited	
OTHER TAX ACCOUNT			
New Mexico CRS I	dentification Numbe	r:	
State Unemploymen	nt Tax Number:		
Under penalties of perjury, I hereby de knowledge and belief, it is true and co		mined this form and to	the best of my
Name (print or type)	Title (print or	type)	
Signature	Date	 Telephone	SPD 9-90

#### 00100 - Instructions To Bidders

Title IV of the Federal Surface Mine Control and Reclamation Act (SMCRA) of 1977, 30 U.S.C. Section 1201, et seq. provides for the reclamation of abandoned mine lands. All operators of coal mining operations subject to the provisions of the Act pay to the Secretary of the Interior Department, for deposit in the fund, a reclamation fee of thirty-five cents per ton (35¢/tn.) of coal produced by surface coal mining and fifteen cents per ton (15¢/tn.) of coal produced by underground mining. Under SMCRA, individual states acquire federal funds from the Office of Surface Mining, Reclamation, and Enforcement (OSMRE) to administer an approved state reclamation program and to implement specific reclamation projects. The New Mexico Energy, Minerals and Natural Resources Department (EMNRD) administers the Abandoned Mine Land (AML) Program within New Mexico pursuant to a state approved plan and the requirements of the New Mexico Abandoned Mine Reclamation Act, NMSA 1978, Section 69-25B-1, et seq. The supervision and coordination of work done under the AML Program are conducted by the Mining and Minerals Division (MMD) of EMNRD. Wherever the term Owner is used, it shall mean the MMD Director.

Through Construction Grant No. 23, Center No. 06GA, MMD has obtained one hundred percent (100%) federal funds for this construction project. MMD is, by this Invitation for Bids (ITB), requesting bids from responsible, qualified Bidders for the construction project in accordance with the terms of this ITB. Bidders are advised that responsive bids are invited from both profit making and nonprofit organizations. EMNRD is an affirmative action and equal opportunity employer; the disabled, minorities, veterans, and women are urged to apply.

The deadline date for receipt of bids is no later than as listed on Page 1. One (1) each of the required bid documents, with original signature, must be received and stamped in at the State Purchasing Division of the General Services Department, Room 2016, Joseph M. Montoya Building, 1100 Saint Francis Drive, Santa Fe, New Mexico 87505 (1.505.827.0472). Bids in response to this ITB will be opened publicly at the State Purchasing Division, Joseph M. Montoya Building, 1100 Saint Francis Drive, Santa Fe, New Mexico 87505. The name of each Bidder, the lump sum of each bid, and the Bidder's Contractor License Number will be announced.

The Contract Time for project completion shall be no later than three hundred sixty five (365) calendar days, including all Sundays, holidays, and non-work days, after the Contractor receives a "Notice to Proceed" via certified mail.

An abstract of the bids may be available for public inspection from the State Purchasing Division upon request. Those portions of any bid for which a Bidder has made a written request for confidentiality and for which the MMD Director has made a finding which concurs in that confidentiality shall be withheld from public inspection.

#### IMPORTANT -

BIDS MUST BE SUBMITTED IN A SEALED ENVELOPE WITH THE INVITATION TO BID NUMBER AND OPENING DATE CLEARLY INDICATED ON THE BOTTOM LEFT-HAND SIDE OF THE FRONT OF THE ENVELOPE.

#### 00120 - SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

The following shall be included with each responsive bid:

#### I. Information

A fully completed Vendor Information Form (Page 4), including the name, address, telephone number, Taxpayer Identification Numbers, and signature of the Bidder, or of an officer or employee who has the authority of the Bidder. Do not leave blanks. This signature shall signify that the matters stated or certified on the form are true and accurate to the best of the Bidder's knowledge.

#### II. <u>Bid</u>

A fully completed Bid Form (Section 00300), including the name, address, telephone number, New Mexico Contractor's License Number, Contractor and Subcontractor New Mexico Labor Enforcement Fund Registration Numbers for bids and subcontracts greater than \$50,000.00, and signature of the Bidder, or of an officer or employee who has the authority to bind the Bidder. Do not leave blanks. This signature shall signify that the matters stated or certified in the bid are true and accurate to the best of the Bidder's knowledge and that the bid was made without collusion or fraud.

#### III. Security

Bid security shall be required of Bidders for construction contracts procured by competitive sealed bid. A bid security shall be in the form of a negotiable Surety Bond (see an example in Section 00410), Cashier's Check, Certified Check, or Money Order in the amount of at least five percent (5%) of the total bid payable to the Energy, Minerals and Natural Resources Department. A letter of credit is not acceptable

#### IV. References

A list of the Bidder's general background including relevant resources, capabilities, experience, and references with telephone numbers (Section 00420). Do not leave blanks. The Bidder must have a minimum of five (5) years of related construction experience to qualify.

#### V. <u>Supplements</u>

A complete listing of all subcontractors (Section 00430), if applicable, including for each subcontractor: the work to be performed; the subcontractor's name, address, telephone number, and New Mexico Contractor License Number, if applicable; and a complete listing of pertinent equipment (Section 00450) including for each piece of equipment: the type, manufacturer, model, capacity, and condition. Do not leave blanks.

If for any reason this Invitation for Bids (ITB) requires further amendment, such amendments shall be sent via addenda to all parties recorded by the Project Engineer as having received the Bidding Documents. Each Bidder shall be required to acknowledge the receipt of any addenda on the bid form. If such addenda become necessary, they will be distributed within a reasonable time to allow the Bidders to consider the amendment in preparation of their bid.

A responsive bid to the ITB shall be submitted as a sealed bid and shall include project costs for each work task on the Bid Form (Section 00300). Prices quoted in these sealed bids shall be firm fixed prices for both lump sum and/or unit prices as listed on the Bid Form. This ITB shall become a part of the final contract agreement.

The total bid amounts as read at the Bid Opening are tentative only and subject to verification of mathematical accuracy. Such verification may result in a change to the order of the bids. The Bidder with the lowest overall total bid price will be announced as the apparent low Bidder. The apparent low Bidder's bid will be carefully evaluated to insure that it complies with the evaluation criteria listed below and the other requirements of this ITB. The bid will be awarded with reasonable promptness by written Notice of Award via certified mail to the lowest responsible Bidder. If for any reason the apparent low Bidder does not meet all of the evaluation criteria listed below or comply with all of the requirements of this ITB, the next lowest Bidder will be evaluated and awarded the contract if the evaluation criteria are met.

#### The evaluation criteria are:

- 1. possession of a valid New Mexico Contractor License appropriate for the work;
- 2. proof of registration with Labor and Industrial Division of the New Mexico
- 3. a proven record of satisfactory work performance.

This evaluation is not conducted to determine whether one Bidder's offering is superior to another Bidder's but only to determine that a Bidder's offering is acceptable as set forth in the ITB.

Each Bidder shall submit information sufficient to evaluate the bid based on documentation of the Bidder's proven ability to perform the required tasks. Failure to provide the information required to evaluate the bid shall result in rejection of the bid without further discussion.

All questions about the meaning or intent of the Bidding Documents shall be submitted to the Project Engineer in writing. Replies will be issued by Addenda mailed or delivered to all parties recorded by the Project Engineer as having received the Bidding Documents. Questions received less than ten (10) days before the date for opening of Bids will not be answered. Only questions answered by formal written Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect. Bidders or the Contractor shall promptly notify the Project Engineer of any ambiguity, inconsistency, or error which they may discover upon examination of the Bidding Documents or of the site and local conditions.

Note: Because this project is one hundred percent (100%) federally funded, the five percent (5%) New Mexico Resident Contractor's Preference will not apply.

#### 00125 - BID ASSURANCES

In addition to the requirements above, the Bidder must make, include, and agree to the following assurances as a part of the responsive bid submitted in response to this Invitation for Bids (ITB)

#### I. General

This ITB does not commit EMNRD to pay any costs incurred by any Bidder in the submission of a responsive bid, in making necessary studies and designs for the responsive bid, or in procuring or contracting for services or supplies for the preparation of the responsive bid. Issuance of this ITB does not constitute an award commitment by EMNRD. An ITB may be canceled and any or all bids may be rejected in whole or in part, when it is in the best interest of EMNRD. Technical irregularities may be waived that do not affect the contractual conditions, delivery, price, quality, or quantity of the construction, services, or items of tangible personal property that are bid. EMNRD specifically reserves the right to reject even responsible, qualified bids that make it impossible to determine the true amount of the bid, and bids that exceed EMNRD's budgeted or available funds for the project. Final approval for funding is contingent upon approval from the Department of the Interior: Office of Surface Mining - Albuquerque Field Office.

#### II. Confidentiality

It is further understood that all bids shall become a part of the official file on this matter without obligation to EMNRD and shall be made available for public inspection, unless the Bidder specifies in writing that specific portions of the bid are confidential and are to be held confidential by EMNRD in accordance with NMSA 1978, Section 71-2-8. All matter intended to be confidential shall be submitted in a sealed envelope marked "confidential" and each page of the material shall also be marked clearly with the word "confidential". EMNRD reserves the right to review information submitted as to confidentiality. For this purpose, confidential information includes, but is not limited to, matter that relates to trade secrets or which is privileged commercial or financial information that affects the competitive rights of the person, firm, or corporation that submits it.

#### III. Inspection

To assure EMNRD that the Bidder has the competence, equipment, facilities, and staff to furnish the services required under this contract, EMNRD shall be allowed to determine the adequacy of the competence, equipment, facilities, and staff of any Bidder considered for the contract award. For this purpose, if EMNRD deems it appropriate, the Bidder shall permit representatives of EMNRD to make an inspection of the Bidder's equipment and facilities.

#### IV. <u>Samples</u>

Bid samples or descriptive literature should not be submitted unless expressly requested. Regardless of any attempt by a Bidder to condition the bid, unsolicited bid samples or descriptive literature, which are submitted at the Bidder's risk, will not be examined or tested, and will not be deemed to vary any of the provisions of this ITB.

#### V. Cancellation

Failure by the successful Bidder to return the signed contract with acceptable contract bond and insurance within  $\underline{\text{ten}}$  (10) working days after receipt via certified mail of the Notice of Award shall be just cause for the cancellation of the award and the forfeiture of the proposal guaranty which shall become the property of EMNRD, not as a penalty, but in liquidation of damages sustained.

#### 00130 - Mandatory Pre-Bid Conference

Prospective Bidders are required to inspect the site where the work is to be conducted to familiarize themselves with the existing conditions that may affect the performance of the contract work. The pre-bid conference is mandatory for potential prime contractors. Failure to attend the pre-bid conference will be cause for rejection of a bid. Attendance by company

representatives will be indicated sign-in sheets kept by AML representatives. Subcontractors and suppliers are not required to attend the pre-bid conference; however, failure to inspect the site will not relieve subcontractors and suppliers from the responsibility of properly estimating the difficulty and cost of performing their portion of the work.

The mandatory pre-bid conference and site showing will be held at the project site to explain the work requirements. AML representatives will guide potential Bidders through the proposed work sites and will answer any questions. See Page 3 for date, location, and time.

Those wishing to attend are advised to be prompt.

The site showing is expected to take three to four hours. Access to some sites may require four-wheel drive vehicles (AML can transport some prospective bidders in State vehicles). On-site roads are unimproved, and narrow. Access to some sites also requires walking across scrubby, sometimes steep and rocky terrain. AML advises attendees to bring food, water, and appropriate clothing and shoes.

NOTE: NOTHING STATED AT THE PRE-BID CONFERENCE SHALL CHANGE
THIS INVITATION FOR BIDS UNLESS SUCH CHANGE IS MADE BY WRITTEN
AMENDMENT.

#### 00300 - BID FORMS

BID <u>ITEM</u>	MATERIAL OR WORK DESCRIPTION	ESTIMATED QUAN	$\overline{ ext{VTITY}}^1$	BID AMOUNT <sup>2</sup>
1.	Mobilization (Not to exceed 10% of TOTAL BASE BID)	For the lump sum of		
			Dollars (\$	)
(Writte	n Dollars and Cents)			
2.	Backfill Features 045-001T, 045-002T and 045-006T	For the lump sum of		
-			Dollars (\$	)
(Writte	n Dollars and Cents)			
3.	Backfill Features 045-055S and 045-057S	For the lump sum of		
			Dollars (\$	)
(Writte	n Dollars and Cents)			
4.	Backfill Feature 045-121S	For the lump sum of		
			Dollars (\$	)
(Writte	n Dollars and Cents		υσιαισ (ψ	
5.	Backfill Features 046-006OCa, 046-006OCb, 046-006OCc and 046-006OCd	For the lump sum of		
(Writte	n Dollars and Cents)		Dollars (\$	)
6.	Backfill Features 046-011OC and 046-011T	For the lump sum of		
Writto	n Dollars and Cents)		Dollars (\$	)
(writte	ii Donars and Cents)			
7.	Backfill Features 046-012OC, 046-013OC and 046-015OC	For the lump sum of		
			Dollars (\$	)
(Writte	n Dollars and Cents)			

EMNRD-MMD-2007-01 11 2/13/2007

<sup>&</sup>lt;sup>1</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determined.

The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

BID ITEM	MATERIAL OR WORK DESCRIPTION	ESTIMATED QUANTITY <sup>1</sup>	BID AMOUNT <sup>2</sup>
8.	Backfill Feature 046-054S	For the lump sum of	11 (6
(Written	n Dollars and Cents)	Do	ollars (\$)
9.	Backfill Features 047-019S and 047-031S	-	
(Written	n Dollars and Cents)	Do	ollars (\$)
10.	Backfill Feature 047-033S	For the lump sum of	
(Written	n Dollars and Cents)	Do	ollars (\$)
11.	Backfill Features 047-041P, 047-117S and 047-301P	For the lump sum of	
(Written	n Dollars and Cents)	Do	ollars (\$)
12.	Backfill Features 054-083S and 055-036S	-	
(Written	n Dollars and Cents)	Do	ollars (\$)
13.	Construct Corrugated Steel Pipe (CSP) Column Plug at Feature 045-003T	For the lump sum of	ollars (\$)
(Written	n Dollars and Cents)		onais (\$)
14.	Construct Bat Gate in CSP with Toroid Tire Plug at Feature 045-004T, including Backfill	For the lump sum of	
(W) ::	n Delleus and Canta	Do	ollars (\$)
(Writte	n Dollars and Cents)		
BID <u>ITEM</u>	MATERIAL OR WORK DESCRIPTION	ESTIMATED QUANTITY <sup>3</sup>	BID AMOUNT <sup>4</sup>

<sup>&</sup>lt;sup>1</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determined.

 $<sup>^2</sup>$  The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

<sup>&</sup>lt;sup>3</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determine.

<sup>4</sup> The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

Lake v	ancy wine bareguard rioject - rhase ir		Eake valley, fiew intexted
15.	Construct Toroid Tire Plug at Feature 045-005Ta	For the lump sum of	
(Writte	n Dollars and Cents)		Donars (\u00fc)
16.	Construct Bat Compatible Closure with CSP Riser and Toroid Tire Plug at Feature 045-005Tb	For the lump sum of	Dollars (\$
(Writte	n Dollars and Cents)		
17.	Construct Bat Gate in CSP at Feature 045-026T and CSP Connection at Feature 046-007T	For the lump sum of	
(Writte	n Dollars and Cents)		
18.	Construct Toroid Tire Plug at Feature 045-056S	For the lump sum of	
(Writte	en Dollars and Cents)		Donars (\$)
19.	Construct Toroid Tire Plug at Feature 045-091S	For the lump sum of	
(Writte	n Dollars and Cents)		
20.	Construct Toroid Tire Plug at Feature 045-114S	For the lump sum of	Dollars (\$)
(Writte	n Dollars and Cents)		Donars (\( \psi \)
21.	Construct Grated Airflow Closure with CSP Riser in Polyurethane Foam (PUF) Plug at Feature 045-118S	For the lump sum of	
	en Dollars and Cents)		
BID <u>ITEM</u>	MATERIAL OR WORK DESCRIPTION	ESTIMATED QUANTI	BID AMOUNT <sup>2</sup>

<sup>&</sup>lt;sup>1</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determine.
<sup>2</sup> The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

EMNRD-MMD-2007-01 13 2/13/2007

22.	Construct Toroid Tire Plug at Feature 045-120Sa	For the lump sum of		
			Dollars (\$	)
(Writte	en Dollars and Cents)			
23.	Construct Toroid Tire Plugs at Feature 045-120Sb	For the lump sum of		
(Writte	en Dollars and Cents)		Dollars (\$	)
24.	Construct Bat Cupola with Concrete Footing and Hollow Core Plug at Feature 045-122S	For the lump sum of		
			Dollars (\$	)
(Writte	en Dollars and Cents)			
25.	Construct Toroid Tire Plug, Bat Gate in CSP and PUF Plug at Feature 045-132S	For the lump sum of		
			Dollars (\$	)
(Writte	en Dollars and Cents)			
26.	Construct PUF Plug at Feature 046-040S	For the lump sum of		
			Dollars (\$	)
(Writte	en Dollars and Cents)			
27.	Construct Toroid Tire Plug at Feature 046-052S	For the lump sum of		
(XX 1	D. II		Dollars (\$	)
(Writte	en Dollars and Cents)			
28.	Construct Toroid Tire Plug at Feature 046-096S	For the lump sum of		
			Dollars (\$	)
(Writte BID	en Dollars and Cents) MATERIAL OR			
<u>ITEM</u>	WORK DESCRIPTION	ESTIMATED QUANTI	ITY <sup>1</sup>	BID AMOUNT <sup>2</sup>
29.	Construct Bat Cupola with PUF Plug, CSP Riser and Collar at Feature 046-115S	For the lump sum of		

<sup>&</sup>lt;sup>1</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determine.
<sup>2</sup> The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

EMNRD-MMD-2007-01 14 2/13/2007

(Written Dollars and Cents)

35. Backfill Designated Openings and Construct Bat Gate in CSP with Grouted Rock Bulkhead at Feature 047-028T

For the lump sum of

\_Dollars (\$\_\_\_\_\_\_)

(Written Dollars and Cents)

BID MATERIAL OR

ITEM WORK DESCRIPTION

ESTIMATED QUANTITY<sup>1</sup>

BID AMOUNT<sup>2</sup>

36. Construct Bat Gate in CSP with Toroid Tire Plug at Feature 047-029OC

For the lump sum of

Dollars (\$\_\_\_\_\_)

EMNRD-MMD-2007-01 15 2/13/2007

<sup>&</sup>lt;sup>1</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determine.

The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

(Writter	n Dollars and Cents)		
37.	Construct Toroid Tire Plug at Feature 047-030S	For the lump sum of	Dollars (\$
(Writter	n Dollars and Cents)		Donats (\( \psi
38.	Construct Airflow Closure with Double PUF Plugs, CSP Riser and Collar at Feature 047-034S	For the lump sum of	Dollars (\$
(Writter	Dollars and Cents)		Donats (\( \psi
39.	Construct Bat Gate in CSP with Concrete Plug at Feature 047-038S	For the lump sum of	
ONI :	D. II		Dollars (\$)
(Writter	n Dollars and Cents)		
40.	Construct Steel Grate at Hopper Opening at Feature 056-037ST	For the lump sum of	
(Writter	n Dollars and Cents)		Dollars (\$)
41.	Welded Wire Fencing, Complete in Place	For the unit price of	
	Dollars	s per Linear Foot (\$	)
(Writter	n Dollars and Cents)		PER LF
		X 150 Linear Feet =	
(Writter	Dollars and Cents)		

BID <u>ITEM</u>	MATERIAL OR WORK DESCRIPTION		ESTIMATED QUANTI	<u>TY</u> <sup>1</sup>	BID AMOUNT <sup>2</sup>
42.	Polyurethane Foam, Complete in Pla	ace	For the unit price of		
(Writte	n Dollars and Cents)	Dollars	s per Cubic Yard (\$	PER CY	)
			X 135 Cubic Yards =		
(XII : iii	D.II. 10 (1)			Dollars (\$	)
(Writte	n Dollars and Cents)				
43.	High-Strength Steel Mesh, Complete in Place		For the unit price of		
		Dollars	per Square Foot (\$		)
(Writte	n Dollars and Cents)			PER SF	,
			X 1,850 Square Feet =		
				Dollars (\$	
(Writte	n Dollars and Cents)				
44.	Mechanical Rock Anchors Complete in Place		For the unit price of		
	_		_		
(W.:	- Dellars and Conta	Dollars	s per Each (\$	PER EA	)
(writte	n Dollars and Cents)			PER EA	
			X 15 Each =		
				Dollars (\$	)
(Writte	n Dollars and Cents)				
45.	Grout Bonded Rock and Soil Ancho Complete in Place	rs	For the unit price of		
		Dollars	s per Each (\$		)
(Writte	n Dollars and Cents)			PER EA	,
			X 30 Each =		
				Dollars (\$	)
(Writte	n Dollars and Cents)				

EMNRD-MMD-2007-01 17 2/13/2007

<sup>&</sup>lt;sup>1</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determine.
<sup>2</sup> The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

(Amounts shall be shown in both words and figures. In case of discrepancy, the amount shown in words shall govern.

(Written Dollars and Cents)

<sup>&</sup>lt;sup>1</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determine.

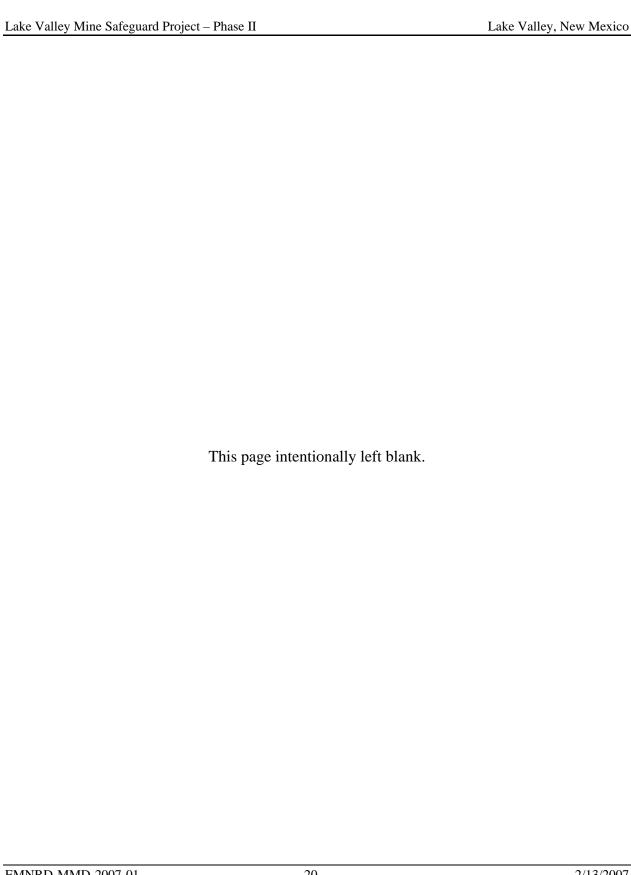
The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

<sup>&</sup>lt;sup>3</sup> The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

I agree to the assurances set out in the Invitation for Bids, all of which are incorporated in this Bid Form by reference. I certify that I have the authority to bind the Bidder. The matters stated in this bid are true and accurate to the best of the Bidder's knowledge. This bid is made without collusion or fraud.

SIGNED:		
TITLE:		
TELEPHONE NO.:		
	'S LICENSE NO:	
	FORCEMENT FUND REGISTRATION NO.:1	
SUBCONTRACTOR LABOR EN	FORCEMENT FUND REGISTRATION NO.(S	): <sup>1</sup>
I (we) do hereby acknowledge receip	pt of the following addenda to the project document	es:
Addendum No	Dated:	
Addendum No	Dated:	
Addendum No.:	Dated:	

<sup>&</sup>lt;sup>1</sup> Required for bids and subcontracts valued at more than fifty thousand dollars (\$50,000).



# 00400 - SUPPLEMENTS TO BID FORMS 00410 - Bid Security Form Bond No. KNOW ALL MEN BY THESE PRESENTS, that we (Insert full name and address or legal title of Contractor) as Principal, hereinafter called the Principal, and \_\_\_ (Insert full name and address or legal title of Surety) a corporation duly organized under the laws of the State of New Mexico as Surety, hereinafter called the Surety, are held and firmly bound unto the Energy, Minerals and Natural Resources Department, 1220 South St. Francis Drive, Santa Fe, New Mexico 87505, as Obligee, hereinafter called the Obligee, in the Dollars (\$ ), for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents. WHEREAS, the Principal has submitted a bid for the Project. **NOW, THEREFORE,** if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract or give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. Signed and sealed this day of . 2007. PRINCIPAL (Seal) WITNESS TITLE SURETY (Seal) WITNESS **TITLE**

# 00420 - Bidder's Qualification Forms

# CONTRACTOR'S QUALIFICATION STATEMENT

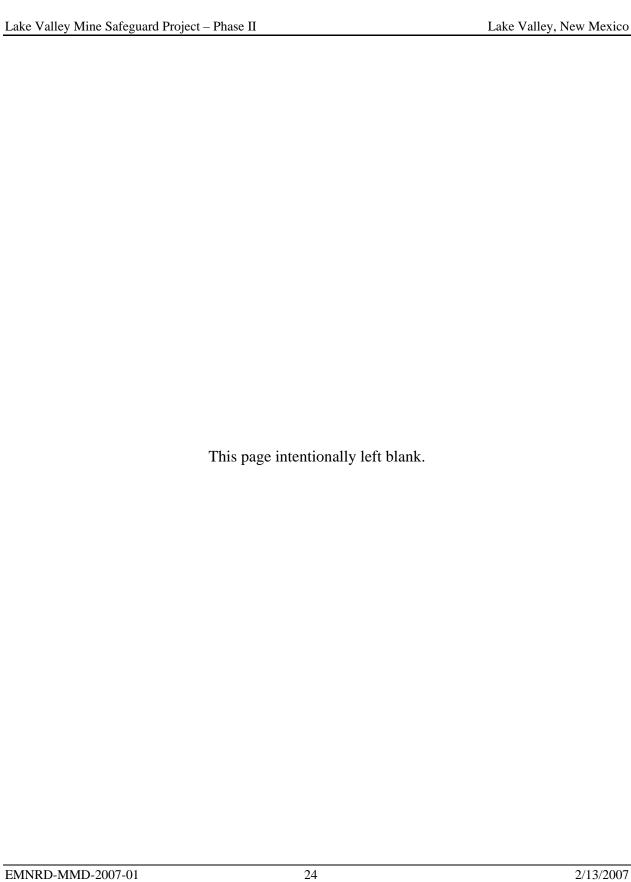
To be filled out by the Bidder and returned with the responsive Bid.

BIDDEK: _				
I.	<u>Experience</u>			
(5) years, giv	he major construction pring the name of the proj ge of the cost of the wor	ect, project owner, con	tract amount, date of	completion,
	Owner		Completion	Percentage
1				
2				
3.				
5				
II.	References			
	eferences for the above prailing address, and pho		-	-
Work	<u>Contact</u>	Firm	Address	Phone
1.				
3				
4				
5.				
~ ·				

#### LIST OF SUBCONTRACTORS AND EQUIPMENT

To be filled out by the Bidder and returned with the responsive Bid.

BIDDER:				
00430 – Subcontr	actors List			
of business of each labor or render se construction projection (\$5,000.00); and the Mexico Subcontralist only one (1) shall leave blanks. If n	on submitting a bid shaleh subcontractor under service to the Contractor ect and whose total contractors actors Fair Practices Actube contractor for each contractors, indices, material suppliers, and	subcontract to the in or about the tract will be in which will be done, NMSA 1978 attegory as definate such. The s	the Contractor who construction of the excess of five thou one by each subcoone, Section 13-4-34, and by the Contract tatute does not reconstruction.	o will perform work or e public works usand dollars ntractor under the New The Contractor shall ctor in this bid. Do not quire listings of second
Work	Firm	Address	Phone	License
.,, 0222				
00450 – Equipme	ent List			
-	rtinent equipment proponents. Do not leave bla	-	loyed on the above	e Project as required by
Туре	Manufacturer	Model	Capacity	Condition



## 00500 - AGREEMENT FORMS

Contract No.	@@-521.0600-	
Commact 110.	@ -521.0000-	

## STATE OF NEW MEVICO

ENERGY, MINERALS AND NATURAL RESOURCES DEPARTMENT
CONSTRUCTION SERVICES CONTRACT
THIS AGREEMENT is made and entered into by and between the State of New Mexico Energy, Minerals and Natural Resources Department, hereinafter referred to as "EMNRD", and, hereinafter referred to as the "Contractor".
Supervision and coordination of work under this Agreement shall be performed by and through the Director of the Mining and Minerals Division, hereinafter referred to as "MMD", of EMNRD.
IT IS MUTUALLY AGREED BETWEEN THE PARTIES:
I. <u>Scope of Work</u>
A. The Contractor shall perform the work described in the Specifications for the Lake Valley Mine Safeguard Project – Phase II, Lake Valley, New Mexico, in the Project Manual which is part of Invitation for Bids (IFB) No. EMNRD-MMD-2007-01 which, together with the Project Manual, are incorporated herein by reference. This IFB was solicited by the Purchasing Division as Invitation to Bid (ITB) No. @ @ -521-0600-@ @ @. The Project Manual Specifications, IFB, ITB, and Contractor's completed ITB are all incorporated into and made a part of this Agreement by reference. EMNRD shall have the sole authority to approve any changes to the Scope of Work and the Specifications and to approve the Contractor's final work product.
B. Upon receiving the written Notice to Proceed via certified mail, the Contractor shall mobilize to the site and commence work within ten (10) working days. Prior to commencement of work, the Contractor shall obtain all necessary permits required for this work
C. <u>BEFORE ANY WORK IS INITIATED</u> , the Contractor shall give notice to all utility companies that provide service to the contract site and inform the utility companies of the work to be performed.
II. <u>Compensation</u>
A. Subject to additions or deductions pursuant to change orders, the total amount of this construction contract is Dollars (\$), which includes the amount of the accepted bid plus the appropriate New Mexico Gross Receipts Tax.

- B. The New Mexico Gross Receipts Tax levied on the amounts payable under this Agreement shall be paid by the Contractor out of the sum set forth in Paragraph II. A. above.
- C. Payment shall be made upon receipt of a detailed and certified Application for Payment, a copy of which is included herein at Section 00900 of the Project Manual. Upon certification by EMNRD that the work has been accepted, payment shall be tendered to the Contractor within twenty-one (21) days after EMNRD receives an undisputed request for payment. EMNRD may make payment to the Contractor by first class mailing or by handdelivery of the undisputed amount of a pay request based on work completed or service provided under this contract. If payment is made by mail, the payment shall be deemed tendered on the date it is postmarked. If EMNRD fails to pay Contractor within twenty-one (21) days after receipt of an undisputed request for payment, EMNRD shall pay interest to Contractor beginning on the twenty-second day after payment is due, computed at one and one-half percent of the undisputed amount per month or fraction of a month until the payment is issued. If EMNRD receives an improperly completed invoice, it shall notify the sender of the invoice within seven (7) days of receipt in what way the invoice is improperly completed. EMNRD has no further duty to pay on the improperly completed invoice until it is resubmitted as complete. The Contractor shall comply with the Retainage Act, NMSA 1978, Section 57-28-5 (C) (2001), in making prompt payments to its subcontractors and suppliers for amounts owed for work performed relating to this contract within seven (7) days of receipt of payment from EMNRD.
- D. **Final Payment:** Ten (10) days after certification of completion, any amounts remaining due the Contractor or subcontractor under the terms of this contract shall be paid by EMNRD upon presentation of the following: a properly executed release and duly certified payment voucher; a release of all claims and claims of lien against EMNRD arising under and by virtue of this contract; and proof of completion.

## III. Term

## THIS AGREEMENT SHALL NOT BECOME EFFECTIVE UNTIL APPROVED IN WRITING BY EMNRD AND STAMPED BY THE STATE PURCHASING DIVISION.

This Agreement shall terminate on December 31, 2008, unless terminated pursuant to Paragraphs IV, IX, or XXI infra.

## IV. Termination

A. 1. EMNRD may, by written order, terminate this Agreement or any portion thereof after determining that, for reasons beyond either EMNRD's or the Contractor's control, the Contractor is prevented from proceeding with or completing the work as originally contracted for, and that termination would therefore be in the public interest. Such reasons for termination may include, but need not be limited to, executive orders of the President relating to prosecution of war or national defense, a national emergency which creates a serious shortage of

materials, orders from duly constituted authorities relating to energy conservation, and restraining orders or injunctions obtained by third-party citizen action resulting from national, state or local environmental protection laws or where the issuance of such order or injunction is primarily caused by acts or omissions of persons or agencies other than the Contractor.

2. If EMNRD orders termination of this Agreement effective on a certain date, payment will be made for the actual number of units or items of work completed at the contract unit price, or as mutually agreed for items of work partially completed.

Acceptable materials, obtained by the Contractor for the work but which have not been incorporated therein, may, at the option of EMNRD, be purchased from the Contractor at actual cost, delivered to a prescribed location, or otherwise disposed of as mutually agreed.

- 3. After receipt of notice of termination pursuant to this Section IV. A. from EMNRD, the Contractor may submit a claim for costs not covered above or elsewhere in the Specifications. Such claim may include such cost items as reasonable idle equipment time, mobilization efforts, overhead expenses attributable to the project terminated, legal and accounting charges involved in claim preparation, subcontractor costs not otherwise paid for, actual idle labor costs if work is stopped in advance of termination date, and guaranteed payments for private land usage as part of the original contract. In no event, however, will loss of anticipated profits be considered as part of any settlement.
- 4. The Contractor agrees to make all cost records available to the extent necessary to determine the validity and amount of each item claimed.
- 5. Termination of a contract or portion thereof shall not relieve the Contractor of any contractual responsibilities for the work completed, nor shall it relieve the surety of its obligation for and concerning any just claim arising out of the work performed.
  - B. 1. If the Contractor:
    - a. fails to begin the work under the contract within the time specified in the Notice to Proceed, or
    - b. fails to perform the work with sufficient skilled workers and equipment or with sufficient proper materials to assure the prompt completion of said work, or
    - c. fails to comply with laws, ordinances, rules, regulations or orders of public authority having jurisdiction, or
    - d. performs the work unsuitably or neglects or refuses to remove materials or to perform anew such work as may be rejected as unacceptable and unsuitable, or
    - e. discontinues the prosecution of the work, or
    - f. fails to resume work which has been discontinued within a reasonable time after notice to do so, or

- g. becomes insolvent or is declared bankrupt or commits any acts of bankruptcy or insolvency, or
- h. allows a final judgment, in a suit filed in connection with this contract, to stand against the Contractor unsatisfied for a period of thirty (30) working days, or
- i. makes an assignment, in connection with this contract, for the benefit of creditors, or
- j. fails to carry on the work in an acceptable manner, or
- k. otherwise has committed a substantial breach of the contract,

then EMNRD will give notice in writing to Contractor and the surety of such delay, neglect, or default, and will specify those provisions which have been violated and the corrective measures to be taken.

2. If the Contractor or surety, within a period of ten (10) working days after such notice, does not proceed in accordance therewith, then EMNRD will, upon written notification from EMNRD of the fact of such delay, neglect, or default, and of the Contractor's failure to comply with such notice, have full power and authority without violating this Agreement to take possession of the premises and of all materials thereon and finish the work by whatever method it may deem expedient. In such case, the Contractor shall not be entitled to receive any further payment until the work is finished.

## V. Status of the Contractor

The Contractor and its agents and employees are independent Contractors performing construction services for EMNRD and are not employees of the State of New Mexico. The Contractor and its agents and employees shall not accrue leave, retirement, insurance, bonding, use of state vehicles, or any other benefits afforded to employees of the State of New Mexico as a result of this Agreement.

## VI. Assignment

The Contractor shall not assign or transfer any interest in this Agreement or assign any claims for money due or to become due under this Agreement without the prior written approval of EMNRD.

## VII. Subcontracting

The Contractor shall comply fully with the provisions of the New Mexico Subcontractor's Fair Practices Act, NMSA 1978, Sections 13-4-31 through 13-4-42. The Contractor shall not subcontract any portion of the services to be performed under this Agreement or obligate itself in any manner to any third party, with respect to any rights or responsibilities under this Agreement, without the prior written approval of EMNRD.

### VIII. Records and Audit

The Contractor shall maintain for three (3) years, detailed records which indicate the date, time, and nature of services rendered. These records shall be subject to inspection by EMNRD, the Department of Finance and Administration (DFA), the State Auditor, the U.S. Department of the Interior, and the Comptroller General of the United States for three (3) years after final payment has been made and all matters relating to performance under this Agreement have been settled. The Contractor further agrees to include in all subcontracts hereunder the same right of inspection and audit against all subcontractors. EMNRD shall have the right to audit billings both before and after payment. Payment under this Agreement shall not foreclose the right of EMNRD to recover excessive, illegal, or incorrect payments. The periods of inspection and audit are for records which relate to litigation or settlement of claims arising out of performance of this Agreement for which exception is under consideration by the U.S. Department of Interior agency or any authorized representative and shall continue until all potential litigation, appeals, claims, or exceptions have expired or been resolved.

## IX. Appropriations

The terms of this Agreement are contingent upon sufficient appropriations and authorization being made by the Legislature of New Mexico, the federal Congress, and the U.S. Department of the Interior for the performance of this Agreement. If sufficient appropriations and authorization are not made, this Agreement shall terminate upon written notice being given by EMNRD to the Contractor. EMNRD's decision as to whether sufficient appropriations are available shall be accepted by the Contractor and shall be final.

### X. Release

The Contractor, upon final payment of the amount due under this Agreement, releases EMNRD, its officers and employees, and the State of New Mexico from all liabilities, claims, and obligations whatsoever arising from or under this Agreement. The Contractor agrees not to purport to bind the State of New Mexico to any obligation not assumed herein by the State of New Mexico unless the Contractor has express written authority to do so, and then only within the strict limits of that authority.

## XI. <u>Confidentiality</u>

Any confidential information provided to or developed by the Contractor in the performance of this Agreement shall be kept confidential and shall not be made available to any individual or organization by the Contractor without the prior written approval of EMNRD.

## XII. Amendment

This Agreement shall not be altered, changed, or amended except by instrument in writing executed by the parties hereto.

## XIII. Scope of Agreement

This Agreement incorporates all of the agreements, covenants, and understandings between the parties hereto concerning the subject matter hereof, and all such agreements, covenants, and understandings have been merged into this written Agreement. No prior agreements or understandings, verbal or otherwise, of the parties or their agents shall be valid or enforceable unless embodied in this Agreement.

### XIV. Civil and Criminal Liability Notice

The Procurement Code, NMSA 1978, Sections 13-1-28 through 13-1-199, imposes civil and criminal penalties for its violation. In addition, the New Mexico criminal statutes impose felony penalties for illegal bribes, gratuities, and kickbacks.

## XV. Equal Opportunity Compliance

The Contractor agrees to abide by all federal and state laws and rules and regulations, and executive orders of the Governor of the State of New Mexico, pertaining to equal employment opportunity. In accordance with all such laws and rules and regulations, the Contractor agrees to assure that no person in the United States shall, on the grounds of race, religion, color, national origin, sex, sexual preference, gender identity, age, or disability, be excluded from employment with or participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity performed under this Agreement. If the Contractor is found to be not in compliance with these requirements during the life of this Agreement, the Contractor agrees to take appropriate steps to correct those deficiencies.

## XVI. Applicable Law

This Agreement shall be governed by the laws of the State of New Mexico.

## XVII. Waiver

No waiver of any of the terms or conditions of this Agreement shall be valid or binding unless the waiver request is submitted in writing by the party making the request and then approved and signed by the party granting the waiver.

## XVIII. Notices

A. Unless EMNRD shall specify otherwise in writing, notices and all other matters concerning the work to be performed hereunder shall be addressed to EMNRD as follows:

Contract Monitor: John A. Kretzmann, P.E.

Contracting Division: Mining and Minerals Division

Energy, Minerals and Natural Resources Department

State of New Mexico

1220 South St. Francis Drive Santa Fe, New Mexico 87505

1.505.476.3400

B. Unless the Contractor shall specify otherwise in writing, notices and all other matters concerning the work to be performed hereunder shall be addressed to the Contractor as follows:

@@Contractor Company Name

@@Contractor Address

@@Contractor City & State

@@Contractor Phone Number

Any and all notices or other communications required or permitted by this Agreement or by law to be served or given to either party hereto by the other party hereto shall be in writing and shall be deemed duly served and given upon actual receipt by or three (3) working days subsequent to certified mailing to the party to whom it is directed.

## XIX. <u>Indemnification</u>

The Contractor shall indemnify and forever hold and save EMNRD, the State of New Mexico, its officers, and employees harmless against any and all suits, causes of action, claims, liabilities, damages, losses, and attorney's fees and all other expenses of any kind from any source which may arise out of this Agreement or any amendment hereto if caused by the act or omission of the Contractor, its officers, employees, servants, or agents. Nothing in this Agreement shall be construed to waive or limit any defense at law to which EMNRD is entitled.

### XX. Duty to Insure

- A. In respect solely to the work occasioned by this Agreement, the Contractor shall obtain and maintain at all times during the term of this Agreement, and any extension thereof, insurance of the kind and in the amounts herein specified. Such insurance shall be provided by insurance companies authorized to do business in New Mexico and shall name the "State of New Mexico, EMNRD, MMD, and its agents and employees thereof" as either <u>additional insured</u>, <u>coinsured</u>, or principal beneficiary.
- 1. <u>General Liability</u>. Bodily injury liability and property damage liability insurance in the following minimum amounts: five hundred thousand dollars (\$500,000.00) for damages to or destruction of property arising out of a single occurrence; one million dollars (\$1,000,000.00) to any person for any number of claims arising out of a single occurrence for all damages other than property damages, and one million dollars (\$1,000,000.00) for all claims arising out of a single occurrence.
- 2. <u>Automobile Liability</u>. Automobile liability insurance covering the ownership, operation, and maintenance of owned, non-owned, and hired vehicles, in the following amounts:

**Bodily injury liability** – \$700,000.00 each person \$1,000,000.00 each occurrence;

## **Property damage liability--** \$1,000,000.00 each occurrence.

- 3. <u>Workers' Compensation</u>. The Contractor shall comply fully with the provisions of the New Mexico Workers' Compensation Act, NMSA 1978, Sections 52-1-1 through 52-1-70.
- B. The Contractor shall furnish EMNRD with certificates of insurance and such other proof of insurance as EMNRD may require, prior to commencing work under the Contract, and shall not commence any work under this Contract until the required insurance coverage is obtained. The insurance coverage shall not be changed, canceled, or allowed to lapse without giving EMNRD thirty (30) working days prior written notice.

## XXI. Disputes

Any dispute, other than the Contractor's acts set forth in Paragraph IV.B., concerning a question of fact arising under this Agreement, not disposed of by agreement, shall, first, be decided by the Director of the Mining and Minerals Division, who shall reduce a decision to writing and furnish a signed copy to the Contractor. Such decision shall be final and conclusive unless, within thirty (30) calendar days from the date of notification by certified mail thereof, the Contractor mails or otherwise furnishes to the Division Director, a written appeal, addressed to

the Secretary of the Energy, Minerals and Natural Resources Department. The Contractor shall be afforded an opportunity to be heard and to offer evidence. The decision of the Secretary of the Energy, Minerals and Natural Resources Department or the authorized representative thereof, shall be final and conclusive, unless within thirty (30) calendar days from the date of notification of the Secretary's decision by certified mail the Contractor submits a written request to the Secretary that the dispute be submitted to binding arbitration in accordance with Paragraph XXII. Pending final decision of a dispute hereunder, and unless otherwise notified by EMNRD, the Contractor shall proceed diligently with the performance of the Agreement and in accordance with the Secretary of EMNRD.

## XXII. Arbitration

Any controversy or claim arising between the parties not resolved pursuant to Paragraph XXI shall be settled by binding arbitration pursuant to the Commercial Arbitration Rules of the American Arbitration Association and judgment on the award rendered by the arbitrator(s) may be entered in any court having jurisdiction thereof.

## XXIII. Suspension of Work

A Suspension of Work Notice may be issued by the Project Manager if any reasonable basis exists to believe that any action of the Contractor is contrary to the intent of this Agreement or if any health or safety standard is violated after verbal or written notice to cease such activities has gone unheeded. No work performed after documentation of issuance of a Suspension of Work Notice shall be eligible for payment while such notice is in effect. No work shall proceed until such notice is vacated by the MMD Director.

## XXIV. Compliance with Minimum Wage Rate Decision

This Agreement is within the scope of the Public Minimum Wage Act, NMSA 1978, Sections 13-4-11, et seq. The Minimum Wage Rate Decision No. SI-07-0187 A of the New Mexico Labor and Industrial Division (1.505.222.4669) shall be complied with by the Contractor and any subcontractors. A copy of the Decision is included at Section 00830 of the Project Manual.

## XXV. Required Bond for Public Works Contractor

This Agreement is within the scope of NMSA 1978, Sections 13-4-18 through 13-4-20. **BEFORE BEGINNING ANY WORK UNDER THIS AGREEMENT**, the Contractor shall furnish a performance bond (see example in Section 00610 of the Project Manual) and a payment bond (see example in Section 00620 of the Project Manual) both executed by the Contractor and issued by a surety authorized to do business in the State of New Mexico in an amount equal to one hundred percent (100%) of the total Agreement price. Agreement price equals bid total plus gross receipts tax. A letter of credit is not acceptable.

The performance bond shall be conditioned upon the Contractor's performance and faithful completion of this Agreement, according to the terms, in compliance with all requirements of law. The payment bond shall guarantee payments of all just claims for the labor performed and for materials and supplies furnished, whether the labor and supplies are furnished to the prime Contractor or any subcontractors. These bonds shall be in the form approved by EMNRD. The surety shall be subject to the approval of EMNRD. The decision of EMNRD shall be accepted by the Contractor as final.

## XXVI. Liquidated Damage

It is mutually agreed by the parties hereto that time for the performance of the Agreement is of the essence. Should the Contractor fail to perform the entire project within the Contract Time for project completion (see Section 00100 of the Project Manual), the Contractor agrees to the charge of Three Hundred Dollars (\$300.00) per calendar day of liquidated damages representing inconvenience and monetary damage to the general public. Damages shall commence on the calendar day following the last day for performance of work under the Agreement. The Contractor stipulates that EMNRD may withhold additional payments under the Agreement or attach the performance bond to cover the liquidated damages set forth above. Liquidated damages shall continue until notice of satisfactory completion is forwarded by the Project Manager to the Project Engineer.

Date:

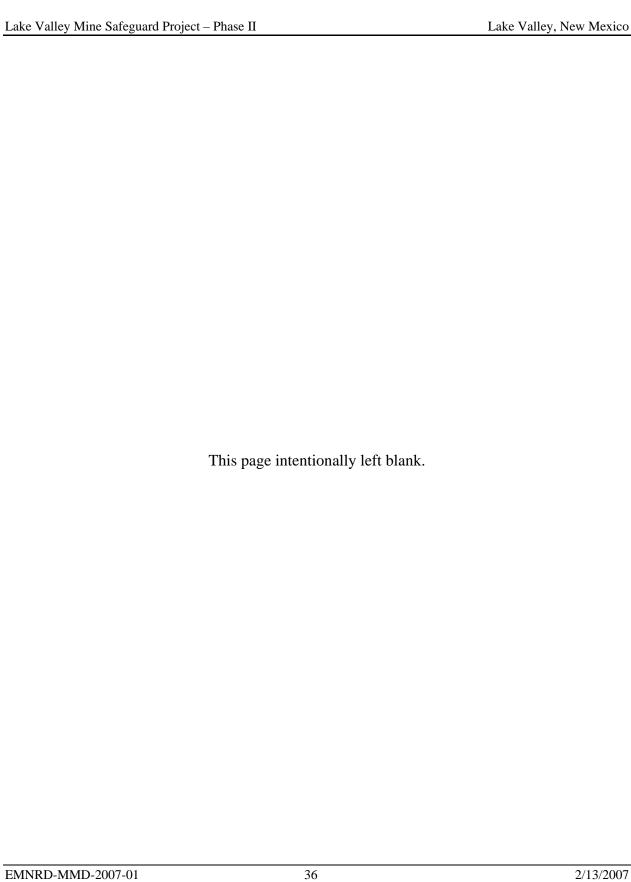
## Signatures.

**IN WITNESS WHEREOF,** the parties have executed this Agreement as of the date first written below.

FOR:
STATE OF NEW MEXICO
ENERGY, MINERALS AND NATURAL
RESOURCES DEPARTMENT

By:
Sandra Haug, ASD, Director

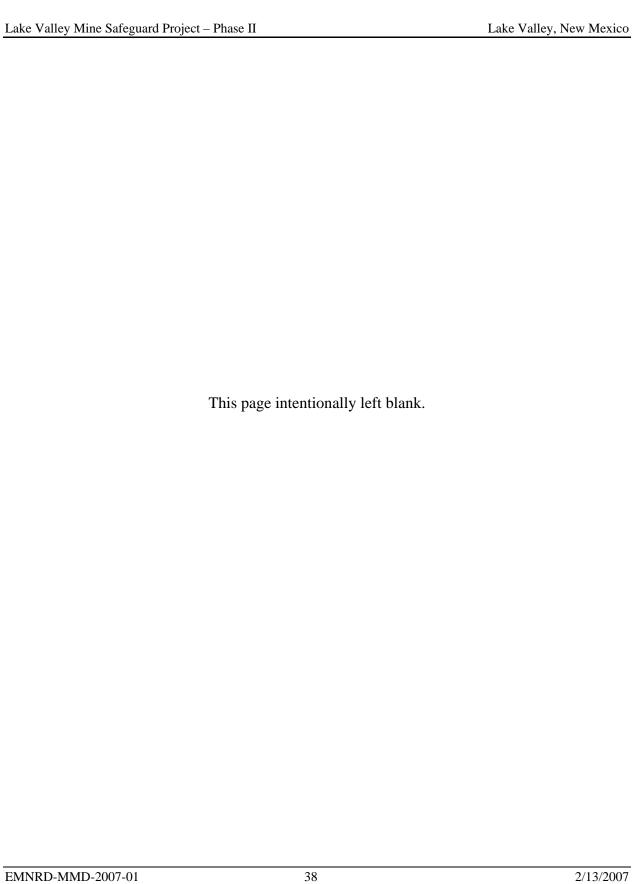
By:
Authorized Representative/Title



The records of the Taxation and Revenue Department reflect that the Contractor is registered with the Taxation and Revenue Department of the State of New Mexico to pay gross receipts and compensating taxes.

FOR: STATE OF NEW MEXICO TAXATION AND REVENUE DEPARTMENT

Contractor Name:		
NM I.D. NO.:		
Ву:		
Date:		



## 00600 - BONDS AND CERTIFICATES

00610 - Performance Bonds  Bond No
KNOW ALL MEN BY THESE PRESENTS: that
(Insert full name and address or legal title of Contractor)
as Principal, hereinafter called Contractor, and,
(Insert full name and address or legal title of Surety)
as Surety, hereinafter called Surety, are held and firmly bound unto the Energy, Minerals and
Natural Resources Department, 1220 South St. Francis Drive, Santa Fe, New Mexico 87505, as
Obligee, hereinafter called the Owner, in the amount of
Dollars (\$), for the payment whereof Contractor and Surety bind
themselves, their heirs, executors, administrators, successors, and assigns, jointly and severally,
firmly by these presents.
WHEREAS, Contractor has by written agreement dated, 2007
entered into a contract with Owner for the Lake Valley Mine Safeguard Project - Phase II,
Project No. EMNRD-MMD-2007-01, Lake Valley, New Mexico, in accordance with the
enclosed Drawings and Specifications, which contract is by reference made a part hereof, and is
hereinafter referred to as the Contract.

## **Performance Bond**

**NOW THEREFORE, THE CONDITION OF THIS OBLIGATION** is such that, if Contractor shall promptly and faithfully perform said Contract, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

The surety hereby waives notice of any alteration or extension of time made by the Owner.

Whenever Contractor shall be, and declared by Owner to be in default under the Contract, the Owner having performed Owner's obligations thereunder, the Surety may promptly remedy the default, or shall promptly

Complete the Contract in accordance with its terms and conditions, or
 Obtain a bid or bids for completing the Contract in accordance with its terms and conditions, and upon determination by Surety of the lowest responsible bidder, or, if the Owner elects, upon determination by the Owner and the Surety jointly of the lowest responsible bidder, arrange for a contract between such bidder and Owner, and make available as Work progresses (even though there should be a default or a succession of defaults under the contract or

contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the balance of the contract price; but not exceeding, including other costs and damages for which the Surety may be liable hereunder, the amount set forth in the first paragraph hereof. The term "balance of the contract price," as used in this paragraph, shall mean the total amount payable by Owner to Contractor under the Contract and any amendments thereto, less the amount properly paid by Owner to Contractor.

Any suit under this bond must be instituted before the expiration of two (2) years from the date on which final payment under the Contract falls due.

No right of action shall accrue on this bond to or for the use of any person or corporation other than the Owner named herein or the heirs, executors, administrators, or successors of the Owner.

Signed and sealed this	day of	, 2007.
	PRINCIPAL (Se	eal)
WITNESS		
	TITLE	
WITNESS	SURETY (Se	eal)
	TITLE	

00620 - Payment Bonds

Bond No.	
----------	--

THIS BOND IS ISSUED SIMULTANEOUSLY WITH PERFORMANCE BOND IN FAVOR OF THE OWNER CONDITIONED ON THE FULL AND FAITHFUL PERFORMANCE OF THE CONTRACT.

KNOW ALL MEN BY THESE PRESENTS: that
(Insert full name and address or legal title of Contractor)
as Principal, hereinafter called Contractor, and,
as Surety, hereinafter called Surety, are held and firmly bound unto the Energy, Minerals and
Natural Resources Department, 1220 South St. Francis Drive, Santa Fe, New Mexico 87505, as
Obligee, hereinafter called the Owner, in the amount of
Dollars (\$), for the payment whereof Principal and Surety bind themselves, their
heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these
presents.
WHEREAS, Principal has by written agreement dated, 2007,
entered into a contract with Owner for the Lake Valley Mine Safeguard Project - Phase II,
Project No. EMNRD-MMD-2007-01, Lake Valley, New Mexico, in accordance with the
enclosed Drawings and Specifications, which contract is by reference made a part hereof, and is
hereinafter referred to as the Contract.

## **Labor and Material Payment Bond**

**NOW THEREFORE, THE CONDITION OF THIS OBLIGATION** is such that, if Principal shall promptly make payment to all claimants as hereinafter defined, for all labor and material used or reasonably required for use in the performance of the Contract, then this obligation shall be void; otherwise it shall remain in full force and effect, subject, however, to the following conditions:

- 1. A claimant is defined as one having a direct contract with the Principal or with a Subcontractor of the Principal for labor, material, or both, used or reasonably required for use in the performance of the Contract, labor and material being construed to include that part of water, gas, power, light, heat, oil, gasoline, telephone service, or rental of equipment directly applicable to the Contract.
- 2. The above named Principal and Surety hereby jointly and severally agree with the Owner that every claimant as herein defined, who has not been paid in full before the expiration of a period of ninety (90) days after the date on which the last of such claimant's work or labor was done or performed, or materials were furnished by such claimant, may sue on this bond for the use of such claimant, prosecute the suit to final judgement for such sum or sums as may be justly due claimant, and have execution thereon. The Owner shall not be liable for the payment of any costs or expenses of any such suit.
- 3. No suit or action shall be commenced hereunder by any claimant:
- a) Unless claimant, other than one having a direct contract with the Principal, shall have given written notice to any two (2) of the following: the Principal, the Owner, or the Surety above named, within ninety (90) days after such claimant did or performed the last of the work or labor, or furnished the last of the materials for which said claim is made, stating with substantial accuracy the amount claimed and the name

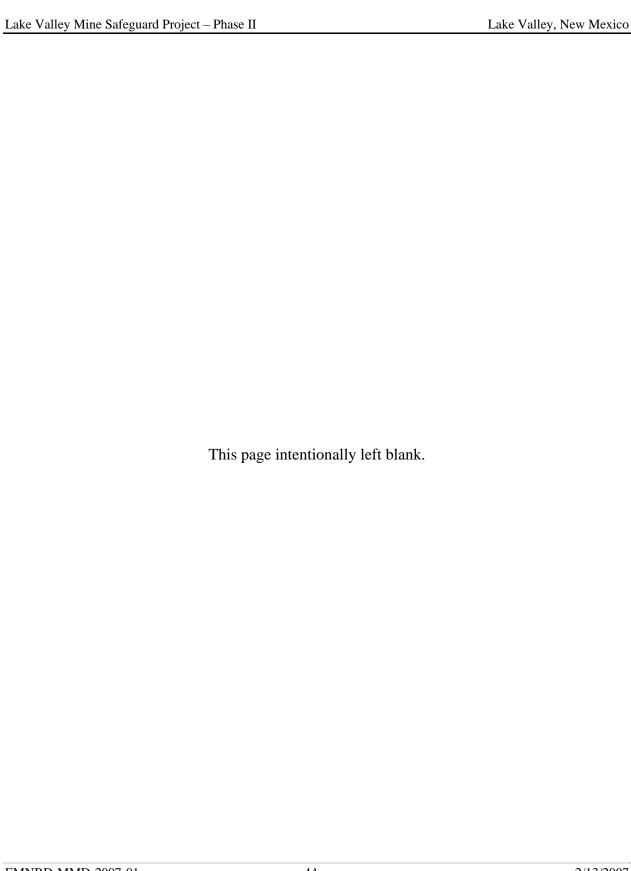
- of the party to whom the materials were furnished, or for whom the work or labor was done or performed. Such notice shall be served by mailing the same by registered mail or certified mail, postage prepaid, in an envelope addressed to the Principal, Owner, or Surety, at any place where an office is regularly maintained for the transaction of business, or served in any manner in which legal process may be served in the state in which the aforesaid project is located, save that such service need not be made by a public officer.
- b) After the expiration of one (1) year following the date on which Principal ceased Work on said Contract, it being understood, however, that if any limitation embodied in this bond is prohibited by any law controlling the construction hereof such limitation shall be deemed to be amended so as to be equal to the minimum period of limitation permitted by such law.
- c) Other than in a state court of competent jurisdiction in and for the county or other political subdivision of the state in which the Project, or any part thereof, is situated, or in the United States District Court for the district in which the Project, or any part thereof, is situated, and not elsewhere.
- 4. The amount of this bond shall be reduced by and to the extent of any payment or payments made in good faith hereunder, inclusive of the payment by Surety of mechanics' liens which may be filed of record against said improvement, whether or not claim for the amount of such lien be presented under and against this bond.

Signed and sealed this	day of	, 2007.
	PRINCIPAL	(Seal)
WITNESS	TITLE	
WITNESS	SURETY	(Seal)
WIINLOO	TITLE	

## 00650 - CERTIFICATE OF INSURANCE

Covering (Project Name and Location)  Address:    Mining and Minerals Division   Energy, Minerals and Natural Resources Department   Engly, Minerals   En	This certificate is issued as a matter of		tion only and	d confers	no righ	its upon the addressee. I	t does not amend, ex	tend, or alter the
Address:    Mining and Minerals Division   Eaergy, Minerals and Natural Resources Department   State of New Mexico   D	coverage afforded by the policies listed below.							
Address:  Mining and Minerals Division	Name and Address of Insured			COMPANIES AFFORDING COVERAGE				
Address:    Mining and Minerals Division   Energy, Minerals and Natural Resources, Department   State of New Mexico   1220 South St. Francis Drive   Sams Fe, New Mexico   87505   F	Covering (Project Name and Location	)						
Energy, Minerals and Natural Resources Department   State of New Mexico   120 South St. Francis Drive   Santa Fe, New Mexico   87505   F	Address	Dii-i		$\neg$				
State of New Mexico Santa Fe, New Mexico 87505  This is to certify that the following described policies, subject to their terms, conditions, and exclusions, have been issued to the above named insured and are in force at this time.  TIPE OF INSURANCE COPE NUMBER  TOPE OF INSURANCE COPE NUMBER  EXPRATION DATE  LIMITS OF LIABILITY IN THOUSANDS  EACH AGGREGATE OCCURRENCE  Statutory  Statutory  Statutory  Statutory  Statutory  Bodily Injury S Property Damage Combined Operations Independent Contractors Property Damage Contractual Liability Independent Contractors Operations Independent Contractors I	C		esources Depa	artment	С			
Sunta Fe, New Mexico 87505	State of New Mexico		•		D			
This is to certify that the following described policies, subject to their terms, conditions, and exclusions, have been issued to the above named insured and are in force at this time.    TYPE OF INSURANCE					Е			
TYPE OF INSURANCE   CODE   NUMBER   DATE     LIMITS OF LIABILITY IN THOUSANDS   AGGREGATE	L				F			
TYPE OF INSURANCE CODE NUMBER CODE NUMBER CODE NUMBER DATE    CODE NUMBER   DATE			olicies, subje	ect to the	ir terms	, conditions, and exclusi	ions, have been issue	d to the above
(a) Worker's Compensation (b) Employer's Liability (b) Employer's Liability (b) Employer's Liability including:    Premises - Operations   Promises - Operations   Independent Contractors   Products and Completed   Operations			POLICY	EXPIRA	ATION	LIMITS OF	LIABILITY IN THOUS	ANDS
(a) Worker's Compensation (b) Employer's Liability    Premises - Operations   Bodily Injury   \$   S		CODE	NUMBER	DA	ГЕ			AGGREGATE
(b) Employer's Liability Comprehensive General Liability including:    Premises - Operations	(a) Worker's Compensation					Statutory	OCCURRENCE	
Premises - Operations   Independent Contractors   Property Damage   S   S	(b) Employer's Liability					Statutory	\$	Each Accident
Property Damage   S   S	Comprehensive General Liability including:					Bodily Injury	\$	\$
Products and Completed Operations Broad Form Property Damage Contractual Liability  Explosion and Collapse Hazard Underground Hazard Personal Injury with Employment Exclusion Deleted Operations Hazard Operations Hazard Personal Injury with Employment Exclusion Deleted Operations Hazard Personal Injury S (Each Personal Injury)    Owned								¢
Broad Form Property Damage Contractual Liability   Explosion and Collapse Hazard Underground Hazard Personal Injury with Employment Exclusion Deleted Operations Hazard   *Applies to Products and Completed Operations Hazard   *Property Injury with Employment Exclusion Deleted Operations Hazard   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Completed Operations Coverage will be maintained for a minimum period of   *Applies to Products and Property   *Applies to Products and Property   *Applies to Products and P	☐ Products and Completed					Froperty Damage	Þ	J.
Contractual Liability						Bodily Injury and Property	\$	\$
Underground Hazard   Personal Injury with Employment Exclusion Deleted   S   (Personal Injury)	☐ Contractual Liability							
Comprehensive Automobile   Bodily Injury   S   Cach Person   S	Underground Hazard					*A 1' + D 1 + 1C	1 . 1	ф
Deleted							ompleted	\$
Liability   Geach Person   Bodily Injury (Each Accident)   Property Damage   S   Bodily Injury and Property Damage Combined   Bodily Injury and Property Damage Combined   Property Damage Combined   Dither (Specify)   Damage Combined   Property Damage Combined   Property Damage Combined   Dither (Specify)   The State of New Mexico, EMNRD, MMD, and its agents and employees thereof are either additional insured, co-insured, or principal beneficiary.  1. Products and completed Operations coverage will be maintained for a minimum period of   1   2   year(s) after final payment   Property   Yes   No   No   No   No   No   No   No   N	Deleted						1 .	(Personal Injury)
Hired   Non-Owned   Property Damage   \$   Bodily Injury and Property   \$   Bodily Injury and Property   \$   Damage Combined   Dither (Specify)   The State of New Mexico, EMNRD, MMD, and its agents and employees thereof are either additional insured, co-insured, or principal beneficiary.  1. Products and completed Operations coverage will be maintained for a minimum period of   1   2   year(s) after final payment   2. Has each of the above listed policies been endorsed to reflect the company's obligation to notify the addressee in the event of cancellation or non-renewal?   Yes   No   No   No   No   No   No   No   N							\$	
Property Damage   \$   Bodily Injury and Property   \$   Damage Combined   Damage Co	Owned						\$	
Bodily Injury and Property Damage Combined  Excess Liability Umbrella Form Other than Umbrella  Other (Specify) Bodily Injury and Property Damage Combined  The State of New Mexico, EMNRD, MMD, and its agents and employees thereof are either additional insured, co-insured, or principal beneficiary.  1. Products and completed Operations coverage will be maintained for a minimum period of 1 2 year(s) after final payment 2. Has each of the above listed policies been endorsed to reflect the company's obligation to notify the addressee in the event of cancellation or non-renewal?  Yes No  CERTIFICATION  I hereby certify that I am an authorized representative of each of the insurance companies listed above, and that the coverage's afforded under the policies listed above will not be canceled or allowed to expire unless thirty (30) days written notice has been given to the addressee of this certificate.  Name of Issuing Agency  Signature of Authorized Representative	Hired					(Each Accident)		
Damage Combined   Bodily Injury and Property   S   S	☐ Non-Owned					Property Damage	\$	
Umbrella Form Other than Umbrella  Other (Specify)  The State of New Mexico, EMNRD, MMD, and its agents and employees thereof are either additional insured, co-insured, or principal beneficiary.  1. Products and completed Operations coverage will be maintained for a minimum period of 2 year(s) after final payment 2. Has each of the above listed policies been endorsed to reflect the company's obligation to notify the addressee in the event of cancellation or non-renewal?  Yes No  CERTIFICATION  I hereby certify that I am an authorized representative of each of the insurance companies listed above, and that the coverage's afforded under the policies listed above will not be canceled or allowed to expire unless thirty (30) days written notice has been given to the addressee of this certificate.  Name of Issuing Agency  Signature of Authorized Representative							\$	
Other (Specify)  The State of New Mexico, EMNRD, MMD, and its agents and employees thereof are either additional insured, co-insured, or principal beneficiary.  1. Products and completed Operations coverage will be maintained for a minimum period of 1 2 year(s) after final payment 2. Has each of the above listed policies been endorsed to reflect the company's obligation to notify the addressee in the event of cancellation or non-renewal?  Yes No  CERTIFICATION  I hereby certify that I am an authorized representative of each of the insurance companies listed above, and that the coverage's afforded under the policies listed above will not be canceled or allowed to expire unless thirty (30) days written notice has been given to the addressee of this certificate.  Name of Issuing Agency  Signature of Authorized Representative							\$	\$
thereof are either additional insured, co-insured, or principal beneficiary.  1. Products and completed Operations coverage will be maintained for a minimum period of						Damage Combined		
1. Products and completed Operations coverage will be maintained for a minimum period of	Other (Specify)							
2. Has each of the above listed policies been endorsed to reflect the company's obligation to notify the addressee in the event of cancellation or non-renewal?    Yes								
I hereby certify that I am an authorized representative of each of the insurance companies listed above, and that the coverage's afforded under the policies listed above will not be canceled or allowed to expire unless thirty (30) days written notice has been given to the addressee of this certificate.  Name of Issuing Agency  Signature of Authorized Representative	2. Has each of the above listed policies been endorsed to reflect the company's obligation to notify the addressee in the event of cancellation or non-renewal?							
not be canceled or allowed to expire unless thirty (30) days written notice has been given to the addressee of this certificate.  Name of Issuing Agency  Signature of Authorized Representative	CERTIFICATION							
Address Date of Issue	Name of Issuing Agency Signature of Authorized Representative			epresentative				
	Address						Date of Issue	

EMNRD-MMD-2007-01 43 2/13/2007



#### 00700 - GENERAL CONDITIONS

## 00704 - Differing Site Conditions

During the progress of work, if subsurface or latent physical conditions are encountered at the site differing materially from those indicated in the contract or if unknown physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract, are encountered at the site, the party discovering such conditions shall promptly notify the other party in writing of the specific differing conditions before they are disturbed and before the affected work is performed.

Upon written notification, the Project Engineer will investigate the conditions, and if the Project Engineer determines that the conditions materially differ and cause an increase or decrease in the cost or time required for the performance of any work under the contract, an adjustment, excluding loss of anticipated profits, will be made and the contract modified in writing accordingly. The Project Engineer will notify the Contractor of the determination whether or not an adjustment of the contract is warranted.

No contract adjustment that results in a benefit to the Contractor will be allowed unless the Contractor has provided the required written notice.

No contract adjustment will be allowed under this clause for any effects caused by unchanged work.

## 00713 – Warranty and Guarantee

The Contractor shall obtain and assign to EMNRD all manufacturers' and producers' guarantees or warranties which are normally provided as customary trade practice for items and materials incorporated into the work. In the absence of a manufacturer's or producer's guarantee, the Contractor warrants that equipment and material incorporated into the work is free from any defects or imperfections in workmanship and material for a period of one year after acceptance by EMNRD. The Contractor shall promptly, without cost to EMNRD, and in accordance with EMNRD's written instructions, either correct such defective work, or, if it has been rejected by EMNRD, remove it from the site and replace it with nondefective work. If the Contractor does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, EMNRD may have the defective work removed and replaced, and all direct, indirect, and consequential costs of such removal and replacement (including but not limited to fees and charges of engineers, architects, attorneys, and other professionals) will be paid by the Contractor.

## 00720 - Duties, Responsibilities and Limitations of Authority of the Project Manager

#### A. General.

The Project Manager is EMNRD's Agent, will act as directed by and under the supervision of the Project Engineer, and will confer with the Project Engineer regarding his actions. The Project Manager's dealings in matters pertaining to the on-site work shall in general be only with the Project Engineer and the Contractor, and dealings with subcontractors shall only be through or with the full knowledge of the Contractor. Written communication with EMNRD will be through or as directed by the Project Engineer.

## B. Duties and Responsibilities.

The Project Manager will:

- 1. <u>Schedules:</u> Review the progress schedule prepared by the Contractor and consult with the Project Engineer concerning acceptability.
- 2. <u>Conferences:</u> Attend preconstruction conferences, progress meetings, job conferences as required in consultation with the Project Engineer, and other project related meetings.
- 3. <u>Liaison:</u> Serve as the Project Engineer's liaison with the Contractor, working principally through the Contractor's superintendent and assist him in understanding the intent of the Contract Documents.

## 4. Shop Drawings and Samples:

- a. Receive and record date of receipt of shop drawings and samples, receive samples that are furnished at the site by the Contractor, and notify the Project Engineer of their availability for examination.
- b. Advise the Project Engineer and the Contractor or its superintendent immediately of the commencement of any work requiring a shop drawing or sample submission if the Project Engineer has not accepted the submission.

## 5. Review of Work, Rejection of Defective Work, Inspections and Tests:

a. Conduct on-site observations of the work in progress to assist the Project Engineer in determining if the work is proceeding in accordance with the Contract Documents, and that completed work will conform to the Contract Documents.

- b. Report to the Project Engineer whenever he believes that any work is unsatisfactory, faulty or defective or does not conform to the Contract Documents, or does not meet the requirements of any inspections, tests or approvals required to be made, or has been damaged prior to final payment; and advise the Project Engineer when he believes work should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection or approval.
- c. Verify that tests, equipment and systems startups and operating and maintenance instructions are conducted as required by the Contract Documents and in presence of the required personnel, and that the Contractor maintains adequate records thereof; observe, record and report to the Project Engineer appropriate details relative to the test procedures and startups.
- d. Accompany visiting inspectors representing public or other agencies having jurisdiction over the Project, record the outcome of these inspections and report to the Project Engineer.
- 6. <u>Interpretation of Contract Documents:</u> Transmit to Contractor the Project Engineer's clarifications and interpretations of the Contract Documents.
- 7. <u>Modifications:</u> Consider and evaluate the Contractor's suggestions for modifications in drawings or Specifications and report them with recommendations to the Project Engineer.

## 8. Records:

- a. Maintain at the job site orderly files for correspondence, reports of job conferences, shop drawings and samples submissions, reproductions of original Contract Documents including all addenda, change orders, field orders, additional drawings issued subsequent to the execution of the Contract, the Project Engineer's clarifications and interpretations of the Contract Documents, progress reports, and other Project related documents.
- b. Keep a diary or log book, recording hours on the job site, weather conditions, data relative to questions of extras or deductions, list of visiting officials and representatives of manufacturers, fabricators, suppliers and distributors, daily activities, decisions, observations in general and specific observations in more detail as in the case of observing test procedures. Send copies to the Project Engineer.
- c. Record names, addresses and telephone numbers of all the Contractors, subcontractors and major suppliers of materials and equipment.

## 9. Reports:

- a. Furnish the Project Engineer periodic reports as required of progress of the work and the Contractor's compliance with the approved progress schedule and schedule of shop drawing submissions.
- b. Consult with the Project Engineer in advance of scheduled major tests, inspections or start of important phases of the work.
- c. Report immediately to the Project Engineer upon the occurrence of any accident.
- 10. <u>Payment Requisitions:</u> Review applications for payment with the Contractor for compliance with the established procedure for their submission and forward them with recommendations to the Project Engineer, noting particularly their relation to the schedule of values, work completed and materials and equipment delivered at the site but not incorporated in the work.
- 11. <u>Certificates, Maintenance and Operation manuals:</u> During the course of the work, verify that certificates, maintenance and operation manuals and other data required to be assembled and furnished by the Contractor are applicable to the items actually installed; and deliver this material to the Project Engineer for his review prior to final acceptance of the work.

### 12. Completion:

- a. Before the Contractor issues written certification to the Project Engineer that the project is complete, submit to the Contractor a pre-final list of observed items requiring completion or correction.
- b. Conduct final inspection in the company of the Project Engineer and the Contractor and prepare a final list of items to be completed or corrected.
- c. Verify that all items on final list have been completed or corrected and make recommendations to the Project Engineer concerning acceptance.

## C. Limitations of Authority.

Except upon written instructions of the Project Engineer and notification to the Contractor, the Project Manager:

1. Shall not authorize any deviation from the Contract Documents or approve any substitute materials or equipment.

- 2. Shall not exceed limitations on the Project Engineer's authority as set forth in the Contract Documents.
- 3. Shall not undertake any of the responsibilities of the Contractor, subcontractors or the Contractor's superintendent, or expedite the work.
- 4. Shall not issue directions relative to any aspect of the means, methods, techniques, sequences or procedures of construction unless such is specifically called for in the Contract Documents.
- 5. Shall not issue directions as to safety precautions and programs in connection with the work.
- 6. Shall not participate in specialized field or laboratory test, unless such is specifically called for in the Contract Documents.
- 7. Shall not receive any materials, supplies, equipment, etc. on behalf of the Contractor.

Lake Valley Mine Safeguard Project – Phase II	Lake Valley, New Mexico
This page intentionally left blank.	

#### 00800 - SUPPLEMENTARY CONDITIONS

00820 - Additional Articles

The following sections describe additional articles under this contract.

## 00824 - STATUTORY REQUIREMENTS

## New Mexico Energy, Minerals and Natural Resources Department **CERTIFICATION REGARDING DRUG-FREE WORKPLACE**

This certification is required by the Drug-Free Workplace Act of 1988 (Pub. L. 100-690, Title V, Subtitle D) and is implemented through additions to the Debarment and Suspension regulations, published in the <u>Federal Register</u> on January 31, 1989.

(Contractor) certifies to the Energy, Minerals and Natural Resources Department (EMNRD) that it will provide a drug-free workplace by:

- A. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
  - B. Establishing a drug-free awareness program to inform employees about--
    - 1. the dangers of drug abuse in the workplace;
    - 2. the Contractor's policy of maintaining a drug-free workplace;
    - 3. any available drug counseling, rehabilitation, and employee assistance programs; and
    - 4. the penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
  - C. Making it a requirement that each employee to be engaged in the performance of the contract be given a copy of the statement required by paragraph A.;
  - D. Notifying the employee in the statement required by paragraph A. that, as a condition of employment under the contract, the employee will--
    - 1. abide by the terms of the statement; and
    - 2. notify the employer of any criminal drug statute conviction for a violation occurring in the workplace not later than five (5) days after such conviction;

EMNRD-MMD-2007-01 51 2/13/2007

- E. Notifying EMNRD within ten (10) days after receiving notice under subparagraph D.2. from an employee or otherwise receiving actual notice of such conviction;
- F. Taking one of the following actions, within thirty (30) days of receiving notice under subparagraph D.2., with respect to any employee who is so convicted—
  - 1. taking appropriate personnel action against such an employee, up to and including termination; or
  - 2. requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, enforcement, or other appropriate agency;
- G. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs A., B., C., D., E., and F.

<u>Place of Performance</u>: The applicant shall insert in the space provided below the site(s) for the performance of work done in connection with the specific contract (street address, city, county, state, zip code)

<u>Lake Valley Mine Safeguard Project – Phase II, Project Number EMNRD-MMD-2007-01</u>

Sierra County

law

Lake Valley, New Mexico 88042 (zip code for nearest post office in Hillsboro, NM)

An <u>applicant who is an individual</u> certifies that, as a condition of the contract, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the contract.

This assurance is given in connection with any and all payments from the Energy, Minerals and Natural Resources Department after the date this form is signed. This includes payments after such date for contracts approved before such date. The Contractor recognizes and agrees that any such contract will be extended in reliance on the representations and agreements made in this assurance, and the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the Contractor, its successors, transferees, and assignees, and on the authorized official (or individual Contractor, as appropriate) whose signature appears below.

	<u>@</u> @-521.0600-
Organization Name	Contract Number
Name and Title of Authorized Representative	
Signature	Date

EMNRD-MMD-2007-01 52 2/13/2007

#### 00825 - CERTIFICATION REGARDING LOBBYING

## U.S. DEPARTMENT OF THE INTERIOR Certification Regarding Lobbying

This certification is required by Section 1352, title 31, U.S. code, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions."

## (BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

Certificate for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature	Date
·	

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime federal recipient, at the initiation or receipt of a covered Federal action, or a material charge to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting in influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in correction with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material charge report. Refer to the implementing guidance published by the Office of management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identity the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by the reporting entry for this covered Federal action.
- 4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1<sup>st</sup> tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organization level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for proposal (RFP) number; Invitation for Bid (ITB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal account of the award/loan commitment for the prime entity identified in item 4 or 5.
- (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
- (b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a) Enter Last Name, First Name, and Middle Initial (MI).
- (c) Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to be lobbying entity (item 101). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
- (d) Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
- (e) Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
- (f) Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s) employee(s), or Member(s) of Congress that were contacted.
- (g) Check whether or not SF-LLL-A Continuation Sheet(s) is attached.
- (h) The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the date needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget. Paperwork Reduction Project (0348-0046), Washington, D.C. 20501

EMNRD-MMD-2007-01 54 2/13/2007

## DISCLOSURE OF LOBBYING ACTIVITIES

Approved by CMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure)

0348-0046

1. TYPE OF FEDERAL ACTION  (a) Contract (b) Grant (c) Cooperative agreement (d) Loan (e) loan guarantee (f) loan insurance  4. Name and Address of Reporting Entity  Prime  Subawa	(b) initial av (c) post-awa	/application ward ard 5. <b>If reporting E</b>	3.REPORT TYPE  (a) initial fitting (b) material change  For Material Change Only: year quarter date of last report cntity in No. 4 is Subawardee, Enter dress of Prime:
Tier Congressional District, if known	, if known:	Cor	ngressional District, if known:
6. Federal Department/Agency:		7. Federal Program Name/Description:	
		CFDA Number, if	
8. Federal Action Number, if known:		9. Award Amoun	t, if known:
		\$	
10. a. Name and Address of Lobbying Entity (if individual, last name, first name, MI):		b. Individuals Performing Services (including address different from No. 10a) (last name, first name, MI):	
	h Continuation Sheet(s		
11. Amount <b>of Payment</b> (check all that appl	y):	13. Type of Paymer	nt (check all that apply):
\$ actual  12. Form of Payment (check all that apply):      (a) cash     (b) in-kind; specifiy: nature		☐ (a) Retain ☐ (b) one-ti ☐ (c) comm	
value		$\Box$ (f) other;	specify:
14. Brief Description of Services Performed Member(s) contacted, for Payment indic			· ·
,	h Continuation Sheet(s		ssary)
15. Continuation Sheet(s) SF-LLL-A attac		□ No	
16. Information requested through this form 31 U.S.C. section 1352. This disclosure of as a material representation of fact upon placed by the tier above when this transentered into. This disclosure is required information will be reported to the Congrewill be available for public inspection. At to file the required disclosure shall be subof not less than \$10,000 and not more that such failure.	of lobbying activities a which reliance was saction was made or to U.S.C, 1352. This ess semi-annually and Any person who fails ject to a civil penalty	Print Name:	
FEDERAL USE ONLY:			AUTHORIZED FOR LOCAL REPRODUCTION STANDARD FORM - LLL

# DISCLOSURE OF LOBBYING ACTIVITIES CONTINUATION SHEET

Approved by OMB 0348-0046

Reporting Entity:	Page	_ of

Authorized for Local Reproduction Standard Form - LLL-

## 00826 - APPLICANT/VIOLATOR SYSTEM INFORMATION

# REQUEST FOR AN APPLICANT/VIOLATOR SYSTEM (AVS) AML CONTRACTOR DATA EVALUATION

An AVS data evaluation for AML contractors is required under the Federal rules at 30 CFR 874.16. Please provide the information requested below and send your request via postal mail, e-mail or FAX to:

Stephanie Varvell
Office of Surface Mining
Applicant/Violator System Office
2679 Regency Road
Lexington, Kentucky 40503
Telephone: 800.643.9748 ext.463

FAX: 859.260.8418

E-mail: svarvell@osmre.gov

Date:	
Requesting Office: State of New Mexico, Abandoned Mine Land Program	
Contact: Dina Vigil, AML Administrator	
Contact's Telephone: (505) 476.3430	
Preferred response method: E-mail	
E-MAIL: Dina.Vigil@state.nm.us	
or FAX:(505) 476.3402	
(Expect your response within 4 hrs if received before 3 PM Eastern)  Non-Emergency	
(Expect your response within 4 hrs if received before 3 PM Eastern)  Non-Emergency	
(Expect your response within 4 hrs if received before 3 PM Eastern)  Non-Emergency	

Part A: General Information

OMB #1029-0119 Expiration Date: 01/31/2010

## AML CONTRACTOR INFORMATION FORM

You must complete this form for your AML contracting officer to request an AML eligibility evaluation from the Office of Surface Mining to determine if you are eligible to receive an AML contract. This eligibility requirement applies to contractors and their sub-contractors and is found under OSM's regulations at 30 CFR 874.16.

Contractor Nar	ne:	Tax P	ayer ID No.:
Address:			
City:	State:	Zip Code:	Phone:
Fax No.:	E-mail a	ddress:	
Part B: Legal S	Structure		
	n ( ) Sole Proprietors: se specify)		
•	ng and updating information following options, follow the		Violator System (AVS). Selection and sign below.
I,		_, have the express	authority to certify that:
T C	(print name)	OPTE C ANG:	
	•		accurate, complete, and up-to-
•	select this option, you must low and do not complete Pa	_	T from AVS to this form. Sign
the info	ormation in AVS for my cor	mpany must be upda VS to this form. Us	m AVS is missing or incorrect ated. If you select this option, se Part D to provide missing or lete Part D.
			f you select this option, you m late below and complete Part I
Date	Signa	ture	Title
INADADA A NA	•		
IMPORTANT			

Office, toll-free, at 800-643-9748 or request it from www.avs.osmre.gov on the Internet.

### Part D.

Contractor N	Name:	

If the current entity and Entity OFT information for your business is incomplete or incorrect in AVS, or if there is no information in AVS for your business, you must provide all of the following information as it applies to your business affiliations. Please make as many copies of this page as you require.

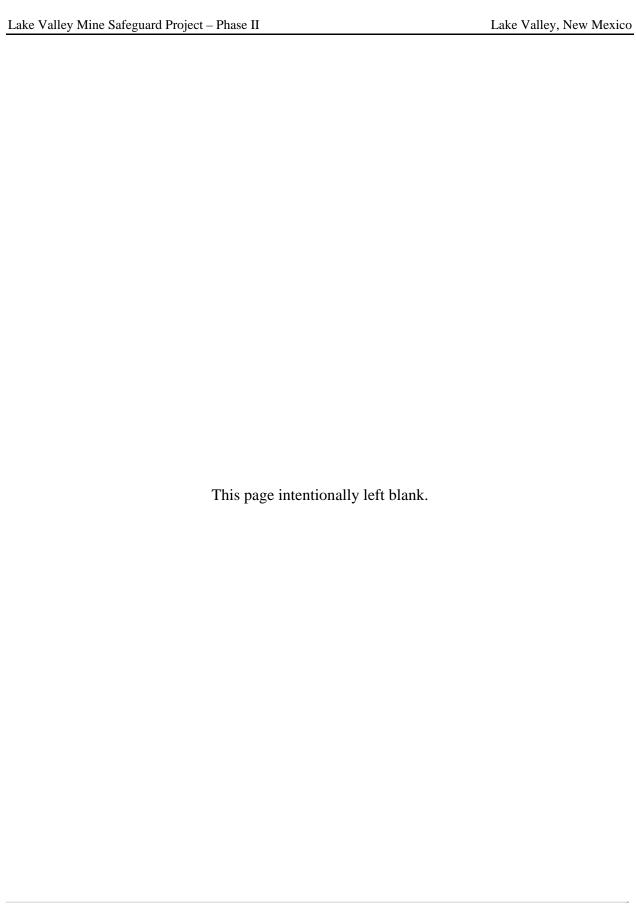
- Every officer (President, Vice President, Secretary, Treasurer, etc.);
- All Directors:
- All persons performing a function similar to a Director;
- Every person or business that owns 10% or more of the voting stock in your business;
- Every partner, if your business is a partnership;
- Every member and manager, if your business is a limited liability company; and
- Any other person(s) who has the ability to determine the manner in which the AML reclamation project is being conducted.

Name	Position/Title	
Address	Telephone #	
	0/ 60 1:	
Begin Date:	Ending Date:	
Name	Position/Title	
Address	Telephone #	
	0/ 50 1:	
Begin Date:		
Name	Position/Title	
Address	Telephone #	
<u></u>	% of Ownership	
Begin Date:		
Name	Position/Title	
Address	Telephone #	
	% of Ownership	
Begin Date:	Ending Date:	

#### PAPERWORK REDUCTION STATEMENT

The Paperwork Reduction Act of 1995 (44 U.S.C. 3501) requires us to inform you that: Federal Agencies may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. This information is necessary for all successful bidders prior to the distribution of AML funds, and is required to obtain a benefit.

Public reporting burden for this form is estimated to average 45 minutes per response, including time for reviewing instructions, gathering and maintaining data, and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of this form to the Information Collection Clearance Officer, Office of Surface Mining Reclamation and Enforcement, Room 210 SIB, Constitution Ave., NW, Washington, D.C. 20240.



### 00830 - Wage Determination Schedule

## NEW MEXICO DEPARTMENT OF LABOR LABOR & INDUSTRIAL DIVISION 501 Mountain Road NE

Albuquerque, New Mexico 87102 FAX: (505) 222-4780

#### NOTICE TO ALL PUBLIC WORKS CONTRACTORS

# PERTINENT INFORMATION IN ACCORDANCE WITH THE NM PUBLIC WORKS MINIMUM WAGE ACT

The Labor and Industrial Division insures compliance of the Public Works Minimum Wage Act (13-4-11 through 13-4-17, NMSA 78). This office issues prevailing wage rates for each project for inclusion in the bid documents. After a project contract is signed, the Notification of Award (NOA) and the Statement of Intent to Pay Prevailing Wages must be completed and sent back together to this office by the General Contractor. The NOA must also include the list of Subcontractors. A Statement of Intent to Pay Prevailing Wages is required from each construction contractor before they start work on a state or locally funded construction project costing a total of \$60,000 or more. Every contractor (general, sub, second tier, etc.) must pay those rates through weekly payment and payroll.

Wage rates include a base rate and a fringe rate of pay. In many cases, an additional cost to the contractor is an apprenticeship contribution rate per hour for both journeyman and apprentices. A monthly apprenticeship contribution compliance form and check for payment (when applicable) is required and should be sent to NMDOL, Public Works Bureau, PO Box 27428, Albuquerque, NM 87125-7428. After a contractor completes work on a project, but before his final payment, an Affidavit of Wages Paid must be completed and sent to this office – through the General Contractor.

Each employee must receive the full base and fringe rate per hour for all hours worked in their job classification, regardless of the qualifications or license held. The only exception is for workers with a current certification in approved apprenticeship programs. The apprentice must also receive the full benefit of the fringe rate. It may also be paid into approved health benefit programs, pension programs, life insurance programs, company holiday and vacation programs and/or training programs that are not apprenticeship programs (i.e.: an OSHA 10 safety program). Fringe benefits are to be paid to a third-party account and the employee must have access to and quarterly statements are to be provided to the employee. The third way of paying fringe benefits is to pay as a combination of cash into approved programs. This office will sometimes ask for a complete breakdown of all payments to insure total compliance.

The minimum wage as shown on individual wage decisions must be paid. "In addition, the contractor, subcontractor employer or any person acting as a contractor shall be liable to any affected employee for liquidated damages in the sum of one hundred dollars (\$100.00) for each calendar day on which a contractor, subcontractor, employer or any person acting as a contractor has willfully required or permitted an individual laborer or mechanic to work in violation of the provisions of the Public Works Minimum Wage Act" (13-4-14.C, NMSA 78). When questions arise about the requirements of the Act or the Public Works Minimum Wage Act Policy Manual they must be resolved as soon as possible. If you have questions, please call (505) 222-4669.

#### "AN EQUAL OPPORTUNITY EMPLOYER"

# **NEW MEXICO DEPARTMENT OF LABOR - PUBLIC WORKS BUREAU** QUESTIONS?? Call OR E-mail:

Diana Tanner @ (505) 222-4669 OR diana.tanner@state.nm.us Camille Vigil @ (505) 222-4782 OR camille.vigil@state.nm.us Michael Fanestiel @ (505) 222-4783 OR michael.fanestiel@state.nm.us

Contracting Agency/Owner	County	Decision Date	Decision No.
Mine Reclamation Bureau	Sierra	01/31107	Sl-07-0187 A
Abandoned Mine Land Program		Expires for Bids	
Type of Construction: A		12/31107	

#### Description of Work: LAKE VALLEY MINE SAFEGUARD — PHASE II

Backfilling of 24 mine openings; construction of bat gates, airflow closures, bat cupolas, polyurethane foam plugs, toroid tire plugs, a hollow core plug, a corrugated steel pipe column plug, steel mesh closures, and a steel grating closure at 34 mine openings. Seeding of disturbed areas.

**REMINDER to those preparing BID documents:** If bids are not opened by 12/31/07, a **NEW** wage decision may be required. Call the Public Works Bureau at (505) 222-4669 to check status of new wage rates.

#### **NOTICES**

<u>ALL</u> contractors **MUST** have an active registration with the Labor Enforcement Fund before bidding on any public works project. Bids from contractors who are not registered will be considered **INVALID.** 

The General/Prime Contractor selected for this project **MUST** submit a completed Notification of Award (NOA) & Statement of Intent to Pay Prevailing Wages before any work is started.

Sub-contractors &  $2^{nd}/3^{rd}$  Tier Contractors **MUST** also submit Statements through their General/Prime before they start work. The General/Prime is responsible for listing all sub-contractors with the NOA or anytime the list changes.

The office that conducts the bid process **MUST** forward this entire wage decision package to the General/Prime Contractor that is awarded the project contract. That contractor is also responsible for making certain that all subcontractors have copies of the wage decision and other needed forms.

The General/Prime Contractor **MUST** post the wage rate table at the job site outside the Superintendent's trailer/office in an easily accessible place.

Workers **MUST** be classified & paid according to the work they perform, regardless of qualifications.

These wage rates are good for the life of a project that has a bid opening date before **December 31**, **2007**.

EMNRD-MMD-2007-01 62 2/13/2007

Type "A" - Street, Highway, Utility & Light Engineering - Effective June 22, 2006

Trade Classification	Base Rate	Fringe Rate
Truce Orassification	Buse Rute	1 Imge Rute
Bricklayer/BlocklayerlStonemason	9.71	0.26
Carpenter/Lather	12.23	0.44
Cement Mason	11.80	0.26
Ironworker	17.91	6.03
Painter (Brush/Roller/Spray)	13.56	0.44
Electricians		
Groundman (Outside)	21.79	8.56
Equipment Operator (O/S)	24.61	8.56
Lineman/Wireman or Tech (Outside)	25.20	8.56
Cable Splicer	26.38	8.56
Plumber/Pipefitter	21.38	4.33
Laborers		
Group I	9.95	0.35
Group II	10.25	0.35
Group III	10.65	0.35
Operators		
Group I	12.97	0.26
Group II	13.17	0.26
Group III	13.75	0.26
Group IV	13.77	0.26
Group V	13.77	0.26
Group VI	13.92	0.26
Group VII	13.97	0.26
Group VIII	14.12	0.26
Group IX	14.62	0.26
Group X	15.42	0.26
Truck Drivers		
Group I	11.64	0.26
Group II	11.84	0.26
Group III	12.04	0.26
Group IV	12.24	0.26

NOTE: SUBSISTENCE AND INCENTIVE PAY DO NOT APPLY TO TYPE "A" CONSTRUCTION.

# LABOR CLASSIFICATION GROUPS TYPE "A" CONSTRUCTION PAGE 1

#### **GROUP I - (Unskilled):**

Building & Common Laborer; Carpenter Tender Chainman Rodman; Stakedriver; Concrete Buggy Operator (hand); Concrete Workers; Flagman; Soil Sample Tester.

#### **GROUP II – (Semi-skilled):**

Wagon, Air Tract, Drill & diamond Drillers' Tender (Outside); Air & Power Tool Man (not a carpenter's tool); Asphalt Heaterman; Asphalt Jointman; Asphalt Raker; Batching Plant Scaleman; Tenderers (To Cement Mason & Plasterer); Chain Sawman; Concrete Power Buggyman; Concrete Touch-up Man; concrete Sawman — Coring Mach.; Curbing Machine, Asphalt or Cement; Cutting Torchman; Metal Form Setter-Road; Grade Setter; Hod Carrier; Mortar Mixer & Mason Tender; Powderman or Blaster Helper; Sandblaster; Scaler; Vibratortman (Hand type); Vibratory Compactor (Hand type); Window Washer; Nurseryman-Gardener; Wagon, Air Tract, Drill & Diamond Driller (Outside); Roadway Hardware Worker.

#### **GROUP UI**— (Miscellaneous):

Gunite Pumpcrete Man & Nozzleman; Multi-plate Setter; Manhole Builder; Pipelayer; Powderman-Blaster-Makeup; Landscaper; Traffic Control Technician; Laboratory Technician.

#### **EQUIPMENT OPERATOR CLASSIFICATION GROUPS**

#### **GROUP I:**

Concrete Paving Curing Machine

#### **GROUP U:**

Belt Type Conveyors (Material & Concrete); Broom (Self Prop.); Fork Lift; Grease Truck Operator; Head Oiler; Hydro Lift; Tractor (Under 50 Drawbar HP with or without Attach.); Industrial Locomotive Brakeman; Front End Loader (2 CY or less); Fireman; Oiler; Screedman Roller (Pull Type); Mulching Machine, Roller (Self-Propelled).

#### **GROUP III:**

Concrete Paving Form Grader; Concrete Paving Gang Vibrator; Concrete Paving Joint or Saw• Machine; Concrete Paving Sub Grader; Tractor with Backhoe Attachment; Sub grade or Base Finisher; Power Plant (Electric Generator or Welding Machine).

# EQUIPMENT OPERATORS CONT'D. PAGE 2

#### **GROUP IV:**

Bulldozer (including self-propelled roller with dozer attachment); Batch or Continuous Mix Plant

(Concrete, soil cement; or asphalt); Roller (Steel Wheel); Front End Loader (2 – 10 CY); Scraper Operator; Motor Grader.

#### **GROUP V:**

Asphalt Distributor; Asph. Paving or Laydown Machine; Mph. Retort Heater; Mixer, Heavy Duty, Asph. Or Soil Cement; Trenching Mach.; Clam Type Shaftmucker; Backhoe, Clamshell, Dragline, Gradall, Shovel (under ¼ CY); Elevating Grader or Belt Loader; Cranes (Crawler or Mobile) under 20 tons; Air Compressor (300 CFM 7 Over); Crushing Screening & Washing Plants; Drlg. Machine (Cable Core or Rotary); Mixer, Concrete (I CY & Less); Pump (6" intake or over); Winch Truck; Hoist (1 Drum); Industrial Locomotive Motorman; Lumber Stacker, Tractor (50 Drawbar HP or over).

#### **GROUP VI:**

Concrete Paver Mixer; Hoist (2 drums & over); Side Boom; Traveling Crane; Piledriver; Backhoe, Clamshell, Dragline, Gradall, Shovel (3/4 CY to 3 CV); Cranes (Crawler or Mobile) 20 Ton to 40 Tons; Front End Loader (Over 10 CV); Mixer Concrete (over 1 CV); Mechanic and/or Welder.

#### **EQUIPMENT OPERATOR CLASSIFICATION GROUPS**

#### **GROUP VII:**

Concrete Slip-Form Paving Machine; Concrete Paving Finishing Machine; Concrete Paving Longitudinal Float; Gunite Machine; Refrigeration; Jumbo Form or Drilling; Stage; Slusher; Concrete Paving Spreader; Pumpcrete Machine; Grout Pump Operator.

#### **GROUP VIII:**

Mine Hoist; Bulldozer (Multiple Units); Scraper (Multiple Units); Mucking Machine; Backhoe, Clamshell, Dragline, Gradall, Shovel (over 3 CV); Cranes (Crawler or Mobile) over 40 tons.

#### **GROUP IX:**

Belt Loader (CMI Type) Operator; Pipemobile Operator Assistant; Derrick, Cableway.

# EQUIPMENT OPERATOR CONT'D. PAGE 3

#### **GROUP X:**

Pipemobile Operator; Mole Operator.

#### TRUCK DRIVER CLASSIFICATION GROUPS

#### **GROUP I:**

Pick-up truck ¾ ton or under; Warehouseman; Dump Truck (under 8 cubic yards); Flatbed (1 ½ ton or under).

#### **GROUP II:**

Dump truck (8 -16 cy); Tank Truck (under 6,000 gals.); Flatbed (over 1 ½ ton).

#### **GROUP III:**

Spreader Box (self-propelled); Distributor (asphalt); Transit Mix; Lowboy, Light Equipment; Off-Highway Hauler; Tank Truck (over 6,000 gals.); Dump Truck (over 16 cy); Trailer Semi-Trailer Dump.

#### **GROUP IV:**

Diesel-powered Transport; Lowboy; Heavy Equipment.

## <u>LABOR ENFORCEMENT FUND</u> (STRICTLY ENFORCED)

## 13-4-13.1 Public works contracts; registration of contractors and subcontractors.

- A. Except as otherwise provided in this subsection, in order to submit a bid valued at more than fifty thousand dollars (\$50,000) in order to respond to a request for proposals or to be considered for award of any portion of a public works project greater than fifty thousand dollars (\$50,000) for a public works project that is subject to the Public Works Minimum Wage Act [13-4-10 NMSA 1978], the contractor, serving as a prime contractor or not, shall be registered with the labor and industrial division of the labor department. Bidding documents issued or released by a state agency or political subdivision of the state shall include a clear notification that each contractor, prime contractor or subcontractor is required to be registered pursuant to this subsection. The provisions of this section do not apply to vocational classes in public schools or public post- secondary educational institutions.
- B. The state or any political subdivision of the state shall not accept a bid on a public works project subject to the Public Works Minimum Wage Act from a prime contractor that does not provide proof or required registration for itself.
- C. Contractors and subcontractors may register with the division on a form provided by the division and in accordance with labor department rules. The division shall charge an annual registration fee of two hundred dollars (\$200). The division shall issue to the applicant a certificate of registration within fifteen days after receiving from the applicant the completed registration form and the registration fee.
- D. Registration fees collected by the division shall be deposited in the labor enforcement fund

#### 13-4-14.1 Labor enforcement fund; creation; use.

The "labor enforcement fund" is created in the state treasury. The fund shall consist of contractor and subcontractor registration fees collected by the labor and industrial division of the labor department and all investment and interest income from the fund. The fund shall be administered by the division and money in the fund is appropriated to the division for administration and enforcement of the Public Works Minimum Wage Act [13-4-10 NMSA 1978]. Money in the fund shall not revert to the general fund at the end of a fiscal year.

#### 13-4-14.2 Registration cancellation, revocation, suspension; injunctive relief.

The director of the labor and industrial division of the labor department may:

- A. cancel, revoke or suspend with conditions, including probation, the registration of any party required to be registered pursuant to the Public Works Minimum Wage Act [13-4-10 NMSA 1978] for failure to comply with the registration provisions or for good cause, subject to appeal pursuant to Section 13-4-15 NMSA 1978; and
- B. seek injunctive relief in district court for failure to comply with the registration provisions

of the Public Works Minimum Wage Act.

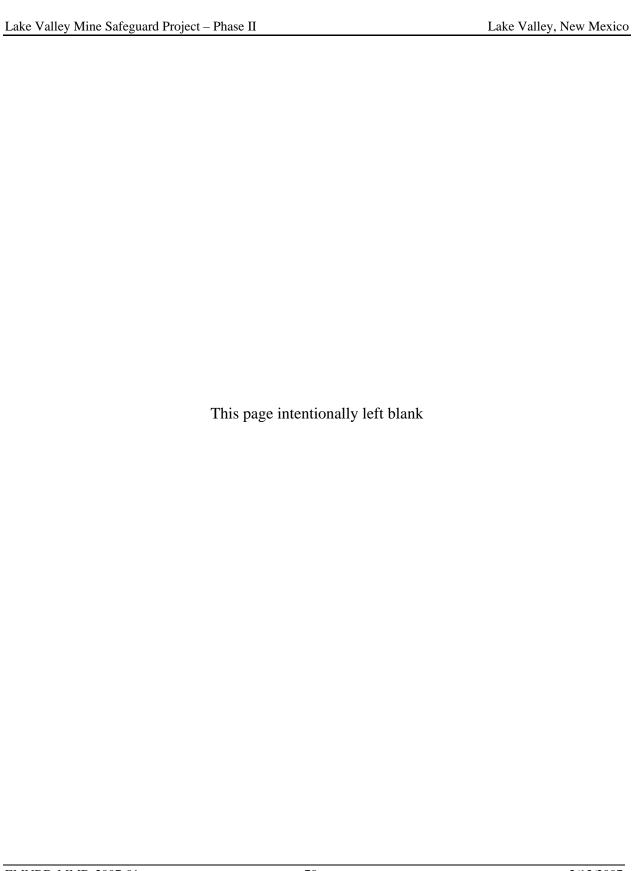
Lake Valley Mine Safeguard Project – Phase II	Lake Valley, New Mexico
This page intentionally left blank.	

# 00900 - APPLICATION FOR PAYMENT

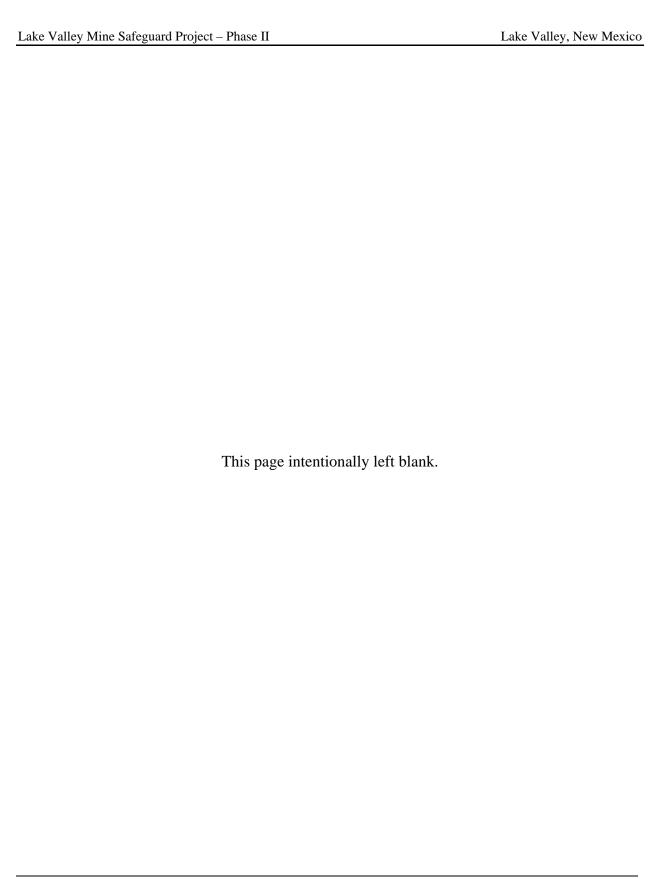
## APPLICATION FOR PAYMENT LAKE VALLEY MINE SAFEGUARD PROJECT – PHASE II

Lake Valley, New Mexico EMNRD-MMD-2007-01

Contract No. @ @-521.0600 Contractor			Billing No			Date	1 er	minate	
Mailing	Address:	Billing represents work completed through (date)							
ITEM <u>NO.</u>	MATERIAL OR WORK DESCRIPTION	ESTIMATED QUANTITY	CONTRACT AMOUNT	UNITS THIS <u>BILLING</u>	AMOUNTS THIS BILLING	UNITS PREVIOUS <u>BILLINGS</u>	AMOUNTS PREVIOUS BILLINGS	UNITS REMAINING	AMOUNTS REMAINING
1.	Mobilization (not to exceed 10% of total base bid)	For the lump sum of	\$						
2.	Backfill Features 045-001T, 045-002T and 045-006T	For the lump sum of	\$						
3.	Backfill Features 045-055S and 045-057S	For the lump sum of	\$						
4.	Backfill Feature 045-121S	For the lump sum of	\$						
5.	Backfill Features 046-006OCa, 046-006OCb, 046-006OCc and 046-006OCd	For the lump sum of	\$						
6.	Backfill Feature 046-011OC and 046-011T	For the lump sum of	\$						
7.	Backfill Features 046-012OC, 046-013OC and 046-015OC	For the lump sum of	\$						
8.	Backfill Feature 046-054S	For the lump sum of	\$						
9.	Backfill Features 047-019S and 047-031S	For the lump sum of	\$						
10.	Backfill Feature 047-033S	For the lump sum of	\$						
11.	Backfill Features 047-041P, 047-117S and 047-301P	For the lump sum of	\$						
12.	Backfill Features 054-083S and 055-036S	For the lump sum of	\$						
13.	Construct Corrugated Steel Pipe (CSP) Column Plug at Feature 045-003T	For the lump sum of	\$						



ITEM <u>NO.</u>	MATERIAL OR WORK DESCRIPTION	ESTIMATED QUANTITY	CONTRACT AMOUNT	UNITS THIS BILLING	AMOUNTS THIS BILLING	UNITS PREVIOUS BILLINGS	AMOUNTS PREVIOUS BILLINGS	UNITS <u>REMAINING</u>	AMOUNTS REMAINING
14.	Construct Bat Gate in CSP with Toroid Tire Plug at Feature 045-004T, including Backfill	For the lump sum of	\$						
15.	Construct Toroid Tire Plug at Feature 045-005Ta	For the lump sum of	\$						
16.	Construct Bat Compatible Closure with CSP Riser and Toroid Tire Plug at Feature 045-005Tb	For the lump sum of	\$						
17.	Construct Bat Gate in CSP at Feature 045-026T and CSP Connection at Feature 046-007T	For the lump sum of	\$						
18.	Construct Toroid Tire Plug at Feature 045-056S	For the lump sum of	\$						
19.	Construct Toroid Tire Plug at Feature 045-091S	For the lump sum of	\$						
20.	Construct Toroid Tire Plug at Feature 045-114S	For the lump sum of	\$						
21.	Construct Grated Airflow Closure with CSP Riser in Polyurethane Foam (PUF) Plug at Feature 045-118S	For the lump sum of	\$						
22.	Construct Toroid Tire Plug at Feature 045-120Sa	For the lump sum of	\$						
23.	Construct Toroid Tire Plugs at Feature 045-120Sb	For the lump sum of	\$						
24.	Construct Bat Cupola with Concrete Footing and Hollow Core Plug at Feature 045-122S	For the lump sum of	\$						
25.	Construct Toroid Tire Plug, Bat Gate in CSP and PUF Plug at Feature 045-132S	For the lump sum of	\$						
26.	Construct PUF Plug at Feature 046-040S	For the lump sum of	\$						
27.	Construct Toroid Tire Plug at Feature 046-052S	For the lump sum of	\$						
28.	Construct Toroid Tire Plug at Feature 046-096S	For the lump sum of	\$						
29.	Construct Bat Cupola with PUF Plug, CSP Riser and Collar at Feature 046-115S	For the lump sum of	\$						
30.	Construct Toroid Tire Plug at Feature 146-124S	For the lump sum of	\$						
31.	Construct Grated Airflow Closure and PUF Plug at Feature 146-133S	For the lump sum of	\$						
32.	Construct Bat Compatible Closure with PUF Plug, CSP Riser and Collar at Feature 047-016S	For the lump sum of	\$						



ITEM <u>NO.</u>	MATERIAL OR WORK DESCR	<u>IPTION</u>		ESTIMATED QUANTII	<u> </u>	CONTRACT AMOUNT	UNITS THIS BILLING	AMOUNTS THIS <u>BILLING</u>	UNITS PREVIOUS <u>BILLINGS</u>	AMOUNTS PREVIOUS BILLINGS	UNITS REMAINING
33.	Construct Bat Gate in CSP with PUF Plug at Feature 047-016T			For the lump sum of		\$					
34.	Construct Toroid Tire Plug at Featur	re 047-024S		For the lump sum of		\$					
35.	Backfill Designated Openings and Construct Bat Gate in CSP with Gro Rock Bulkhead at Feature 047-028T			For the lump sum of		\$					
36.	Construct Bat Gate in CSP with Tor Tire Plug at Feature 047-029OC	oid		For the lump sum of		\$					
37.	Construct Toroid Tire Plug at Featur	re 047-030S		For the lump sum of		\$					
38.	Construct Airflow Closure with Dou Plugs, CSP Riser and Collar at Featu			For the lump sum of		\$					
39.	Construct Bat Gate in CSP with Concrete Plug at Feature 047-038S			For the lump sum of		\$					
40.	Construct Steel Grate at Hopper Opening at Feature 056-037ST			For the lump sum of		\$					
41.	Welded Wire Fencing, Complete in Place	\$	X	150 Linear Feet	=	\$					
42.	Polyurethane Foam, Complete in Place	\$	X	135 Cubic Yards	=	\$					
43.	High-Strength Steel Mesh, Complete in Place	\$	X	1,850 Square Feet	=	\$					
44.	Mechanical Rock Anchors Complete in Place	\$	X	15 Each	=	\$					
45.	Grouted Rock Anchors Complete in Place	\$	X	30 Each	=	\$					
46.	Seeding, Complete in Place	\$	X	3.8 Acres	=	\$					
				Subt	otal	\$					
	GROSS RECEIPTS TAX = LOC	AL RATE (5.9	937.	5% through 6/30/07) x Subt	otal	\$					
				TOTAL CONTRA	СТ	\$					
	FICATION  By certify that the work described her	ein has been p	erfo	ormed and that no previous p	paym	ent for the Total A	mount Due this	s Statement, as sh	own above, has b	een received.	
By:				By:					Ву:		
-	Mining and Minerals Division Dire	ector				Contracto	or		, <u> </u>	A	ML Project Engineer
Date:				Date:					Date:_		

Lake Valley Mine Safeguard Project -	- Phase II	Lake Valley, New Mexico
	This page intentionally left blank.	
EMNRD-MMD-2007-01	74	2/13/2007