



NEW MEXICO

# *Abandoned Mine Lands*

Project Manual  
Including Plans and Specifications  
for Construction of

GRANTS URANIUM – SPENCER MINE SAFEGUARD AND RECLAMATION PROJECT

McKinley County, New Mexico

PROJECT NO.  
EMNRD-MMD-2014-07

AUTHORIZED BY:

ABANDONED MINE LAND PROGRAM  
MINING and MINERALS DIVISION  
ENERGY, MINERALS AND NATURAL RESOURCES DEPARTMENT  
STATE OF NEW MEXICO

(with reclamation fees paid by the New Mexico Coal Industry)

March 2015



PROJECT NAME: Grants Uranium – Spencer Mine Safeguard and Reclamation Project

LOCATION: Ambrosia Lake, New Mexico

PROJECT NUMBER: EMNRD-MMD-2014-07

ENGINEERS OF RECORD:

**STORMWATER MANAGEMENT & EROSION CONTROL:**

George F. Cathey, P.E.  
Oxbow Ecological Engineering, LLC  
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Telephone: 928.266.6192

**MINE SHAFT/VENT SAFETY & CLOSURE**

James R. Smith, P.E.  
NM Abandoned Mine Land Program  
1220 South Saint Francis Drive  
Santa Fe, NM 87505  
Telephone: 505.476.3422

The technical material and data contained in the specifications were prepared under the supervision and direction of the undersigned, whose seals as Professional Engineers (P.E.), licensed to practice in the State of New Mexico, are affixed below.

\_\_\_\_\_  
George F. Cathey, P.E. (Project Engineer)

\_\_\_\_\_  
21540  
License No.

\_\_\_\_\_  
James R. Smith, P.E. (Project Engineer)

\_\_\_\_\_  
20560  
License No.

\_\_\_\_\_  
Authorized Representative/Title  
Energy, Minerals and  
Natural Resources Department

\_\_\_\_\_  
Date

**Susana Martinez, Governor**

**All questions about the meaning or intent of these documents shall be submitted only to the**

**Engineer of Record, stated above, in writing. Refer to Section 00120 - Supplementary Instructions to Bidders as to interpretations.**

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The following sections list the figures, statutes, and tables that are referenced in the Specifications and are incorporated herein by reference as if set out in their entirety.

### **I. SHEETS AND FIGURES**

The following sheets and figures may be found as an attachment; or bound with the project manual:

Sheet 1:	Cover Sheet
Sheet 2:	Project Area Overview, Access and Control Points
Sheet 3:	Grading and Improvement Plan
Sheet 4:	Detail Sheet
Figure 1:	Typical Plug Closure Vent Shaft
Figure 2 :	Typical Plug Closure Conduit Pipe
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### **II. STATUTES**

The following statutes may be referenced in the text:

NMSA 1978, §§ 13-1-28 through 199:	Procurement Code
NMSA 1978, §§ 13- 4-1 through 30:	Public Works Contracts
NMSA 1978, §§ 13- 4-31 through 43:	Subcontractors Fair Practices Act
NMSA 1978, §§ 41-4-1 through 27:	Tort Claims Act
NMSA 1978, §§ 52-1-1 through 70:	Workers' Compensation Act
NMSA 1978, §§ 69-25B-1 through 12:	Abandoned Mine Reclamation Act
NMSA 1978, §§ 74-13-1, <i>et seq.</i> :	Recycling and Illegal Dumping Act
NMSA 1978, §§ 76-10-11 through 22:	New Mexico Seed Law

### **III. TABLES**

The following tables are referenced in the text:

<b>Table I:</b>	Seed Mix Table
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**PURCHASING DIVISION (GSD)**  
*(Hand Deliver Only)* 1100 S. Saint Francis Drive,  
Room 2016, Santa Fe, New Mexico 87505  
*(Mail Only)* PO Box 6850  
Santa Fe, New Mexico 87502-6850  
(505) 827-0472

**BIDDER:**

Name:  
Address:

Phone:  
Fax:  
E-mail:

**PROJECT:** GRANTS URANIUM –  
SPENCER MINE SAFEGUARD AND  
RECLAMATION PROJECT  
AMBROSIA LAKE, NEW MEXICO  
PROJECT NO.: EMNRD-MMD-2014-07

**ARCHITECT/ENGINEER OF RECORD**

James R. Smith, P.E.  
1220 SOUTH ST. FRANCIS DRIVE  
SANTA FE, NM 87505  
Telephone: 505.476.3422

**OWNER/POINT OF CONTACT:**

Abandoned Mine Land Program  
Mining and Minerals Division  
Energy, Minerals and Natural Resources Dept.  
State of New Mexico  
1220 S. St. Francis Drive  
Santa Fe, New Mexico 87505  
Telephone: (505) 476.3400

**INVITATION TO BID  
CONSTRUCTION CONTRACT**

BID NUMBER: 50-521-15-05716

Sealed bid opening FORMAL  
NM STATE PURCHASING DIVISION

**DATE: Friday, June 05, 2015**  
**TIME: 2:00pm**

Procurement Officer: Rose Moya  
Contact Number: (505) 827-0610

**IMPORTANT**

**FOR MAILED-IN BIDS:** bids must be submitted in a sealed envelope with the bid number and opening date clearly indicated on the bottom left hand side of the front of the envelope.

**FOR ELECTRONICALLY UPLOADED BIDS:** such bids will be time-stamped in the system when Bidder clicks "OK" after "Review and Submit." You will receive a confirmation email of the submission for your records. Such electronic submissions will be considered sealed bids in conformance with statute. To register as a Supplier with the State of New Mexico, or to log in if already registered go to: <https://suppliers.sciquest.com/StateOfNewMexico>

SEALED BIDS WILL BE RECEIVED UNTIL THE ABOVE-SPECIFIED DATE AND LOCAL TIME, THEN PUBLICLY OPENED AT THE NEW MEXICO STATE PURCHASING DIVISION BID ROOM AND READ ALOUD. HAND DELIVER OR MAIL BIDS TO THE STATE PURCHASING DIVISION, JOSEPH M. MONTOYA BLDG. AT THE ADDRESSES STATED IN THE ABOVE LETTERHEAD.

THIS BID IS SUBJECT TO THE REQUIREMENTS OF THE BIDDING DOCUMENTS AS DEFINED IN THE "INSTRUCTIONS TO BIDDERS."

THE BID PROPOSAL FORM MUST BE ACCOMPANIED BY A SURETY BOND, SUBCONTRACTOR LISTING FORM, AND DOCUMENTS SPECIFIED IN THE "INSTRUCTIONS TO BIDDERS."

**PLEASE NOTE:** HAND DELIVER OR MAIL BIDS TO THE STATE PURCHASING DIVISION, JOSEPH M. MONTOYA BLDG. AT THE ADDRESSES STATED IN THE ABOVE LETTERHEAD.

**This mailing contains four pages**

## INVITATION TO BID page 2

**Bidding Documents:** Bidding documents, plans, specifications, drawings etc. may be obtained at the office of the Architect / Engineer of Record upon payment of **\$NO CHARGE (LIMIT ONE)** for each complete set. CHECKS SHOULD BE MADE PAYABLE TO “N/A”. Incomplete sets will not be issued. The successful Bidder will receive refund of his deposit, and any unsuccessful Bidder who returns the Bidding Documents in good and complete condition within fifteen (15) days of the Bid Opening will also receive refund of this deposit. No deposits will be returned after the fifteen-day period.

**Bidding Documents may be obtained / reviewed at the following locations:**

OWNER/ABANDONED MINE LAND PROGRAM Attn: James Smith 1220 S. ST. FRANCIS DRIVE SANTA FE, NM 87505 (505) 476.3430 <a href="mailto:JamesR.Smith@STATE.NM.US">JamesR.Smith@STATE.NM.US</a>	THE PLAN ROOM AT SUN GLASS, INC. 650 WEST BROADWAY, SUITE B FARMINGTON, NM 87401 1.800.748.2789 OR 505.327.0700 <a href="mailto:PLANROOM@SUNGLASSNM.COM">PLANROOM@SUNGLASSNM.COM</a>
BUILDER'S NEWS AND PLAN ROOM 3435 PRINCETON DRIVE NE ALBUQUERQUE, NEW MEXICO 87107 (505) 884.1752	DODGE REPORTS 1615 UNIVERSITY BOULEVARD NE ALBUQUERQUE, NEW MEXICO 87102 (505) 243.2817
CONSTRUCTION REPORTER 1609 SECOND STREET NW P.O. BOX 6116 ALBUQUERQUE, NM 87197 (505) 243.9793	ASSOCIATED GENERAL CONTRACTORS OF EL PASO 1359 LOMA LAND, SUITE 106 EL PASO, TX 79935 915.585.1533 <a href="mailto:agc@agcelpaso.com">agc@agcelpaso.com</a>
REED CONSTRUCTION DATA 3351 CANDELARIA, NE SUITE D ALBUQUERQUE, NM 87107 (505) 881.8590	The PlanIt Room 1155 Westmoreland, Suite 109 El Paso, Texas 79925 Tel 915.781.2900 Fax 915.781.2902 email: <a href="mailto:projects@theplanitroom.com">projects@theplanitroom.com</a>
MARKET REPORTER II, ISQFT PLAN ROOM 308 WEST FILLMORE STREET, SUITE 101 COLORADO SPRINGS, CO 80907	

The Bidding Documents contain a time for completion of the work and further impose liquidated damages for failure to complete the work within that time period.

Bids shall be presented in the form of a total Base Bid proposal under a Lump Sum Contract plus any additive or deductive alternates that are selected by the Owner. A bid must be submitted on all bid items and alternates; segregated bids will not be accepted.

**NOTE:** Base Bid price shall not include state gross receipts or local options taxes. Taxes will be included in the Contracted Amount at prevailing rates as a separate item to be paid by Owner.

In submitting this bid, each Bidder must satisfy all terms and conditions of the Bidding Documents. No Bidder may withdraw his bid for **60 DAYS** after the actual date of the opening thereof.

## INVITATION TO BID page 3

**Wage Rates & Workforce Solutions Registration:** Pursuant to the Public Works Minimum Wage Act, Section 13-4-10 to 13-4-17 NMSA 1978, all work covered by this Invitation to Bid shall be in accordance with applicable state laws and, if the bid amount is sixty thousand dollars (\$60,000) or more, is subject to the minimum wage rate determination issued by the New Mexico Department of Workforce Solutions, Labor Relations Division, Public Works Unit. Section 13-4-13.1 *requires* Contractors when their bid is over \$60,000 and subcontractors of all tiers when their portion of the work is over \$60,000, to be registered with the Labor Relations Division of the Department of Workforce Solutions. This registration is available under the “Contractor Registration” section at the following website:

<http://www.dws.state.nm.us/LaborRelations/LaborInformation/PublicWorks>

There may be some administrative delay in processing your registration. Pursuant to Section 13-4-13.1 NMSA 1978, the State Purchasing Division **cannot accept your bid if you are not registered at the time of bid opening.**

Please direct all questions concerning registration to DWS at (505) 841-4400.

A completed Subcontractor Listing Form must accompany each bid.

**Bonds:** Bid Security in the form of a surety bond executed by a surety company authorized to do business in the state of New Mexico in the amount of five percent (**5%**) of the total bid, or the equivalent in cash by means of a cashier's check or in a form satisfactory to the Owner, must accompany each bid in accordance with the Instructions to Bidders.

A 100% Performance Bond and a 100% Payment and Materials Bond for the total contract amount, including appropriate New Mexico Gross Receipts Tax, executed by a surety company authorized to do business in the state of New Mexico shall be required from the successful Bidder prior to award of contract. A subcontractor shall provide performance and payment bonds if the subcontractor's contract (to the Contractor) for work to be performed is \$125,000 or more. Failure of a subcontractor to provide the required bonds shall not subject owner to any increase in cost due to approved substitution of subcontractor.

**Pay Equity Reporting Requirements:** Pay Equity Form PE10-249 must accompany each bid unless the bidder is exempt. If exempt, the bidder must check the appropriate box on the Bid Form which identifies the reason for the exemption. Please go to the following website for complete instructions and forms necessary to meet these requirements:

[http://www.generalservices.state.nm.us/statepurchasing/Pay\\_Equity.aspx](http://www.generalservices.state.nm.us/statepurchasing/Pay_Equity.aspx)

The Owner intends to award this Project to the lowest responsible Bidder. The Owner reserves the right to reject any and all bids, to waive technical irregularities, and to award the contract to the Bidder whose bid it deems to be in the best interest of the Owner.

## INVITATION TO BID page 4

**Preferences:** Applicants for in-state / veteran preferences for all resident businesses, veterans, and contractors will have to obtain preference numbers from the New Mexico Department of Taxation & Revenue. *Vendor must submit a copy of their preference certificate with each solicitation in order to receive the preference.* Applications are available for download at the following website:

<http://www.tax.newmexico.gov/Businesses/in-state-veteran-preference-certification.aspx>

Attention of the Bidder who normally qualifies for a preference is particularly directed to the provisions of Sections 13-4-1 through 13-4-4 NMSA 1978 as they shall not apply to federal aid construction projects or when the expenditure of federal funds designated for a specific contract is involved. All contracts executed in violation of this act shall be void and of no effect.

A Pre-Bid Meeting will be held as follows: **MANDATORY**

DATE: May 13, 2015

TIME: 11:00AM

LOCATION: **The ranch gate, along NM 509 (Ambrosia Lake Road), located approximately three and a half (3.5) miles north of the turn from NM 605 (See Attached Map and Google Driving Directions)**

POINT OF CONTACT: James Smith, 505.690.8071

**END OF INVITATION TO BID**

**APPENDIX A**  
**VENDOR INFORMATION FORM**

PURSUANT TO INTERNAL REVENUE SERVICE REGULATIONS, VENDORS MUST FURNISH THEIR TAXPAYER IDENTIFICATION NUMBER (TIN) TO THE STATE. IF THIS NUMBER IS NOT PROVIDED, THE VENDOR MAY BE SUBJECT TO A 20% WITHHOLDING ON EACH PAYMENT. TO AVOID THIS 20% WITHHOLDING AND TO ENSURE ACCURATE TAX INFORMATION IS REPORTED TO THE INTERNAL REVENUE SERVICE AND THE STATE, PLEASE USE THIS FORM TO PROVIDE THE REQUESTED INFORMATION.

Legal Business Name: \_\_\_\_\_  
\_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Telephone Number: \_\_\_\_\_

**9 DIGIT TAXPAYER IDENTIFICATION NUMBER**

Social Security Number: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_  
---- or ----

Federal Employer Identification Number \_\_\_\_\_

Type of Business (Check One):     Individual  
    Sole Proprietorship  
    Partnership  
    General     Limited  
    Corporation  
    Public Service Corporation     Government/Nonprofit  
    Other (please specify)  
\_\_\_\_\_

**OTHER TAX ACCOUNT NUMBERS**

New Mexico CRS Identification Number: \_\_\_\_\_

State Unemployment Tax Number: \_\_\_\_\_

Under penalties of perjury, I hereby declare that I have examined this form and to the best of my knowledge and belief, it is true and correct, and complete.

\_\_\_\_\_  
Name (print or type)

\_\_\_\_\_  
Title (print or type)

\_\_\_\_\_  
Signature  
**SPD 9-90**

\_\_\_\_\_  
Date

\_\_\_\_\_  
Telephone

## **00100 – INSTRUCTIONS TO BIDDERS**

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Title IV of the federal Surface Mining Control and Reclamation Act (SMCRA) of 1977, 30 U.S.C. Section 1201, *et seq.* provides for the reclamation of abandoned mine lands. All operators of coal mining operations subject to the provisions of the Act pay to the Secretary of the Interior Department, for deposit in the fund, a reclamation fee of 31.5 cents per ton of coal produced by surface coal mining and 13.5 cents per ton of coal produced by underground mining. Under SMCRA, individual states acquire federal funds from the Office of Surface Mining, Reclamation, and Enforcement (OSMRE) to administer an approved state reclamation program and to implement specific reclamation projects. The New Mexico Energy, Minerals and Natural Resources Department (EMNRD) administers the Abandoned Mine Land (AML) Program within New Mexico pursuant to a state approved plan and the requirements of the New Mexico Abandoned Mine Reclamation Act, NMSA 1978, § 69-25B-1, *et seq.* The supervision and coordination of work done under the AML Program are conducted by the Mining and Minerals Division (MMD) of EMNRD. Wherever the term Owner is used, it shall mean the MMD Director. EMNRD, MMD and Owner may be collectively referred to as “EMNRD.”

MMD has obtained 100% federal funds for this construction project. MMD is, by this Invitation to Bid (ITB), requesting bids from responsible, qualified Bidders for the construction project in accordance with the terms of this ITB. Bidders are advised that responsive bids are invited from both profit making and nonprofit organizations. EMNRD is an affirmative action and equal opportunity employer.

The deadline date for receipt of bids is no later than as listed in the Invitation to Bid. One each of the required bid documents, with original signature, must be received and stamped in at the State Purchasing Division of the General Services Department, Room 2016, Joseph M. Montoya Building, 1100 Saint Francis Drive, Santa Fe, New Mexico 87505 (1.505.827.0472). Bids in response to this ITB will be opened publicly at the State Purchasing Division, Joseph M. Montoya Building, 1100 Saint Francis Drive, Santa Fe, New Mexico 87503. The name of each Bidder, the lump sum of each bid, and the Bidder’s Contractor License Number will be announced.

The Contract Time for project completion shall be no later than 180 calendar days, including all Sundays, holidays, and non-work days, after the Contractor receives a Notice to Proceed via USPS mail.

An abstract of the bids may be available for public inspection from the State Purchasing Division upon request. Those portions of any bid for which a Bidder has made a written request for confidentiality and for which the MMD Director has made a finding which concurs in that confidentiality shall be withheld from public inspection.

***IMPORTANT - BIDS MUST BE SUBMITTED IN A SEALED ENVELOPE WITH THE INVITATION TO BID NUMBER AND OPENING DATE CLEARLY INDICATED ON THE BOTTOM LEFT-HAND SIDE OF THE FRONT OF THE ENVELOPE.***

**00120 – SUPPLEMENTARY INSTRUCTIONS TO BIDDERS**

---

The following shall be included with each responsive bid:

I. Information

A fully completed Vendor Information Form (see Section 00010, Pre-Bid Information), including the name, address, telephone number, Taxpayer Identification Numbers, and signature of the Bidder, or of an officer or employee who has the authority of the Bidder. Do not leave blanks. This signature shall signify that the matters stated or certified on the form are true and accurate to the best of the Bidder's knowledge.

II. Bid

A fully completed Bid Form (Section 00300), including the name, address, telephone number, New Mexico Contractor's License Number, Contractor and Subcontractor New Mexico Labor Enforcement Fund Registration Numbers for bids and subcontracts greater than \$50,000.00, and signature of the Bidder, or of an officer or employee who has the authority to bind the Bidder. Do not leave blanks. This signature shall signify that the matters stated or certified in the bid are true and accurate to the best of the Bidder's knowledge and that the bid was made without collusion or fraud.

III. Security

Bid security shall be required of Bidders for construction contracts procured by competitive sealed bid. A bid security shall be in the form of a negotiable Surety Bond (see an example in Section 00410), Cashier's Check, Certified Check, or Money Order in the amount of at least 5% of the total bid payable to the Energy, Minerals and Natural Resources Department. A letter of credit is not acceptable

IV. References

A list of the Bidder's general background including relevant resources, capabilities, experience, and references with telephone numbers (Section 00420). Do not leave blanks. The Bidder must have a minimum of five years of related construction experience to qualify.

## V. Supplements

A complete listing of all subcontractors (Section 00430), if applicable, including for each subcontractor: the work to be performed; the subcontractor's name, address, telephone number, and New Mexico Contractor License Number, if applicable; and a complete listing of pertinent equipment (Section 00450) including for each piece of equipment: the type, manufacturer, model, capacity, and condition. Do not leave blanks.

If for any reason this ITB requires further amendment, such amendments shall be sent via addenda to all parties recorded by the Project Engineer as having received the Bidding Documents. Each Bidder shall be required to acknowledge the receipt of any addenda on the bid form. If such addenda become necessary, they will be distributed within a reasonable time to allow the Bidders to consider the amendment in preparation of their bid.

A responsive bid to the ITB shall be submitted as a sealed bid and shall include project costs for each work task on the Bid Form (Section 00300). Prices quoted in these sealed bids shall be firm fixed prices for both lump sum and/or unit prices as listed on the Bid Form. This ITB shall become a part of the final contract agreement.

The total bid amounts as read at the Bid Opening are tentative only and subject to verification of mathematical accuracy. Such verification may result in a change to the order of the bids. The Bidder with the lowest overall total bid price will be announced as the apparent low Bidder. The apparent low Bidder's bid will be carefully evaluated to insure that it complies with the evaluation criteria listed below and the other requirements of this ITB. The bid will be awarded with reasonable promptness by written Notice of Award via certified mail to the lowest responsible, qualified Bidder. If for any reason the apparent low Bidder does not meet all of the evaluation criteria listed below or comply with all of the requirements of this ITB, the next lowest Bidder will be evaluated and awarded the contract if the evaluation criteria are met.

### **The evaluation criteria include:**

1. possession of a valid New Mexico Contractor License appropriate for the work;
2. proof of registration with Labor Relations Division of the New Mexico Department of Workforce Solutions for contractor and all subcontractors when Bidder submits a bid valued at more than \$60,000;
3. proven records of satisfactory work performance for both Bidder and listed subcontractors; and
4. a completed and signed employee pay equity form (PE 10-249 or PE250), or, for Bidders who fall within an exception to the Pay Equity Reporting



Requirement, an indication on the bid form that Bidder is exempt and why.

This evaluation is not conducted to determine whether one Bidder's offering is superior to another Bidder's but only to determine that a Bidder's offering is acceptable as set forth in the ITB.

Each Bidder shall submit information sufficient to evaluate the bid based on documentation of the Bidder's proven ability to perform the required tasks. Failure to provide the information required to evaluate the bid shall result in rejection of the bid without further discussion.

All questions about the meaning or intent of the Bidding Documents shall be submitted to the Project Engineer in writing. Replies will be issued by Addenda mailed or delivered to all parties recorded by the Project Engineer as having received the Bidding Documents. Questions received less than 10 business days before the date for opening of Bids will not be answered. Only questions answered by formal written Addenda will be binding. Oral and other interpretations or clarifications will be without effect. Bidders or the Contractor shall promptly notify the Project Engineer of any ambiguity, inconsistency, or error which they may perceive upon examination of the Bidding Documents or of the site and local conditions.

***Note: Because this project is 100% federally funded, neither the 5% New Mexico Resident Contractor's Preference nor the New Mexico Resident Veterans preference, apply to this procurement.***

#### VI. New Mexico Employees Health Insurance

A. If Bidder has, or grows to, six or more employees who work, or who are expected to work, an average of at least 20 hours per week over a six-month period during the term of any Agreement which may result from this RFP, Bidder agrees, by submitting a bid, to have in place, and agree to maintain for the Agreement's term, health insurance for those employees and offer that health insurance to those employees if the expected annual value in the aggregate of any and all contracts between Bidder and the state exceed \$250,000.

B. Bidder agrees to maintain a record of the number of employees who have:

- 1) accepted health insurance;
- 2) declined health insurance due to other health insurance coverage already in place; or
- 3) declined health insurance for other reasons.

These records are subject to review and audit by a representative of the state.

C. Bidder agrees to advise all employees of the availability of state publicly-financed

health care coverage programs.

VII. Employee Pay Equity Reporting

A. Bidder agrees if it has 10 or more New Mexico employees OR eight or more employees in the same job classification, at any time during the term of any Agreement that may result from this RFP, to complete and submit the PE10-249 form on the annual anniversary of the initial report submittal for contracts up to one year in duration.

B. If Bidder has 250 or more employees, Bidder must complete and submit the PE250 form on the annual anniversary of the initial report submittal for contracts up to one year in duration.

C. For contracts that extend beyond one calendar year, or are extended beyond one calendar year, Bidder also agrees to complete and submit the PE10-249 or PE250 form, whichever is applicable, within 30 days of the annual contract anniversary date of the initial submittal date or, if more than 180 days has elapsed since submittal of the last report, at the completion of the contract, whichever comes first.

D. Should Bidder not meet the size requirement for reporting at the time of contract award but subsequently grows such that Contractor meets or exceeds the size requirement for reporting, Bidder agrees to provide the required report within 90 days of meeting or exceeding the size requirement. That submittal date shall serve as the basis for submittals required thereafter.

E. Bidder also agrees to levy the requirements of this Section VII on any subcontractor(s) performing more than 10% of the dollar value of this Agreement if said subcontractor(s) meets, or grows to meet, the stated employee size thresholds during the term of the contract. Bidder further agrees that, should one or more subcontractor not meet the size requirement for reporting at the time of contract award but subsequently grows such that the subcontractor meets or exceeds the size requirement for reporting, Bidder shall submit the required report, for each such subcontractor, within 90 days of that subcontractor meeting or exceeding the size requirement. Subsequent report submittals, on behalf of each such subcontractor, shall be due on the annual anniversary of the initial report submittal.

F. Bidder shall submit the required form(s) to the State Purchasing Division of the General Services Department, and other departments as may be determined, on behalf of the applicable subcontractor(s) in accordance with the schedule contained in this paragraph. Bidder acknowledges that this subcontractor requirement applies even though Bidder itself may not meet the size requirement for reporting and be required to report itself.

VIII. Additional Pay Equity Reporting Information

A. For the purposes of complying with Section VII., Employee Pay Equity Reporting:

1. “Job Classification” means an arrangement of tasks in an establishment or industry into a limited series of jobs or occupations, rated in terms of skill, responsibility, experience, training, and similar considerations, usually for wage setting purposes. This term, or job class, refers to a single cluster of jobs of approximately equal “worth.”

2. “New Mexico Employee” (also “Employee”) means a person working within the State of New Mexico at a New Mexico facility, regardless where the employee legally resides, and regardless of the origin of compensation checks.

3. “PE10-249 form” means the reporting form to be used by contractors that meet or exceed the minimum size thresholds for reporting but have less than 250 New Mexico employees.

4. “PE250 form” means the reporting form to be used by contractors that have 250 or more New Mexico employees.

5. “Solicitation” means an Invitation to Bid or a Request for Proposals.

B. The successful Bidder shall not be required to report more frequently than annually unless more than 180 calendar days has elapsed since submittal of the last report and the contract has reached completion. The requirement for reporting at contract completion shall not apply in the case of a one-time fulfillment of a purchase order.

C. Exceptions to the Pay Equity Reporting Requirement:

1. Subject to the subcontractor reporting requirement of Subsection VII.F., Bidders with fewer than 10 employees are exempt, unless they have at least eight employees in the same job classification.

2. Bidders receiving a contract resulting from an emergency procurement are exempt, unless they hold other contracts that would already subject them to the requirement.

D. Bidders who are subject to the Pay Equity Reporting Requirement shall complete and sign the applicable pay equity form (PE 10-249 or PE250) and submit the form with their bid. Bidders who fall within an exception to the Pay Equity Reporting Requirement shall submit an affirmative statement with their bid indicating they are not subject to the Pay Equity Reporting Requirement, and listing which exemption they fall under.

#### IX. Use of Brand Name Specifications

Use of any brand name herein is for the purpose of describing the standard of quality, performance and characteristics desired and is not intended to limit or restrict competition.

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**00125 – BID ASSURANCES**

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In addition to the requirements above, the Bidder must make, include, and agree to the following assurances as a part of the responsive bid submitted in response to this Invitation for Bids (ITB)

I. General

This ITB does not commit EMNRD to pay any costs incurred by any Bidder in the submission of a responsive bid, in making necessary studies and designs for the responsive bid, or in procuring or contracting for services or supplies for the preparation of the responsive bid. Issuance of this ITB does not constitute an award commitment by EMNRD. An ITB may be canceled and any or all bids may be rejected in whole or in part, when it is in the best interest of the State of New Mexico. EMNRD may waive, in its sole discretion, technical irregularities that do not affect the contractual conditions, delivery, price, quality, or quantity of the construction, services, or items of tangible personal property that are bid. EMNRD specifically reserves the right to reject responsible, qualified bids from which EMNRD is not able to determine the true amount of the bid, and bids that exceed EMNRD's budgeted or available funds for the project. Final approval for funding is contingent upon approval from the Department of the Interior: Office of Surface Mining - Albuquerque Field Office.

II. Confidentiality

It is further understood that all bids shall become a part of the official file on this matter without obligation of EMNRD and shall be made available for public inspection, unless the Bidder specifies in writing that specific portions of the bid are confidential and are to be held confidential by EMNRD in accordance with NMSA 1978, § 71-2-8. All matter intended to be confidential shall be submitted in a sealed envelope marked "confidential" and each page of the material shall also be marked clearly with the word "confidential". EMNRD reserves the right to review information submitted as to confidentiality. For this purpose, confidential information includes, but is not limited to, matter that relates to trade secrets or which is privileged commercial or financial information that affects the competitive rights of the person, firm, or corporation that submits it.

III. Inspection

To assure EMNRD that the Bidder has the competence, equipment, facilities, and staff to furnish the services required under this contract, EMNRD shall be allowed to determine the adequacy of the competence, equipment, facilities, and staff of any Bidder considered for the contract award. For this purpose, if EMNRD deems it appropriate, the Bidder shall permit representatives of EMNRD to make an inspection of the Bidder's equipment and facilities.

#### IV. Samples

Bid samples or descriptive literature should not be submitted unless expressly requested. Regardless of any attempt by a Bidder to condition the bid, unsolicited bid samples or descriptive literature, which are submitted at the Bidder's risk, will not be examined or tested, and will not be deemed to vary any of the provisions of this ITB.

#### V. Cancellation

Failure by the successful Bidder to return the signed contract with acceptable contract bond and insurance within 10 business days after receipt via certified mail of the Notice of Award shall be just cause for the cancellation of the award and the forfeiture of the proposal guaranty which shall become the property of EMNRD, not as a penalty, but in liquidation of damages sustained.

### **00130 – MANDATORY PRE-BID CONFERENCE**

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Prospective Bidders are required to attend the pre-bid conference, if one is held, in order to inspect the site where the work is to be conducted to familiarize themselves with the existing conditions that may affect the performance of the contract work. The work sites are located on private and BLM land. Subcontractors and suppliers are not required to attend the pre-bid conference; however, failure to inspect the site will not relieve subcontractors and suppliers from the responsibility of properly estimating the difficulty and cost of performing their portion of the work.

The mandatory pre-bid conference and site showing will be held at the project site to explain the work requirements. AML representatives will guide potential Bidders through the proposed work sites and will answer any questions. See the Invitation to Bid for date, location, and time.

Those wishing to attend are advised to be prompt.

The site showing is expected to take one to two hours. Access is across bumpy dirt roads and requires four-wheel drive vehicles. Prospective Bidders must provide their own transportation to the site. On-site roads are unimproved, and narrow. Access to some sites also requires walking across scrubby, sometimes steep and rocky terrain. AML advises attendees to bring food, water, and appropriate clothing and shoes.

**NOTE: NOTHING STATED AT THE PRE-BID CONFERENCE SHALL CHANGE THIS INVITATION FOR BIDS UNLESS SUCH CHANGE IS MADE BY WRITTEN AMENDMENT.**

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**00300 – BID FORMS**

<u>BID ITEM</u>	<u>MATERIAL OR WORK DESCRIPTION</u>	<u>ESTIMATED QUANTITY<sup>1</sup></u>	<u>BID AMOUNT<sup>2</sup></u>
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1.	Mobilization (Not to exceed 10% of TOTAL BASE BID)	For the lump sum of	_____ Dollars (\$ _____)
			(Written Whole Dollars and Zero Cents)

2.	Radiation Protection, Health and Safety Plan	For the lump sum of	_____ Dollars (\$ _____)
			(Written Whole Dollars and Zero Cents)

3.	Shaft and Conduit Closure per Each Shaft/Conduit Combination Four (4) Shafts and (4) Conduits	For the unit price of	_____ Dollars per Shaft/Conduit (\$ _____)
			Each
			X 4 Shafts/Conduits =
			_____ Dollars (\$ _____)
			(Written Whole Dollars and Zero Cents)

4.	Pollution Prevention, Complete in Place	For the lump sum of	_____ Dollars (\$ _____)
			_____ Dollars (\$ _____)

<sup>1</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determined.

<sup>2</sup> The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

(Written Whole Dollars and Zero Cents)

**BID MATERIAL OR**

<u>ITEM</u>	<u>WORK DESCRIPTION</u>	<u>ESTIMATED QUANTITY</u> <sup>1</sup>	<u>BID AMOUNT</u> <sup>2</sup>
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5.	Construction Layout and Control, Complete in Place	For the lump sum of	_____ Dollars (\$_____)
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(Written Whole Dollars and Zero Cents)

6.	Remove & Dispose Of All Structures & Obstructions, Complete in Place	For the lump sum of	_____ Dollars (\$_____)
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(Written Whole Dollars and Zero Cents)

7.	Main Shaft Closure, Complete in Place	For the lump sum of	_____ Dollars (\$_____)
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(Written Whole Dollars and Zero Cents)

8.	Excavate Mine Spoil Piles Including All Surface Waste Rock, Complete in Place Haul to & Place in Designated Area, & Compact, Approx. 3,410 Cubic Yards	For the lump sum of	_____ Dollars (\$_____)
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(Written Whole Dollars and Zero Cents)

9.	Place Re-Contouring Fill, Approx. 11,550 Cubic Yards, Complete in Place Borrow, Haul, Place, Compact, & Trim to achieve Plan Lines & Grades	For the lump sum of	_____ Dollars (\$_____)
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(Written Whole Dollars and Zero Cents)

<sup>1</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determined.

<sup>2</sup> The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.



<b><u>BID</u></b>	<b><u>MATERIAL OR</u></b>	<b><u>ESTIMATED QUANTITY</u></b> <sup>1</sup>	<b><u>BID AMOUNT</u></b> <sup>2</sup>
<b><u>ITEM</u></b>	<b><u>WORK DESCRIPTION</u></b>		

10. Polyurethane Foam,  
Complete in Place

For the unit price of

\_\_\_\_\_ Dollars per Cubic Yard (\$ \_\_\_\_\_ )  
(Written Whole Dollars and Zero Cents) PER CY

X 33 Cubic Yards =

\_\_\_\_\_ Dollars (\$ \_\_\_\_\_ )  
(Written Whole Dollars and Zero Cents)

11. Place Rock For Sill Structures w/Class III non-woven geotextile filter fabric underlay,  
Complete in Place

For the unit price of

\_\_\_\_\_ Dollars per Cubic Yard (\$ \_\_\_\_\_ )  
(Written Whole Dollars and Zero Cents) PER CY

X 1,342 Cubic Yards =

\_\_\_\_\_ Dollars (\$ \_\_\_\_\_ )  
(Written Whole Dollars and Zero Cents)

12. Seed, Fertilize and Mulch All Disturbed Areas, Complete in Place  
Per BLM-FFO Bare Soil Reclamation Procedures Guidance, January 2013

For the unit price of

\_\_\_\_\_ Dollars per Acre (\$ \_\_\_\_\_ )  
(Written Whole Dollars and Zero Cents) PER AC

X 16.0 Acres =

\_\_\_\_\_ Dollars (\$ \_\_\_\_\_ )  
(Written Whole Dollars and Zero Cents)

<sup>1</sup> The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determined.

<sup>2</sup> The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

13. Install Biodegradable Double Net Coconut Straw Erosion Control Blanket, Complete in Place

For the unit price of

\_\_\_\_\_ Dollars per SY (\$ \_\_\_\_\_ )  
(Written Whole Dollars and Zero Cents) PER SY

X 7,990 SY =

\_\_\_\_\_ Dollars (\$ \_\_\_\_\_ )  
(Written Whole Dollars and Zero Cents)

**TOTAL BASE BID<sup>1</sup>**

\_\_\_\_\_ Dollars (\$ \_\_\_\_\_ )  
(Written Whole Dollars and Zero Cents)

(Amounts shall be shown in both words and figures. In case of discrepancy, the amount shown in words shall govern.)

I agree to the assurances set out in the Invitation to Bid, all of which are incorporated and included in this Bid Form by reference. I certify that I have the authority to bind the Bidder. The matters stated in this bid are true and accurate to the best of the Bidder’s knowledge. This bid is made without collusion or fraud.

**SIGNED:** \_\_\_\_\_

**TITLE:** \_\_\_\_\_

**DATE:** \_\_\_\_\_

**BIDDER’S NAME:** \_\_\_\_\_

**ADDRESS:** \_\_\_\_\_

**TELEPHONE NO.:** \_\_\_\_\_

\_\_\_\_\_

<sup>1</sup> The bid amount shall exclude the applicable state gross receipts tax or applicable local option tax.

**NEW MEXICO CONTRACTOR’S LICENSE NO.:** \_\_\_\_\_

**LICENSE CATEGORIES:** \_\_\_\_\_

**CONTRACTOR NM LABOR ENFORCEMENT FUND REGISTRATION NO.:**<sup>1</sup>  
\_\_\_\_\_

**SUBCONTRACTOR LABOR ENFORCEMENT FUND REGISTRATION NO.(S):**<sup>1</sup>  
\_\_\_\_\_

**Pay Equity Compliance**

Please mark the appropriate box below:

Bidder is subject to the Employee Pay Equity Reporting requirement and has provided a completed and signed employee pay equity form (PE 10-249 or PE250), along with this Bid Form.

Bidder is exempted from the Employee Pay Equity Reporting Requirement. (Bidders who have fewer than 10 employees, or fewer than eight employees in the same job classification, are exempt UNLESS they have at least eight employees in the same job classification.)

I (we) do hereby acknowledge receipt of the following addenda to the project documents:

**Addendum No.** \_\_\_\_\_ **Dated:** \_\_\_\_\_

**Addendum No.** \_\_\_\_\_ **Dated:** \_\_\_\_\_

**Addendum No.:** \_\_\_\_\_ **Dated:** \_\_\_\_\_

<sup>1</sup> Required for bids and subcontracts valued at more than fifty thousand dollars (\$50,000).

**00400 – SUPPLEMENTS TO BID FORMS**

00410 - Bid Security Form

Bond No. \_\_\_\_\_

**KNOW ALL MEN BY THESE PRESENTS**, that we \_\_\_\_\_

\_\_\_\_\_  
(Insert full name and address or legal title of Contractor)

as Principal, hereinafter called the Principal, and \_\_\_\_\_

\_\_\_\_\_  
(Insert full name and address or legal title of Surety)

a corporation duly organized under the laws of the State of New Mexico as Surety, hereinafter called the Surety, are held and firmly bound unto the Energy, Minerals and Natural Resources Department, 1220 South St. Francis Drive, Santa Fe, New Mexico 87505, as Obligee, hereinafter called the Obligee, in the sum of \_\_\_\_\_ Dollars (\$\_\_\_\_\_), for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

**WHEREAS**, the Principal has submitted a bid for the Project.

**NOW, THEREFORE**, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract or give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
PRINCIPAL (Seal)

\_\_\_\_\_  
WITNESS

\_\_\_\_\_  
TITLE

\_\_\_\_\_  
SURETY (Seal)

\_\_\_\_\_  
WITNESS

\_\_\_\_\_  
TITLE

**00420 - Bidder's Qualification Forms**

**CONTRACTOR'S QUALIFICATION STATEMENT**

To be filled out by the Bidder and returned with the responsive Bid.

BIDDER: \_\_\_\_\_

**I. Experience**

List the major construction projects that your organization has completed in the past five (5) years, giving the name of the project, project owner, contract amount, date of completion, and percentage of the cost of the work performed with your own forces. Do not leave blanks.

**I.** Project Name: \_\_\_\_\_

Owner: \_\_\_\_\_

Contract Amount: \$ \_\_\_\_\_

Completion Date: \_\_\_\_\_ Percentage: \_\_\_\_\_

**II.** Project Name: \_\_\_\_\_

Owner: \_\_\_\_\_

Contract Amount: \$ \_\_\_\_\_

Completion Date: \_\_\_\_\_ Percentage: \_\_\_\_\_

**III.** Project Name: \_\_\_\_\_

Owner: \_\_\_\_\_

Contract Amount: \$ \_\_\_\_\_

Completion Date: \_\_\_\_\_ Percentage: \_\_\_\_\_

IV. Project Name: \_\_\_\_\_

Owner: \_\_\_\_\_

Contract Amount: \$ \_\_\_\_\_

Completion Date: \_\_\_\_\_ Percentage: \_\_\_\_\_

V. Project Name: \_\_\_\_\_

Owner: \_\_\_\_\_

Contract Amount: \$ \_\_\_\_\_

Completion Date: \_\_\_\_\_ Percentage: \_\_\_\_\_

II. References

List references for the above projects including work performed, contact person, firm represented, mailing address, and phone number with area code. Do not leave blanks.

1. Work Performed: \_\_\_\_\_

\_\_\_\_\_

Contact Name: \_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

\_\_\_\_\_

Phone Number: ( \_\_\_\_\_ ) \_\_\_\_\_

2. Work Performed: \_\_\_\_\_

\_\_\_\_\_

Contact Name: \_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

\_\_\_\_\_

Phone Number: ( \_\_\_\_\_ ) \_\_\_\_\_

**3. Work Performed:** \_\_\_\_\_  
\_\_\_\_\_

Contact Name: \_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
\_\_\_\_\_

Phone Number: ( \_\_\_\_\_ ) \_\_\_\_\_

**4. Work Performed:** \_\_\_\_\_  
\_\_\_\_\_

Contact Name: \_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
\_\_\_\_\_

Phone Number: ( \_\_\_\_\_ ) \_\_\_\_\_

**5. Work Performed:** \_\_\_\_\_  
\_\_\_\_\_

Contact Name: \_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
\_\_\_\_\_

Phone Number: ( \_\_\_\_\_ ) \_\_\_\_\_

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**LIST OF SUBCONTRACTORS AND EQUIPMENT**  
To be filled out by the Bidder and returned with the responsive Bid.

**BIDDER:** \_\_\_\_\_

**00430 – SUBCONTRACTORS LIST**

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Any person submitting a bid shall in this bid set forth the name and location of the place of business of each subcontractor under subcontract to the Contractor who will perform work or labor or render service to the Contractor in or about the construction of the public works construction project and whose total contract will be in excess of \$5,000.00 and the nature of the work which will be done by each subcontractor under the New Mexico Subcontractors Fair Practices Act, NMSA 1978, § 13-4-34. The Contractor shall list only one subcontractor for each category as defined by the Contractor in this bid. Do not leave blanks. If no subcontractors, indicate such. The statute does not require listings of second tier subcontractors, material suppliers, and subcontractors whose contracts are less than \$5,000.

1. Work: \_\_\_\_\_  
\_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Phone No.: (\_\_\_\_) \_\_\_\_\_ License No.: \_\_\_\_\_

2. Work: \_\_\_\_\_  
\_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Phone No.: (\_\_\_\_) \_\_\_\_\_ License No.: \_\_\_\_\_

3. Work: \_\_\_\_\_  
\_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: . Zip Code: \_\_\_\_\_

Phone No.: ( \_\_\_\_\_ ) \_\_\_\_\_ License No.: \_\_\_\_\_

4. Work: \_\_\_\_\_  
\_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: . Zip Code: \_\_\_\_\_

Phone No.: ( \_\_\_\_\_ ) \_\_\_\_\_ License No.: \_\_\_\_\_

5. Work: \_\_\_\_\_  
\_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: . Zip Code: \_\_\_\_\_

Phone No.: ( \_\_\_\_\_ ) \_\_\_\_\_ License No.: \_\_\_\_\_

6. Work: \_\_\_\_\_  
\_\_\_\_\_

Firm Represented: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: . Zip Code: \_\_\_\_\_

Phone No.: ( \_\_\_\_\_ ) \_\_\_\_\_ License No.: \_\_\_\_\_

**00450 – EQUIPMENT LIST**

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List all pertinent equipment proposed to be employed on the above Project as required by the bidding documents. Attach a list on a separate piece of paper if more space is needed.

- A.** Equipment Type: \_\_\_\_\_  
Manufacturer: \_\_\_\_\_  
Model: \_\_\_\_\_  
Capacity: \_\_\_\_\_  
Condition: \_\_\_\_\_
- B.** Equipment Type: \_\_\_\_\_  
Manufacturer: \_\_\_\_\_  
Model: \_\_\_\_\_  
Capacity: \_\_\_\_\_  
Condition: \_\_\_\_\_
- C.** Equipment Type: \_\_\_\_\_  
Manufacturer: \_\_\_\_\_  
Model: \_\_\_\_\_  
Capacity: \_\_\_\_\_  
Condition: \_\_\_\_\_
- D.** Equipment Type: \_\_\_\_\_  
Manufacturer: \_\_\_\_\_  
Model: \_\_\_\_\_  
Capacity: \_\_\_\_\_  
Condition: \_\_\_\_\_
- E.** Equipment Type: \_\_\_\_\_  
Manufacturer: \_\_\_\_\_  
Model: \_\_\_\_\_  
Capacity: \_\_\_\_\_  
Condition: \_\_\_\_\_

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**0500 – AGREEMENT FORMS****SHARE Contract No. xx-521-xxxx-xxxx****STATE OF NEW MEXICO  
ENERGY, MINERALS AND NATURAL RESOURCES DEPARTMENT****CONSTRUCTION SERVICES CONTRACT**

THIS AGREEMENT is made and entered into by and between the State of New Mexico Energy, Minerals and Natural Resources Department (EMNRD), and \_\_\_\_\_, (Contractor). EMNRD's Director and staff of the Mining and Minerals Division (MMD) shall supervise and coordinate the work under this Construction Services Contract (Agreement).

***IT IS MUTUALLY AGREED BETWEEN THE PARTIES:*****I. Scope of Work**

A. The Contractor shall perform the work described in the Specifications for the Grants Uranium – Spencer Mine Safeguard and Reclamation Project, Ambrosia Lake, New Mexico in the Project Manual which is part of Invitation to Bids (ITB) No. EMNRD-MMD-2014-07. The ITB was solicited by the General Services Department, State Purchasing Division ITB No. \_\_\_\_\_. The Project Manual, Specifications, ITB, and Contractor's completed ITB are all incorporated into and made a part of this Agreement by reference. EMNRD shall have the sole authority to approve any changes to the Scope of Work and the Specifications and to approve the Contractor's final work product.

B. Within 10 business days of receiving the written Notice to Proceed (NTP) via certified mail, the Contractor shall mobilize to the site and commence work. Prior to commencement of work, the Contractor shall obtain all necessary permits required for this work.

C. **BEFORE ANY WORK IS INITIATED**, the Contractor shall give notice to all utility companies that provide service to the contract site and inform the utility companies of the work to be performed. In the event that work performed in connection with this Agreement may disturb utilities, Contractor shall coordinate with utility companies to ensure that locations of overhead or buried utilities and appurtenances are marked. Prior to work taking place, Contractor shall provide advance notice to consumers who may be affected by service disruption.

**II. Compensation**

A. Subject to additions or deductions pursuant to change orders, the total maximum amount of this Agreement is \_\_\_\_\_ (\$\_\_\_\_\_), which includes the amount of the accepted bid and the appropriate New Mexico Gross Receipts Tax. This

amount is a maximum and not a guarantee that the work assigned to be performed by Contractor under this Agreement shall equal the amount stated herein. The parties do not intend for the Contractor to continue to provide services without compensation when the total compensation amount is reached. Contractor is responsible for notifying EMNRD when the services provided under this Agreement reach the total compensation amount. In no event shall the Contractor be paid for services provided in excess of the total compensation amount without this Agreement being amended in writing prior to those services in excess of the total compensation amount being provided.

B. The New Mexico Gross Receipts Tax levied on the amounts payable under this Agreement shall be paid by the Contractor.

C. Subject to subparagraph II.A. above, EMNRD shall make payment upon receipt of a detailed and certified Application for Payment (invoice), a copy of which is included herein at Section 00900 of the Project Manual, per bid item. One invoice may cover multiple bid items. EMNRD shall have 15 days from the date it receives written notice from Contractor requesting payment to certify that work completed or services for which payment is requested are accepted or rejected. If certified as accepted, EMNRD shall then make payment to Contractor within 21 days of EMNRD's certification of acceptance (Payment Due Date). EMNRD may make payment to Contractor by first class mailing, electronic funds transfer, or by hand-delivery of the undisputed amount of a pay request based on work completed. If payment is made by mail, the payment shall be deemed tendered on the date it is postmarked. If EMNRD fails to pay Contractor within 21 days after the Payment Due date, EMNRD shall pay interest to Contractor beginning on the 22nd day after the Payment Due date, computed at 1.5 percent of the undisputed amount per month or fraction of a month until the payment is issued. If EMNRD receives an improperly completed invoice, EMNRD shall, within seven days of receiving the invoice, notify Contractor in what way the invoice is improperly completed, and EMNRD has no further duty to pay on the improperly completed invoice until Contractor submits it as complete. In the event work completed or services are rejected, Contractor shall promptly remedy all defects to EMNRD's satisfaction and resubmit its invoice. EMNRD shall have no obligation to make payment until Contractor submits a properly completed invoice.

Contractor shall comply with the Prompt Payment Act, NMSA 1978, § 57-28-5(C), in making prompt payments to its subcontractors and suppliers for amounts owed for work performed relating to this Agreement within seven days of receipt of payment from EMNRD.

D. **Final Payment:** After (i) the EMNRD Project Manager notifies the Project Engineer in writing that all deficiencies as described in Section 01700 have been remedied and (ii) Contractor completes full demobilization, the Contractor shall submit to EMNRD a final invoice, a properly executed release and duly certified payment voucher; a release of all claims and claims of lien against EMNRD and the project site arising under and by virtue of this Agreement; and any and all subcontracts (if allowed pursuant to this Agreement). After EMNRD approves the so submitted documents, Contractor shall submit to EMNRD certification of completion. Within 10 days after EMNRD receives the certification of completion, any

undisputed amounts remaining due the Contractor or subcontractor under the terms of this contract shall be paid by EMNRD.

### III. Term

This Agreement shall not become effective until it is (i) executed by an authorized representative of Contractor, EMNRD and the General Services Department, State Purchasing Division, and (ii) when the Department of Finance and Administration (DFA) of the State of New Mexico encumbers funds for this Agreement. This Agreement shall terminate on December 31, 2015, unless terminated pursuant to Sections IV, Termination; IX, Appropriations; or XXIV, Disputes.

### IV. Termination

#### A. For Reasons Beyond Contractor's Control

1. EMNRD may, by written order, terminate this Agreement or any portion thereof after determining that, for reasons beyond Contractor's control, the Contractor is prevented from proceeding with or completing the work as originally contracted for, and that termination would therefore be in the public interest. Such reasons for termination may include, but need not be limited to, executive orders of the President relating to prosecution of war or national defense, acts of God, labor strikes, a national emergency which creates a serious shortage of materials, orders from duly constituted authorities relating to energy conservation, and restraining orders or injunctions obtained by third-party citizen action resulting from national, state or local environmental protection laws or where the issuance of such order or injunction is primarily caused by acts or omissions of persons or agencies other than the Contractor.

2. If EMNRD orders termination of this Agreement effective on a certain date, payment shall be made for the actual number of units or items of work completed at the contract unit price, or as mutually agreed for items of work partially completed.

3. Acceptable materials Contractor obtains for the work but which have not been incorporated therein, may, at EMNRD's option, be purchased from the Contractor at actual cost, delivered to a prescribed location, or otherwise disposed of as mutually agreed.

4. After receipt of EMNRD's notice of termination issued pursuant to this Section IV.A., the Contractor may submit a claim for costs not covered above or elsewhere in the Specifications. Such claim may include such cost items as reasonable idle equipment time, mobilization efforts, overhead expenses attributable to the project terminated, legal and accounting charges involved solely in preparing the claim for costs, subcontractor costs not otherwise paid for, actual idle labor costs if work is stopped in advance of termination date, and guaranteed payments for private land usage as part of the original contract. In no event, however, shall loss of anticipated profits be considered as part of any settlement.

5. The Contractor agrees to make all cost records available upon EMNRD's request.

6. Termination of a contract or portion thereof shall not relieve the Contractor of any contractual responsibilities for the work completed, nor shall it relieve the surety of its obligation for and concerning any just claim arising out of the work performed.

B. For Reasons Within Contractor's Control:

1. If the Contractor:

- a. fails to begin the work under the contract within the time specified in the Notice to Proceed;
- b. fails to perform the work with sufficient skilled workers and equipment or with sufficient proper materials to assure the prompt completion of said work;
- c. fails to comply with laws, ordinances, rules, regulations or orders of public authority having jurisdiction;
- d. performs the work unsuitably or neglects or refuses to remove materials or to perform anew such work as may be rejected as unacceptable and unsuitable;
- e. discontinues the prosecution of the work;
- f. fails to resume work which has been discontinued within a reasonable time after notice to do so;
- g. becomes insolvent or files for bankruptcy or is placed into bankruptcy by creditors, or commits any acts of bankruptcy or insolvency;
- h. allows a final judgment, in a suit filed in connection with this contract, to stand against the Contractor unsatisfied for a period of 30 business days;
- i. makes an assignment, in connection with this contract, for the benefit of creditors;
- j. fails to carry on the work in an acceptable manner;
- k. otherwise has committed a material breach of the contract,

If EMNRD wishes to terminate this Agreement for any of the above reasons, EMNRD shall give notice in writing to Contractor and the surety of the occurrence(s) upon which EMNRD bases the termination, and the corrective measures to be taken (Default Notice), if any. Failure of EMNRD to provide a default notice or terminate this Agreement shall not operate as a waiver by EMNRD either rat the time of such failure or in the future.

If the Contractor or surety, within a period of 10 business days after such notice, does not proceed in accordance therewith, then EMNRD shall, have full power and authority without violating this Agreement to take possession of the premises and of all materials thereon and



finish the work by whatever method it may deem expedient. In such case, the Contractor shall not be entitled to receive any further payment for the work that Contractor performed after the date of the Default Notice until the work is finished. EMNRD shall also have all remedies available to it at law and in equity.

#### V. Status of the Contractor

The Contractor and its agents and employees are independent Contractors performing construction services for EMNRD and are not employees of the State of New Mexico. The Contractor and its agents and employees shall not accrue leave, retirement, insurance, bonding, use of state vehicles, or any other benefits afforded to employees of the State of New Mexico as a result of this Agreement.

#### VI. Assignment

The Contractor shall not assign or transfer any interest in this Agreement or assign any claims for money due or to become due under this Agreement without the prior written approval of EMNRD.

#### VII. Subcontracting

The Contractor shall comply fully with the provisions of the New Mexico Subcontractors Fair Practices Act, NMSA 1978, §§ 13-4-31 through 13-4-42. The Contractor shall not subcontract any portion of the services to be performed under this Agreement or obligate itself in any manner to any third party, with respect to any rights or responsibilities under this Agreement, without the prior written approval of EMNRD.

#### VIII. Records and Audit

The Contractor shall maintain detailed time and expenditure records that show the date, time, nature and cost of services rendered under this Agreement and retain them until December 31, 2018. These records shall be maintained and available within the State of New Mexico if the Contractor has an office within the state; otherwise, Contractor shall make such records available to EMNRD within New Mexico within five business days upon EMNRD's request. The records shall be subject to inspection by EMNRD, DFA, the State Auditor and the U.S. Department of the Interior (DOI). Contractor further agrees to include in all subcontracts hereunder the same right of inspection and audit against all subcontractors. EMNRD shall have the right to audit billings both before and after payment. Payment under this Agreement shall not foreclose EMNRD's right to recover excessive or illegal payments. The periods of inspection and audit may be extended for records, which relate to litigation or settlement of claims arising out of performance of this Agreement and costs and expenses of this Agreement for which exception is under consideration by DOI or any authorized representative and shall continue until all potential litigation, appeals, claims or exceptions have expired or been resolved.

If Contractor receives more than \$500,000 in federal funds in the aggregate from any source in a fiscal year, Contractor's financial records involving services and procurement under this Agreement shall be audited annually pursuant to all federal, state, and local government audit requirements and 2 C.F.R. Part 225 and the federal OMB Circular A-133, as prescribed by the Single Audit Act of 1984, or any subsequent OMB Circular. Contractor shall provide EMNRD with a copy of the independent financial audit, either in hard copy format or on disk, no more than 45 days after the audit's completion for each fiscal year this Agreement is in effect.

#### IX. Appropriations

The terms of this Agreement are contingent upon sufficient appropriations and authorization being made by the Legislature of New Mexico, the federal Congress, and the U.S. Department of the Interior for the performance of this Agreement. If sufficient appropriations and authorization are not made, this Agreement shall terminate upon written notice being given by EMNRD to the Contractor. EMNRD's decision as to whether sufficient appropriations are available shall be accepted by the Contractor and shall be final.

#### X. Release

The Contractor, upon final payment of the amount due under this Agreement, releases EMNRD, its officers and employees, and the State of New Mexico from all liabilities, claims, and obligations whatsoever arising from or under this Agreement. This release is self-executing upon such final payment. The Contractor agrees not to purport to bind the State of New Mexico to any obligation unless the Contractor has express written authority to do so, and then only within the strict limits of that authority.

#### XI. Confidentiality

Any confidential information provided to or developed by the Contractor in the performance of this Agreement shall be kept confidential by the Contractor and shall not be made available to any individual or organization by the Contractor without EMNRD's prior written approval.

#### XII. Amendment

This Agreement shall not be altered, changed, or amended except by instrument in writing executed by the parties hereto.

#### XIII. Scope of Agreement

This Agreement incorporates all of the agreements, covenants, and understandings between the parties hereto concerning the subject matter hereof, and all such agreements, covenants, and understandings have been merged into this written Agreement. No prior

agreements or understandings, verbal or otherwise, of the parties or their agents shall be valid or enforceable unless expressly incorporated into this Agreement.

XIV. Civil and Criminal Liability Notice

The Procurement Code, NMSA 1978, §§ 13-1-28 through 13-1-199, imposes civil and misdemeanor criminal penalties for its violation. In addition, the New Mexico criminal statutes impose felony penalties for bribes, gratuities, and kick-backs.

XV. Equal Opportunity Compliance

Contractor agrees to abide by all federal and state laws and rules and regulations, and executive orders of the Governor of the State of New Mexico, pertaining to equal employment opportunity. In accordance with all such laws of the State of New Mexico, Contractor assures that no person in the United States shall, on the grounds of race, religion, color, national origin, ancestry, sex, age, physical or mental handicap, or serious medical condition, spousal affiliation, sexual orientation or gender identity, be excluded from employment with or participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity performed under this Agreement. If Contractor is found not to be in compliance with these requirements during the life of this Agreement, Contractor agrees to take appropriate steps to correct these deficiencies.

XVI. Applicable Law

This Agreement shall be governed by the laws of the State of New Mexico, without giving effect to its choice of law provisions. In any lawsuit filed that relates to or arises from this Agreement or any obligations hereunder, venue shall be only in the New Mexico State District Court in Santa Fe, New Mexico. By executing this Agreement, Contractor agrees and consents to the personal jurisdiction of the State Court of New Mexico over any and all lawsuits relating to or arising from this Agreement or any obligation hereunder.

XVII. Waiver

No waiver of any of the terms or conditions of this Agreement shall be valid or binding unless the waiver request is submitted in writing by the party making the request and then approved and signed by the party granting the waiver.

XVIII. Notices

A. Unless EMNRD specifies otherwise in a writing that is delivered pursuant to this Paragraph, notices and all other matters concerning the work to be performed hereunder shall be addressed to EMNRD as follows:

Project Engineer: James Smith  
Contracting Division: Mining and Minerals Division  
Energy, Minerals and Natural Resources Department  
1220 South St. Francis Drive  
Santa Fe, New Mexico 87505  
1.505.476.3422

B. Unless the Contractor shall specify otherwise in a writing that is delivered pursuant to this Paragraph, notices and all other matters concerning the work to be performed hereunder shall be addressed to the Contractor as follows:

NAME OF CONTRACTOR  
ADDRESS  
ADDITIONAL ADDRESS  
CITY, ST, ZIP  
(XXX) XXX-XXXX

C. Any and all notices or other communications required or permitted by this Agreement or by law to be served or given to either party hereto by the other party hereto shall be in writing and shall be deemed duly served and given upon actual receipt by or three business days subsequent to certified mailing to the party to whom it is directed, whichever is earlier.

#### XIX. Indemnification

The Contractor shall defend, indemnify, and hold harmless EMNRD, and its officers, employees, agents and representatives, and the State of New Mexico from all actions, proceedings, claims, demands, costs, damages, attorneys' fees, and all other liabilities and expenses of any kind from any source that may arise out of this Agreement's performance, caused by the negligent or intentional act or failure to act of Contractor, its officers, employees, servants, subcontractors, consultants, clients, or agents, resulting in injury or damage to persons or property during the time when Contractor, its officers, agents, employees, servants, subcontractors, or consultants has or is performing services pursuant to this Agreement. In the event that any action, suit, or proceeding related to the services performed by Contractor, its officers, agents, employees, servants, subcontractors, clients, consultants under this Agreement is brought against Contractor, or any of its officers, agents, employees, servants, subcontractors or consultants, Contractor shall, as soon as practicable but no later than two days after it receives notice thereof, notify EMNRD's legal counsel and the Risk Management Division of the New Mexico General Services Department by certified mail. Nothing in this Agreement shall be deemed to be a waiver by the State of New Mexico of the provisions of the Tort Claims Act, NMSA 1978, §§ 41-4-1 *et seq.*

## XX. Duty to Insure

A. In respect solely to the work occasioned by this Agreement, the Contractor shall obtain and maintain at all times during the term of this Agreement, and any extension thereof, and require the same of any subcontractor in any subcontract to perform work occasioned by this Agreement, insurance of the kind and in the amounts herein specified. Such insurance shall be provided by insurance companies authorized to do business in New Mexico and shall name the “State of New Mexico, EMNRD, MMD, and its agents and employees thereof” as either additional insured, co-insured, or principal or third-party beneficiaries.

1. General Liability. Bodily injury liability and property damage liability insurance in the following minimum amounts: \$500,000.00 for damages to or destruction of property arising out of a single occurrence; \$1,000,000.00 to any person for any number of claims arising out of a single occurrence for all damages other than property damages, and \$1,000,000.00 for all claims arising out of a single occurrence.

2. Automobile Liability. Automobile liability insurance covering the ownership, operation, and maintenance of owned, non-owned, and hired vehicles, in the following amounts:

**Bodily injury liability –**  
\$700,000.00 each person  
\$1,000,000.00 each occurrence;

**Property damage liability--**  
\$1,000,000.00 each occurrence.

3. Workers’ Compensation. The Contractor shall comply fully with the provisions of the New Mexico Workers’ Compensation Act, NMSA 1978, §§ 52-1-1 through 52-1-70.

B. The Contractor shall furnish EMNRD with certificates of insurance and such other proof of insurance as EMNRD may require, prior to commencing work under this Agreement, and shall not commence any work under this Agreement until the required insurance coverage is obtained. The insurance coverage shall not be changed, canceled, or allowed to lapse without giving EMNRD 30 business days’ prior written notice.

## XXI. New Mexico Employees Health Insurance

A. If Contractor has, or grows to, six or more employees who work, or who are expected to work, an average of at least 20 hours per week over a six-month period during the term of this Agreement, Contractor certifies, by signing this Agreement, to have in place, and agree to maintain for this Agreement’s term, health insurance for those employees and offer that health insurance to those employees if the expected annual value in the aggregate of any and all contracts between Contractor and the state exceed \$250,000.

- B. Contractor agrees to maintain a record of the number of employees who have:
- 1) accepted health insurance;
  - 2) declined health insurance due to other health insurance coverage already in place; or
  - 3) declined health insurance for other reasons.

These records are subject to review and audit by a representative of the state.

C. Contractor agrees to advise all employees of the availability of state publicly-financed health care coverage programs.

## XXII. Employee Pay Equity Reporting

A. Contractor agrees if it has 10 or more New Mexico employees OR eight or more employees in the same job classification, at any time during the term of this contract, to complete and submit the PE10-249 form on the annual anniversary of the initial report submittal for contracts up to one year in duration.

B. If Contractor has 250 or more employees, Contractor must complete and submit the PE250 form on the annual anniversary of the initial report submittal for contracts up to one year in duration.

C. For contracts that extend beyond one calendar year, or are extended beyond one calendar year, Contractor also agrees to complete and submit the PE10-249 or PE250 form, whichever is applicable, within 30 days of the annual contract anniversary date of the initial submittal date or, if more than 180 days has elapsed since submittal of the last report, at the completion of the contract, whichever comes first.

D. Should Contractor not meet the size requirement for reporting at contract award but subsequently grows such that Contractor meets or exceeds the size requirement for reporting, Contractor agrees to provide the required report within 90 days of meeting or exceeding the size requirement. That submittal date shall serve as the basis for submittals required thereafter.

E. Contractor also agrees to levy this requirement on any subcontractor(s) performing more than 10% of the dollar value of this Agreement if said subcontractor(s) meets, or grows to meet, the stated employee size thresholds during the term of the contract. Contractor further agrees that, should one or more subcontractor not meet the size requirement for reporting at contract award but subsequently grows such that the subcontractor meets or exceeds the size requirement for reporting, Contractor shall submit the required report, for each such

subcontractor, within 90 days of that subcontractor meeting or exceeding the size requirement. Subsequent report submittals, on behalf of each such subcontractor, shall be due on the annual anniversary of the initial report submittal.

F. Contractor shall submit the required form(s) to the State Purchasing Division of the General Services Department, and other departments as may be determined, on behalf of the applicable subcontractor(s) in accordance with the schedule contained in this paragraph. Contractor acknowledges that this subcontractor requirement applies even though Contractor itself may not meet the size requirement for reporting and be required to report itself.

G. Notwithstanding the foregoing, if this Agreement was procured pursuant to a solicitation, and if Contractor has already submitted the required report accompanying Contractor's response to such solicitation, the report does not need to be re-submitted with this Agreement.

### XXIII. Additional Pay Equity Reporting Information

A. For the purposes of complying with Section XXII, Employee Pay Equity Reporting Information:

1. "Job Classification" means an arrangement of tasks in an establishment or industry into a limited series of jobs or occupations, rated in terms of skill, responsibility, experience, training, and similar considerations, usually for wage setting purposes. This term, or job class, refers to a single cluster of jobs of approximately equal "worth."

2. "New Mexico Employee" (also "Employee") means a person working within the State of New Mexico at a New Mexico facility, regardless where the employee legally resides, and regardless of the origin of compensation checks.

3. "PE10-249 form" means the reporting form to be used by contractors that meet or exceed the minimum size thresholds for reporting but have less than 250 New Mexico employees.

4. "PE250 form" means the reporting form to be used by contractors that have 250 or more New Mexico employees.

5. "Solicitation" means an Invitation to Bid or a Request for Proposals.

B. Exceptions to the Pay Equity Reporting Requirement:

1. Contractors with fewer than 10 employees are exempt, unless they have at least eight employees in the same job classification.

2. Contractors receiving a contract resulting from an emergency procurement are

exempt, unless they hold other contracts that would already subject them to the requirement.

#### XXIV. Disputes

Any dispute, other than the Contractor's acts set forth in Section IV, Termination, B., For Reasons Within Contractor's Control, concerning a question of fact arising under this Agreement, not disposed of by agreement, shall, first, be decided by the MMD Director, who shall reduce a decision to writing and furnish a signed copy to the Contractor. Such decision shall be final and conclusive unless, within 30 calendar days from the date of notification of the decision by certified mail, the Contractor mails or otherwise furnishes to the MMD Director, a written appeal, addressed to the EMNRD Secretary, to which MMD may respond in 10 business days. The Contractor shall be afforded an opportunity to be heard. The decision of the EMNRD Secretary or the authorized representative thereof, shall be final and conclusive, unless within 30 calendar days from the date of notification of the Secretary's decision by certified mail the Contractor submits a written request to the Secretary that the dispute be submitted to binding arbitration in accordance with Section XXV, Arbitration. Pending final decision of a dispute hereunder, and unless otherwise notified by EMNRD, the Contractor shall proceed diligently with the performance of this Agreement and in accordance with the EMNRD Secretary's decision.

#### XXV. Suspension of Work

A Suspension of Work Notice may be issued by the Project Manager if the Project Manager believes that any action of the Contractor is contrary to the intent of this Agreement or that any health or safety standard is violated or that a threat to public health or safety exists. No work performed after issuance of a Suspension of Work Notice shall be eligible for payment while such notice is in effect. No work shall proceed until such notice is vacated, in writing, by the MMD Director.

#### XXVI. Compliance with the Public Works Minimum Wage Act and Minimum Wage Rate Decision

A. If the Work to be performed under this Agreement is subject to the provisions of the Public Works Minimum Wage Act, NMSA 1978, Section 13-4-11 *et seq.*, Contractor shall comply with such act and applicable state rules. Each Application for Payment submitted to EMNRD shall include a certification by Contractor that it has complied with the provisions of NMSA 1978, Section 13-4-11 and applicable state rules when making wage payments for work performed pursuant to this Agreement.

B. This Agreement is within the scope of the Public Works Minimum Wage Act, NMSA 1978, §§ 13-4-10, *et seq.* The Minimum Wage Rate Decision No. "\_\_\_\_\_ " of the New Mexico Labor and Industrial Division (1.505.841.4408) shall be complied with by the Contractor and any subcontractors. A copy of the Decision is



included at Section 00830 of the Project Manual.

C. If compensation to be paid under this Agreement is in excess of sixty thousand dollars (\$60,000.00), the minimum wages and fringe benefits to be paid to various classes of laborers and mechanics, shall be based upon the wages and benefits that will be determined by the Director (Director) of the Labor Relations Division (LRD) of the New Mexico Workforce Solutions Department, to be prevailing for the corresponding classes of laborers and mechanics employed on contract work of a similar nature in the state or locality.

D. The Contractor, subcontractor, employer or a person acting as a contractor shall pay all mechanics and laborers employed on the site of the project, unconditionally and not less often than once a week and without subsequent unlawful deduction or rebate on any account, the full amounts accrued at time of payment computed at wage rates and fringe benefit rates not less than those determined pursuant to NMSA 1978, Section 13-4-11.B to be the prevailing wage rates and prevailing fringe benefit rates issued for this project.

E. Pursuant to 11.1.2.9.B(6) through (10) NMAC, Public Works Minimum Wage Act Policy Manual, Contractor and all tiers of subcontractors shall submit certified weekly payroll records to EMNRD on a bi-weekly basis, and, to the LRD Director when requested by the Director or an interested party such as contractors, contracting agencies, labor organizations and contractor associations.

1. All payroll records provided to EMNRD must contain the following information in the specified format:

(a) the employee's full name and address need only appear on the first payroll on which the employee's name appears, unless a change of address necessitates an additional submittal to reflect the new address;

(b) the employee's classification (or classifications);

(c) the employee's hourly wage rate (or rates) ; the employee's hourly fringe benefits; and where applicable, the employee's overtime hourly wage rate (or rates);

(d) the daily and weekly hours worked in each classification, including actual overtime hours worked (not adjusted);

(e) the itemized deductions made;

(f) the net wages paid; and

(g) the number of the wage rate decision issued on the project by the Director.

2. All payrolls shall be numbered, starting with number one for the first payroll at the beginning of the job and continuing in numerical order until the job is completed.

3. Contractor and each of his or her subcontractors shall submit a bi-weekly statement of compliance in the following form:

Date \_\_\_\_\_

I, \_\_\_\_\_, (Name of Signatory Party)  
(Title)

do hereby state:

That I pay or supervise the payment of the persons employed by \_\_\_\_\_  
(contractor or subcontractor) on the \_\_\_\_\_; that (building or work)

During the payroll period commencing on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_, and ending the  
\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_, all persons employed on said project have been paid the full weekly  
wages earned, that no rebates have been or will be made either directly or indirectly to or on behalf of said  
\_\_\_\_\_(Contractor or subcontractor) from the full weekly wages earned by any  
person and that no deductions have been made either directly or indirectly from the full wages earned by any person,  
other than deductions permitted by law.

That any payrolls under this Agreement required to be submitted for the above period are correct and complete; that  
the wage rates for laborers or mechanics contained therein are not less than the applicable wage rates incorporated  
into the Agreement; that the classifications set forth therein for each laborer or mechanic conform with the work he  
performed. That any apprentices or trainees employed in the above period are duly registered in a bona fide  
apprenticeship program registered with a state apprenticeship agency recognized by the office of apprenticeship  
United States department of labor, or properly enrolled in a bona fide training program approved for application on  
public works construction projects by the appropriate state or federal agency(ies) if and as required by law and  
applicable federal regulation.

I, \_\_\_\_\_, being first duly sworn on oath under penalty of perjury, swear that the  
foregoing information is true and correct.

Notary: Subscribed and sworn to before me at  
\_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, 19 \_\_\_\_\_.

\_\_\_\_\_  
Notary public

\_\_\_\_\_  
(SIGNATURE)

\_\_\_\_\_  
(DATE)

My commission expires: \_\_\_\_\_

4. The Contractor and all subcontractors and their tiers shall deliver or mail  
to EMNRD legible copies of the certified weekly payrolls prepared in accordance with these  
regulations to the prime contractor and the contracting agency no more than five working days  
following the close of the second payroll period. Weekly payrolls shall be submitted bi-weekly.

5. The affidavit form must be filed prior to the final payment to a Contractor.  
Bond monies and retainage will be released only to Contractors who have filed affidavits  
pursuant to the provisions of 11.1.2. NMAC. Any Contractor or subcontractor who files a false  
statement or refuses to file any statement or record required to be filed under the provisions of  
11.1.2 NMAC shall be considered as non-compliant and shall be subject to debarment

proceedings. EMNRD and Contractor shall keep all certified payroll records for four years after the completion of this Agreement.

F. EMNRD shall require wage rate inspections during the period of construction.

G. Contractors and all contracting tiers on projects must file a statement of intent to pay prevailing wages (intent), and an affidavit of wages paid (affidavit). The intent form must be filed with EMNRD within three business days of the award of each respective contract. EMNRD will make no payments to a non-compliant contractor until an intent form is filed.

H. Contractor or subcontractor shall post minimum wage rates in a prominent, easily accessible place at the site of each particular project.

I. The LRD Director shall furnish EMNRD with a poster containing the minimum wage rates. EMNRD shall forward said poster to Contractor for posting at each particular project site.

J. Contractor and subcontractor shall comply with all requirements imposed by the Public Works Minimum Wage Act and 11.1.2 NMAC.

K. Contractor's records shall be subject to inspection by state and federal agencies that have jurisdiction over such matters to determine compliance with the provisions of NMSA 1978, Section 13-4-11 *et seq.*, as provided above or by an applicable federal or state law. If Contractor fails to comply with the provisions of this Section XXVII, EMNRD may terminate this Agreement by giving notice in the manner provided herein.

#### XXVII. Required Bond for Public Works Contractor

This Agreement is within the scope of NMSA 1978, §§ 13-4-18 through 13-4-20. BEFORE BEGINNING ANY WORK UNDER THIS AGREEMENT, the Contractor shall furnish a performance bond (see example in Section 00610 of the Project Manual) and a payment bond (see example in Section 00620 of the Project Manual) both executed by the Contractor and issued by a surety authorized to do business in the State of New Mexico in an amount equal to 100% of the total Agreement price. Agreement price equals bid total plus gross receipts tax. A letter of credit is not acceptable.

The performance bond shall be conditioned upon the Contractor's performance and faithful completion of this Agreement, according to the terms, in compliance with all requirements of law. The payment bond shall guarantee payments of all just claims for the labor performed and for materials and supplies furnished, whether the labor and supplies are furnished to the prime Contractor or any subcontractors. These bonds shall be in the form approved by EMNRD. The surety shall be subject to the approval of EMNRD. The decision of EMNRD shall be accepted by the Contractor as final.

### XXVIII. Liquidated Damage for Inconvenience and Increased Administrative Cost

The parties agree that time for the performance of this Agreement is of the essence. Should the Contractor fail to perform the entire project within the Contract Time for project completion (see Section 00100 of the Project Manual), the Contractor agrees to the charge of \$300.00 per calendar day of liquidated damages representing inconvenience and increased administrative cost. Such damages shall begin to accrue on the calendar day following the last day for performance of work under this Agreement. The Contractor stipulates that EMNRD may withhold additional payments under this Agreement or attach the performance bond to cover the liquidated damages set forth above or to cover the cost of any duplicative work that is made necessary by Contractor's failure to perform as required by this Agreement. Liquidated damages shall continue until written notice of satisfactory completion is forwarded by the Project Manager to the Project Engineer. This provision is limited to damages for inconvenience and increased administrative cost, and shall not otherwise affect EMNRD's right to seek other remedies including other damages, at law or in equity.

### XXIX. Compliance with Trafficking Victims Protection Act of 2000

A. Pursuant to 2 C.F.R, Chapter 1, Part 175, § 175, EMNRD may immediately and unilaterally terminate this Agreement without penalty if the Contractor or subcontractor:

- 1) engages in severe forms of trafficking in persons during this Agreement's term;
- 2) procures a commercial sex act during this Agreement's term; or
- 3) uses forced labor in the performance of this Agreement.

B. Contractor shall immediately inform EMNRD of any information Contractor receives from any source alleging a violation of a prohibition in Paragraph A. of this Section 13.28.

C. Contractor shall include the requirements of this Section 13.28 in any subcontract which may result from this Agreement.

### XXX. Compliance with use of Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs)

Contractor shall take affirmative steps to assure that MBEs and WBEs are used when possible as sources of supplies, equipment, construction, and services. The affirmative steps shall include the following:

- a) including qualified MBEs/WBEs on solicitation lists;
- b) assuring that MBEs/WBEs are solicited once they are identified;
- c) when economically feasible, dividing total requirements into smaller tasks or quantities so as to permit maximum MBE/WBE participation;
- d) where feasible, establishing delivery schedules which will encourage MBE/WBE participation;
- e) encouraging use of the services of the U.S. Department of Commerce's Minority Business Development Agency and the U. S. Small Business Administration to identify MBEs/WBEs, as required; and
- e) if any subcontracts are to be let, requiring the subcontractor to take the affirmative steps listed above.

XXXI. Compliance with Federal Laws

If this Agreement is valued at more than \$100,000, Contractor shall comply with all applicable standards orders or requirements issued under the federal Clean Air Act (42 U.S.C. §7401 et seq.); Clean Water Act (33 U.S.C. §1251 et seq.); Executive Order 11738 (Providing for Administration of the Clean Air Act and the Federal Water Pollution Control Act With Respect to Federal Contracts, Grants, or Loans); and U.S. Environmental Protection Agency regulations.

[This space intentionally left blank.]

**IN WITNESS WHEREOF**, the parties have executed this Agreement as of the date first written below.

**STATE OF NEW MEXICO, ENERGY, MINERALS AND NATURAL RESOURCES DEPARTMENT**

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Cabinet Secretary or Designee

**CONTRACTOR**

Federal I.D. Number: \_\_\_\_\_

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Authorized Representative

\_\_\_\_\_  
Printed Name and Title

**STATE OF NEW MEXICO, GENERAL SERVICES DEPARTMENT, STATE PURCHASING DIVISION**

By: \_\_\_\_\_ Date: \_\_\_\_\_  
State Purchasing Agent

The records of the Taxation and Revenue Department reflect that the Contractor is registered with the Taxation and Revenue Department of the State of New Mexico to pay gross receipts and compensating taxes.

**STATE OF NEW MEXICO  
TAXATION AND REVENUE  
DEPARTMENT**

Contractor Name: \_\_\_\_\_

NM I.D. No.: \_\_\_\_\_

By: \_\_\_\_\_

Date: \_\_\_\_\_

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**00600 – BONDS AND CERTIFICATES**

**00610 - Performance Bonds**

Bond No. \_\_\_\_\_

**KNOW ALL MEN BY THESE PRESENTS:** that \_\_\_\_\_

\_\_\_\_\_  
(Insert full name and address or legal title of Contractor)

as Principal, hereinafter called Contractor, and, \_\_\_\_\_

\_\_\_\_\_  
(Insert full name and address or legal title of Surety)

as Surety, hereinafter called Surety, are held and firmly bound unto the Energy, Minerals and Natural Resources Department, 1220 South St. Francis Drive, Santa Fe, New Mexico 87505, as Obligee, hereinafter called the Owner, in the amount of \_\_\_\_\_ Dollars (\$ \_\_\_\_\_), for the payment whereof Contractor and Surety bind themselves, their heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

**WHEREAS,** Contractor has by written agreement dated \_\_\_\_\_, 20\_\_, entered into a contract with Owner for the Grants Uranium – Spencer Mine Safeguard and Reclamation Project, Project No. EMNRD-MMD-2014-07, McKinley County, New Mexico, in accordance with the enclosed Drawings and Specifications, which contract is by reference made a part hereof, and is hereinafter referred to as the Contract.

### Performance Bond

**NOW THEREFORE, THE CONDITION OF THIS OBLIGATION** is such that, if Contractor shall promptly and faithfully perform said Contract, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

The surety hereby waives notice of any alteration or extension of time made by the Owner.

Whenever Contractor shall be, and declared by Owner to be in default under the Contract, the Owner having performed Owner’s obligations thereunder, the Surety may promptly remedy the default, or shall promptly

- 1) Complete the Contract in accordance with its terms and conditions, or
- 2) Obtain a bid or bids for completing the Contract in accordance with its terms and conditions, and upon determination by Surety of the lowest responsible bidder, or, if the Owner elects, upon determination by the Owner and the Surety jointly of the lowest responsible bidder, arrange for a contract between such bidder and Owner, and make available as Work progresses (even though there should be a default or a succession of defaults under the contract or

contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the balance of the contract price; but not exceeding, including other costs and damages for which the Surety may be liable hereunder, the amount set forth in the first paragraph hereof. The term “balance of the contract price,” as used in this paragraph, shall mean the total amount payable by Owner to Contractor under the Contract and any amendments thereto, less the amount properly paid by Owner to Contractor.

Any suit under this bond must be instituted before the expiration of two (2) years from the date on which final payment under the Contract falls due.

No right of action shall accrue on this bond to or for the use of any person or corporation other than the Owner named herein or the heirs, executors, administrators, or successors of the Owner.

Signed and sealed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
WITNESS

\_\_\_\_\_  
WITNESS

\_\_\_\_\_  
PRINCIPAL (Seal)

\_\_\_\_\_  
TITLE

\_\_\_\_\_  
SURETY (Seal)

\_\_\_\_\_  
TITLE

**00620 - Payment Bonds**

Bond No. \_\_\_\_\_

THIS BOND IS ISSUED SIMULTANEOUSLY WITH PERFORMANCE BOND IN FAVOR OF THE OWNER CONDITIONED ON THE FULL AND FAITHFUL PERFORMANCE OF THE CONTRACT.

**KNOW ALL MEN BY THESE PRESENTS:** that \_\_\_\_\_

\_\_\_\_\_  
(Insert full name and address or legal title of Contractor)

as Principal, hereinafter called Contractor, and,

\_\_\_\_\_  
(Insert full name and address or legal title of Surety)

as Surety, hereinafter called Surety, are held and firmly bound unto the Energy, Minerals and Natural Resources Department, 1220 South St. Francis Drive, Santa Fe, New Mexico 87505, as Obligee, hereinafter called the Owner, in the amount of Dollars (\$\_\_\_\_\_), for the payment whereof Principal and Surety bind themselves, their heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

**WHEREAS**, Principal has by written agreement dated \_\_\_\_\_, 20\_\_\_\_, entered into a contract with Owner for the Grants Uranium – Spencer Mine Safeguard and Reclamation Project, Project No. EMNRD-MMD-2014-07, McKinley County, New Mexico, in accordance with the enclosed Drawings and Specifications, which contract is by reference made a part hereof, and is hereinafter referred to as the Contract.

### Labor and Material Payment Bond

NOW THEREFORE, THE CONDITION OF THIS OBLIGATION is such that, if Principal shall promptly make payment to all claimants as hereinafter defined, for all labor and material used or reasonably required for use in the performance of the Contract, then this obligation shall be void; otherwise it shall remain in full force and effect, subject, however, to the following conditions:

- 1. A claimant is defined as one having a direct contract with the Principal or with a Subcontractor of the Principal for labor, material, or both, used or reasonably required for use in the performance of the Contract, labor and material being construed to include that part of water, gas, power, light, heat, oil, gasoline, telephone service, or rental of equipment directly applicable to the Contract.
- 2. The above named Principal and Surety hereby jointly and severally agree with the Owner that every claimant as herein defined, who has not been paid in full before the expiration of a period of ninety (90) days after the date on which the last of such claimant’s work or labor was done or performed, or materials were furnished by such claimant, may sue on this bond for the use of such claimant, prosecute the suit to final judgment for such sum or sums as may be justly due claimant, and have execution thereon. The Owner shall not be liable for the payment of any costs or expenses of any such suit.
- 3. No suit or action shall be commenced hereunder by any claimant:
  - a) Unless claimant, other than one having a direct contract with the Principal, shall have given written notice to any two (2) of the following: the Principal, the Owner, or the Surety above named, within ninety (90) days after such claimant did or performed the last of the work or labor, or furnished the last of the materials for which said claim is made, stating with substantial accuracy the amount claimed and the name

- of the party to whom the materials were furnished, or for whom the work or labor was done or performed. Such notice shall be served by mailing the same by registered mail or certified mail, postage prepaid, in an envelope addressed to the Principal, Owner, or Surety, at any place where an office is regularly maintained for the transaction of business, or served in any manner in which legal process may be served in the state in which the aforesaid project is located, save that such service need not be made by a public officer.
- b) After the expiration of one (1) year following the date on which Principal ceased Work on said Contract, it being understood, however, that if any limitation embodied in this bond is prohibited by any law controlling the construction hereof such limitation shall be deemed to be amended so as to be equal to the minimum period of limitation permitted by such law.
- c) Other than in a state court of competent jurisdiction in and for the county or other political subdivision of the state in which the Project, or any part thereof, is situated, or in the United States District Court for the district in which the Project, or any part thereof, is situated, and not elsewhere.
- 4. The amount of this bond shall be reduced by and to the extent of any payment or payments made in good faith hereunder, inclusive of the payment by Surety of mechanics’ liens which may be filed of record against said improvement, whether or not claim for the amount of such lien be presented under and against this bond.

Signed and sealed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
PRINCIPAL (Seal)

\_\_\_\_\_  
WITNESS

\_\_\_\_\_  
TITLE

\_\_\_\_\_  
SURETY (Seal)

\_\_\_\_\_  
WITNESS

\_\_\_\_\_  
TITLE



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**00700 – GENERAL CONDITIONS**

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**00704 - Differing Site Conditions**

During the progress of work, if subsurface or latent physical conditions are encountered at the site differing materially from those indicated in the contract or if unknown physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract, are encountered at the site, the party discovering such conditions shall promptly notify the other party in writing of the specific differing conditions before they are disturbed and before the affected work is performed.

Upon written notification, the Project Engineer will investigate the conditions, and if the Project Engineer determines that the conditions materially differ and cause an increase or decrease in the cost or time required for the performance of any work under the contract, an adjustment, excluding loss of anticipated profits, will be made and the contract modified in writing accordingly. The Project Engineer will notify the Contractor of the determination whether or not an adjustment of the contract is warranted.

No contract adjustment that results in a benefit to the Contractor will be allowed unless the Contractor has provided the required written notice.

No contract adjustment will be allowed under this clause for any effects caused by unchanged work.

**00713 – Warranty and Guarantee**

The Contractor shall obtain and assign to EMNRD all manufacturers' and producers' guarantees or warranties which are normally provided as customary trade practice for items and materials incorporated into the work. In the absence of a manufacturer's or producer's guarantee, the Contractor warrants that equipment and material incorporated into the work is free from any defects or imperfections in workmanship and material for a period of one year after acceptance by EMNRD. The Contractor shall promptly, without cost to EMNRD, and in accordance with EMNRD's written instructions, either correct such defective work, or, if it has been rejected by EMNRD, remove it from the site and replace it with nondefective work. If the Contractor does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, EMNRD may have the defective work removed and replaced, and all direct, indirect, and consequential costs of such removal and replacement (including but not limited to fees and charges of engineers, architects, attorneys, and other professionals) will be paid by the Contractor.

**00720 - Duties, Responsibilities and Limitations of Authority of the Project Manager**

## A. General

The Project Manager is the EMNRD employee who monitors construction, who acts as directed by and under the supervision of the Project Engineer, and who will confer with the Project Engineer regarding their actions. The Project Manager's dealings in matters pertaining to the on-site work shall in general be only with the Project Engineer and the Contractor, and dealings with subcontractors shall only be through or with the full knowledge of the Contractor. Written communication with EMNRD will be through or as directed by the Project Engineer.

## B. Duties and Responsibilities.

The Project Manager will:

1. Schedules: Review the progress schedule prepared by the Contractor and consult with the Project Engineer concerning acceptability.
2. Conferences: Attend preconstruction conferences, progress meetings, job conferences as required in consultation with the Project Engineer, and other project related meetings.
3. Liaison: Serve as the Project Engineer's liaison with the Contractor, working principally through the Contractor's superintendent and assist him in understanding the intent of the Contract Documents.
4. Shop Drawings and Samples:
  - a. Receive and record date of receipt of shop drawings and samples, receive samples that are furnished at the site by the Contractor, and notify the Project Engineer of their availability for examination.
  - b. Advise the Project Engineer and the Contractor or its superintendent immediately of the commencement of any work requiring a shop drawing or sample submission if the Project Engineer has not accepted the submission.
5. Review of Work, Rejection of Defective Work, Inspections and Tests:
  - a. Conduct on-site observations of the work in progress to assist the Project Engineer in determining if the work is proceeding in accordance with the Contract Documents, and that completed work will conform to the Contract Documents.
  - b. Report to the Project Engineer whenever he believes that any work is unsatisfactory, faulty or defective or does not conform to the Contract Documents, or



- does not meet the requirements of any inspections, tests or approvals required to be made, or has been damaged prior to final payment; and advise the Project Engineer when he believes work should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection or approval.
- c. Verify that tests, equipment and systems startups and operating and maintenance instructions are conducted as required by the Contract Documents and in presence of the required personnel, and that the Contractor maintains adequate records thereof; observe, record and report to the Project Engineer appropriate details relative to the test procedures and startups.
  - d. Accompany visiting inspectors representing public or other agencies having jurisdiction over the Project, record the outcome of these inspections and report to the Project Engineer.
6. Interpretation of Contract Documents: Transmit to Contractor the Project Engineer's clarifications and interpretations of the Contract Documents.
  7. Modifications: Consider and evaluate the Contractor's suggestions for modifications in drawings or Specifications and report them with recommendations to the Project Engineer.
  8. Records:
    - a. Maintain at the job site orderly files for correspondence, reports of job conferences, shop drawings and samples submissions, reproductions of original Contract Documents including all addenda, change orders, field orders, additional drawings issued subsequent to the execution of the Contract, the Project Engineer's clarifications and interpretations of the Contract Documents, progress reports, and other Project related documents.
    - b. Keep a diary or log book, recording hours on the job site, weather conditions, data relative to questions of extras or deductions, list of visiting officials and representatives of manufacturers, fabricators, suppliers and distributors, daily activities, decisions, observations in general and specific observations in more detail as in the case of observing test procedures. Send copies to the Project Engineer.
    - c. Record names, addresses and telephone numbers of all the Contractors, subcontractors and major suppliers of materials and equipment.
  9. Reports:
    - a. Furnish the Project Engineer periodic reports as required of progress of the work and the Contractor's compliance with the approved progress schedule and schedule of shop drawing submissions.

- b. Consult with the Project Engineer in advance of scheduled major tests, inspections or start of important phases of the work.
  - c. Report immediately to the Project Engineer upon the occurrence of any accident.
10. Payment Requisitions: Review Applications for Payment with the Contractor for compliance with the established procedure for their submission and forward them with recommendations to the Project Engineer, noting particularly their relation to the schedule of values, work completed and materials and equipment delivered at the site but not incorporated in the work.
11. Certificates, Maintenance and Operation manuals: During the course of the work, verify that certificates, maintenance and operation manuals and other data required to be assembled and furnished by the Contractor are applicable to the items actually installed; and deliver this material to the Project Engineer for review prior to final acceptance of the work.
12. Completion:
- a. Before the Contractor issues written certification to the Project Engineer that the project is complete, submit to the Contractor a pre-final list of observed items requiring completion or correction.
  - b. Conduct final inspection in the company of the Project Engineer and the Contractor and prepare a final list of items to be completed or corrected.
  - c. Verify that all items on final list have been completed or corrected and make recommendations to the Project Engineer concerning acceptance.

C. Limitations of Authority.

Except upon written instructions of the Project Engineer and notification to the Contractor, the Project Manager:

1. Shall not authorize any deviation from the Contract Documents or approve any substitute materials or equipment.
2. Shall not exceed limitations on the Project Engineer's authority as set forth in the Contract Documents.
3. Shall not undertake any of the responsibilities of the Contractor, subcontractors or the Contractor's superintendent, or expedite the work.

4. Shall not issue directions relative to any aspect of the means, methods, techniques, sequences or procedures of construction unless such is specifically called for in the Contract Documents.
5. Shall not issue directions as to safety precautions and programs in connection with the work.
6. Shall not participate in specialized field or laboratory test, unless such is specifically called for in the Contract Documents.
7. Shall not receive any materials, supplies, equipment, etc. on behalf of the Contractor.

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**00800 – SUPPLEMENTARY CONDITIONS****00825 – CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS, DRUG-FREE WORKPLACE REQUIREMENTS AND LOBBYING****U.S. DEPARTMENT OF THE INTERIOR  
Office of Surface Mining Reclamation and Enforcement****Certifications Regarding Debarment, Suspension and  
Other Responsibility Matters, Drug-Free Workplace  
Requirements and Lobbying**

Persons signing this form should refer to the regulations referenced below for complete instructions.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions (See Appendix B of Subpart D of 43 CFR 12).

Certification Regarding Drug-Free Workplace Requirements (Grantees Other Than Individuals)

(See Appendix C of Subpart D of 43 CFR 12).  
Certification Regarding Lobbying (See 43 CFR 18).

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Office of Surface Mining determines to award the covered transaction, grant or cooperative agreement.

<b>PART A: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions</b>
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\_\_\_ *CHECK IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE.*

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

<b>PART B: Certification Regarding Drug Free Workplace Requirements</b>
---

\_\_\_ *CHECK IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL.*

1. The grantee certifies that it will or continue to provide a drug-free workplace by:
  - (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

- (b) Establishing an ongoing drug-free awareness program to inform employees about –
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee’s policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation and employee assistance programs; and
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

I Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will –
  - (1) Abide by the terms of the statement and
  - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification numbers(s) of each affected grant;

- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted –
  - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

2. The grantee shall provide below the site(s) of the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Check if there are workplaces on file that are not identified here.

**PART C: Certification Regarding Lobbying**

— *CHECK IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT; SUBCONTRACT OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.*

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.

\_\_\_\_\_  
SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

\_\_\_\_\_  
NAME

\_\_\_\_\_  
DATE

\_\_\_\_\_  
TITLE

This form consolidates DI-1953, DI-1954, DI-1955, DI-1956 and DI-1963.

DI-2010 (March 1995)  
Modified for AML Use

**00826 – APPLICANT/VIOLATOR SYSTEM INFORMATION**

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**Instructions for Completing AML Contractor Form OMB #1029-01191**

Part A: General Information. Part A should be completed by the AML Contractor.

Part B: Legal Structure. Part B should be completed by the AML Contractor.

Part C: Certifying and updating information in the Applicant/Violator System (AVS). Part C should be completed by the AML Contractor, selecting the statement that best describes their situation.

If information is accurate, complete and up-to-date, then check the first statement and sign and date. Attach the Entity OFT printout to the OMB #1029-0119 form and submit the form and attachment to the AML Contracting Officer your business is working with.<sup>2</sup>

Upon reviewing an Entity OFT printout, if you discover the information contained in AVS is not accurate, complete and up-to-date, then check the second statement and complete Part D to provide missing or corrected information that needs reflected in AVS. Attach the Entity OFT printout to the OMB #1029-0119 form and submit the form and attachment to the AML Contracting Officer your business is working with.

If your business does not appear to have any information in AVS, then check the third statement and complete Part D. Submit the OMB #1029-0119 form to the AML Contracting Officer your business is working with.

Part D:

If current Entity OFT information for your business is incomplete, incorrect, or if you believe there is no information currently in the AVS for your business, you must complete Part D. Submit the OMB #1029-0119 form to the AML Contracting Officer your business is working with.

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<sup>1</sup>If you need any assistance completing OMB #1029-0119, please contact the AVS Office at 800.643.9748.

<sup>2</sup> You may obtain your business' Entity OFT for certification purposes two ways. One way is to contact the AVS Office at 800.643.9748 and request the information. The second way is to access the AVS from your personal computer by visiting <https://avss.osmre.gov>. Click "Access AVS", and then Login as Guest. Place your cursor on the "Entity" Module and "Click". Type your business name in search box and press enter key. If more than one entity record appears, select your company and then "Click" on the "relationship" tab to display your Entity OFT information. Print the Entity OFT from AVS.



**REQUEST FOR AN APPLICANT/VIOLATOR SYSTEM (AVS)  
AML CONTRACTOR DATA EVALUATION**

An AVS data evaluation for AML contractors is required under the Federal rules at 30 CFR 874.16. Please provide the information requested below and send your request via postal mail, e-mail or FAX to:

Donietta L. Hawkey  
Program Analyst  
Office of Surface Mining  
Applicant/Violator System Office  
2679 Regency Rd  
Lexington, Kentucky 40503  
859-260-3924  
E-mail: [dhawkey@osmre.gov](mailto:dhawkey@osmre.gov)

Date \_\_\_\_\_

Requesting Office \_\_\_\_\_

Contact \_\_\_\_\_

Contact's Telephone \_\_\_\_\_

Preferred response method:

E-MAIL: \_\_\_\_\_

or

FAX: (\_\_\_\_\_) \_\_\_\_\_

**Level of your request: Emergency** \_\_\_\_\_

(Expect your response within 4 hrs if received before 3 PM Eastern)

**Non-Emergency** \_\_\_\_\_

(Expect your response with two business days)

**Note to Evaluator:**

OMB #1029-0119  
Expiration Date: 1/31/16

### AML CONTRACTOR INFORMATION FORM

You must complete this form for your AML contracting officer to request an eligibility evaluation from the Office of Surface Mining to determine if you are eligible to receive an AML contract. This requirement applies to contractors and their sub-contractors and is found under OSM's regulations at 30 CFR 874.16.

#### Part A: General Information

Business Name: \_\_\_\_\_ Tax Payer ID No.: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ Phone: \_\_\_\_\_  
Fax No.: \_\_\_\_\_ E-mail address: \_\_\_\_\_

#### Part B: Legal Structure

Corporation       Sole Proprietorship       Partnership       LLC  
 Other (please specify) \_\_\_\_\_

**Part C: Certifying and updating information in the Applicant/Violator System (AVS).** Select only one of the following options, follow the instructions for that option, and sign below.

I, \_\_\_\_\_, have the express authority to certify that:  
(print name)

- \_\_\_\_\_ Information on the **attached** Entity Organizational Family Tree (OFT) from AVS is accurate, complete, and up-to-date. If you select this option, you **must** attach an Entity OFT from AVS to this form. Sign and date below and do not complete Part D.
- \_\_\_\_\_ Part of the information on the **attached** Entity OFT from AVS is missing or incorrect and must be updated. If you select this option, you **must** attach an Entity OFT from AVS to this form. Use Part D to provide the missing or corrected information. Sign and date below and complete Part D.
- \_\_\_\_\_ Our business currently has no information in AVS. If you select this option, you must provide all information required in Part D. Sign and date below and complete Part D.

\_\_\_\_\_  
Date      Signature      Title

**IMPORTANT! In order to certify in Part C to the accuracy of existing information in AVS, you must obtain a copy of your business' Entity OFT. To obtain an Entity OFT, contact the AVS Office, toll-free, at 800-643-9748 or from the AVS website at <https://avss.osmre.gov>.**

**Part D.**

**Contractor Name:** \_\_\_\_\_

If the current entity and Entity OFT information for your business is incomplete or incorrect in AVS, or if there is no information in AVS for your business, you must provide all of the following information as it applies to your business affiliations. Please make as many copies of this page as you require.

- Every officer (President, Vice President, Secretary, Treasurer, etc.);
- All Directors;
- All persons performing a function similar to a Director;
- Every person or business that owns 10% or more of the voting stock in your business;
- Every partner, if your business is a partnership;
- Every member and manager, if your business is a limited liability company; and
- Any other person(s) who has the ability to determine the manner in which the AML reclamation project is being conducted.

Name _____	Position/Title _____
Address _____	Telephone # _____
_____	% of Ownership _____
Begin Date: _____	Ending Date: _____

Name _____	Position/Title _____
Address _____	Telephone # _____
_____	% of Ownership _____
Begin Date: _____	Ending Date: _____

Name _____	Position/Title _____
Address _____	Telephone # _____
_____	% of Ownership _____
Begin Date: _____	Ending Date: _____

Name _____	Position/Title _____
Address _____	Telephone # _____
_____	% of Ownership _____
Begin Date: _____	Ending Date: _____

PAPERWORK REDUCTION STATEMENT

The Paperwork Reduction Act of 1995 (44 U.S.C. 3501) requires us to inform you that: federal Agencies may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. This information is necessary for all successful bidders prior to the distribution of AML funds, and is required to obtain a benefit.

Public reporting burden for this form is estimated to average 45 minutes per response, including time for reviewing instructions, gathering and maintaining data, and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of this form to the Information Collection Clearance Officer, Office of Surface Mining Reclamation and Enforcement, Room 210 SIB, Constitution Ave., NW, Washington, D.C. 20240.

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SUSANA MARTINEZ  
GOVERNOR



CELINA BUSSEY  
SECRETARY

JOHN SANCHEZ  
LT. GOVERNOR

STATE OF NEW MEXICO  
DEPARTMENT OF WORKFORCE SOLUTIONS  
625 Silver Ave SW Suite 410  
Albuquerque, NM 87102  
Telephone (505) 841-4405  
Fax (505) 841-4420

## PUBLIC WORKS PROJECT REQUIREMENTS

As a participant in a Public Works project valued at more than \$60,000 in the State of New Mexico, the following list addresses many of the responsibilities that are assigned by statute to each project stakeholder.

### Contracting Agency

- Ensure that all contractors/prime contractors wishing to bid on a Public Works project when the project is \$60,000 or more are actively registered with the Labor Relations Division, Labor Enforcement Fund (LEF) prior to bidding.
- Provide completed Notice of Award (NOA) and Sub-Contractor list to Labor Relations Division promptly after the project is awarded.
- Provide updates to the Sub-Contractor list to the Labor Relations Division

### General Contractor

- Provide to the Contracting Agency within 3 (Three) days of award a complete sub-contractor list and Statements of Intent (SOI) to pay Prevailing Wages for each contractor.
- Ensure that all sub-contractors wishing to bid on a Public Works project when their portion is over \$60,000 are actively registered with the Labor Relations Division prior to bidding.
- Submit bi-weekly certified payrolls to the owner/contracting agency.
- Make certain NM Apprenticeship and Training Fund payments are to be paid either to an approved Apprenticeship program or to the Labor Relations Division.
- Confirm the Wage Rate poster, provided by the Labor Relations Division, is displayed at the job site in an easily accessible place.
- Make sure, when a project has been completed, the Affidavits of Wages Paid (AWP) is sent to the Contracting Agency.

**Sub-Contractor**

- Ensure that all sub-contractors wishing to bid on a Public Works project when their portion is over \$60,000 are actively registered with the Labor Relations Division prior to bidding.
- Submit bi-weekly certified payrolls to the General Contractor(s).
- Make certain NM Apprenticeship and Training Fund payments are to be paid either to an approved Apprenticeship program or to the Labor Relations Division.

**Additional Information**

Reference material and forms for these requirements are available through the following New Mexico Workforce Solutions Web Link.

[www.dws.state.nm.us/new/Labor\\_Relations/publicworks.html](http://www.dws.state.nm.us/new/Labor_Relations/publicworks.html).

**Additional Information**

Additional information, requirements, and documents on these topics can be found through the Public Works web pages.

- Labor Enforcement Fund (LEF)
- Weekly Certified Payroll
- Public Works Apprenticeship and Training Fund (PWAT)
- Forms: Statement of Intent (SOI), Affidavit of Wages Paid (AWP)
- Prevailing Wage Rates (Base Rates, Fringe, and Apprenticeship Contributions)

**CONTACT INFORMATION**

Contact us for any questions relating to Public Works Projects.

Kim Kew at [kim.kew@state.nm.us](mailto:kim.kew@state.nm.us) or 505-841-4405  
Otis Caddy [LynnO.Caddy@state.nm.us](mailto:LynnO.Caddy@state.nm.us) 505-841-4406  
Stacey Lowrey [Stacey.Lowrey@state.nm.us](mailto:Stacey.Lowrey@state.nm.us) 505-841-4412

**Grants Uranium – Spencer Mine Safeguard Project: Wage Decision # MC-15-0306****A**

Plugging five abandoned mine shafts. Removing steel and concrete debris. Earthmoving to recontour mine site to prevent erosion and restore natural drainage. Installing erosion control BMPs

**TYPE "A" - STREET, HIGHWAY, UTILITY & LIGHT ENGINEERING**

Effective January 1, 2015

<b>Trade Classification</b>	<b>Base Rate</b>	<b>Fringe Rate</b>
Bricklayer/Blocklayer/Stonemason	17.74	0.26
Carpenter/Lather	15.99	0.44
Cement Mason	15.52	0.26
Ironworker	21.77	6.03
Painter (Brush/Roller/Spray)	17.56	0.44
<b>Electricians (outside)</b>		
Groundman	26.79	11.03
Equipment Operator	29.61	11.03
Lineman/Wireman or Tech	30.20	11.03
Cable Splicer	31.38	11.03
Plumber/Pipefitter	28.30	4.07
<b>Laborers</b>		
Group I	13.73	0.35
Group II	14.03	0.35
Group III	14.43	0.35
<b>Operators</b>		
Group I	15.74	0.26
Group II	15.94	0.26
Group III	16.52	0.26
Group IV	16.54	0.26
Group V	16.53	0.26
Group VI	16.69	0.26
Group VII	16.74	0.26
Group VIII	16.89	0.26
Group IX	17.39	0.26

Group X	18.19	0.26
<b>Truck Drivers</b>		
Group I	13.32	0.26
Group II	13.52	0.26
Group III	13.72	0.26
Group IV	13.92	0.26

**NOTE: SUBSISTENCE AND INCENTIVE PAY DO NOT APPLY TO TYPE "A" CONSTRUCTION.**

#:



**00900 – APPLICATION FOR PAYMENT**

**APPLICATION FOR PAYMENT  
Grants Uranium – Spencer Mine Safeguard and Reclamation Project  
Ambrosia Lake, New Mexico  
EMNRD-MMD-2014-07**

Contract No. \_\_\_\_\_ Contractor: \_\_\_\_\_ Billing No. \_\_\_\_\_ Billing Date \_\_\_\_\_ Terminate \_

Mailing Address: \_\_\_\_\_ Billing represents work completed through (date) \_\_\_\_\_

<u>ITEM NO.</u>	<u>MATERIAL OR WORK DESCRIPTION</u>	<u>ESTIMATED QUANTITY</u>	<u>CONTRACT AMOUNT</u>	<u>UNITS THIS BILLING</u>	<u>AMOUNTS THIS BILLING</u>	<u>UNITS PREVIOUS BILLINGS</u>	<u>AMOUNTS PREVIOUS BILLINGS</u>	<u>UNITS REMAINING</u>	<u>AMOUNTS REMAINING</u>
1.	Mobilization (Not to exceed 10% of total base bid)	For the lump sum of							
2.	Radiation Protection, Health Safety Plan	For the lump sum of							
3.	Shaft/Conduit Closure per Each \$_____ X	_____ each							
4.	Shaft/Conduit Pollution Prevention Entire project	For the lump sum of							
5.	Construction Layout and Control Entire project	For the lump sum of							
6.	Remove & Dispose Of All Structures & Obstructions	For the lump sum of							
7.	Main Shaft Closure	For the lump sum of							
8.	Excavate Mine Spoil Piles, Haul to & place in designated area, & compact	For the lump sum of							
9.	Place Re-Contouring Fill, Borrow, haul, place, compact, & trim to achieve plan lines & grades	For the lump sum of							
10.	Polyurethane Foam, Complete in place \$_____ X	_____ cubic yards							

EMNRD-MMD-2014-07

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4/17/2015

- 11. Supply & Place Rock For Sill Structures w/class III non-woven geotextile filter fabric underlay, Complete in place \$ \_\_\_\_\_ X \_\_\_\_\_ cubic yards
- 12. Prepare & Seed, Fertilize and Mulch All Disturbed Areas Per BLM-FFO Bare Soil Reclamation Procedures Guidance, January 2013, Complete in place \$ \_\_\_\_\_ X \_\_\_\_\_ acres
- 13. Supply & Install Biodegradable Double Net Coconut Straw Erosion Control Blanket, Complete in place \$ \_\_\_\_\_ X \_\_\_\_\_ square yards

Subtotal \$ \_\_\_\_\_

GROSS RECEIPTS TAX = LOCAL RATE (6.7500% through 6/30/15 x Subtotal \$ \_\_\_\_\_

TOTAL CONTRACT \$ \_\_\_\_\_

**CERTIFICATION**

I do hereby certify that the work described herein has been performed and that no previous payment for the Total Amount Due this Statement, as shown above, has been received.

By: \_\_\_\_\_  
Mining and Minerals Division Director

By: \_\_\_\_\_  
Contractor

By: \_\_\_\_\_  
AML Project Engineer

DATE: \_\_\_\_\_

DATE: \_\_\_\_\_

DATE: \_\_\_\_\_

.....

## SPECIFICATIONS

**Please Note – Use of Brand Name Specifications: Use of any brand name herein is for the purpose of describing the standard of quality, performance and characteristics desired and is not intended to limit or restrict competition.**

### **DIVISION 1 - GENERAL REQUIREMENTS**

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The following sections describe the general requirements of this project.

#### **01010 – SUMMARY OF WORK**

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The Grants Uranium – Spencer Mine Safeguard and Reclamation Project is located 24 miles north of Grants in McKinley County, New Mexico. The project area (see Figures 1 and 2) is on BLM and private land in Sections 5 and 8, Township 13 North, Range 9 West (USGS 7.5' Ambrosia Lake and Dos Lomas Quadrangles).

This project involves the following work:

- **Protecting Workers from Radiation, Providing Health and Safety Plan**
- **Plugging 5 mine shafts using reinforced concrete plug covered by at least three (3) feet of clean material; Plugging 4 conduits with concrete**
- **Preventing Pollution BMPs, SWPPP Permit, Complete in Place**
- **Surveying Construction Layout and Control, Complete in Place**
- **Removing and Legally Disposing Of All Structures and Obstructions**
- **Excavating Mine Spoil Piles; Haul to and place in designated area and compact**
- **Placing Re-Contouring Fill; Borrow, haul, place, compact, and trim to achieve plan lines and grades**
- **Placing Rock For Sill Structures w/Class III non-woven geotextile filter fabric underlay, Complete in Place**
- **Seeding, Fertilizing and Mulching of all areas disturbed by construction.**
- **Supplying and Installing Biodegradable Double Net Coconut Straw Erosion Control Blanket.**

Demobilization shall be conducted in such a manner to ensure that the Contractor leaves all project areas in as good or better condition than before disturbance.

**01011 – SUMMARY OF PROJECT AND CONSTRUCTION ACCESS**

The project site consists of 5 shafts, all of which are dangerous to the public at large. Mine features to be safeguarded in this project and the methods and time restrictions for safeguarding are summarized in Table II in Division 2. In addition, mine spoils are to be excavated and buried in a gully as shown on the plans, the area near the mine re-contoured to plan lines and specifications, and rock sills and filter fabric gully plugs constructed per plans.

To the maximum extent practicable, construction access is limited to existing jeep trails and roads, except as otherwise shown, specified, or allowed by the Project Manager.

The Contractor shall be responsible for thoroughly investigating site conditions and scheduling equipment, equipment operations, personnel, and safety procedures to prevent accidents and injuries.

**01012 – AVOIDANCE AREAS FOR PRESERVATION OF CULTURAL AND BIOLOGICAL RESOURCES**

The Contractor shall avoid all designated cultural and biological resources including those discovered during construction. The Contractor shall avoid these areas with all equipment, vehicles, foot traffic, and any other ground surface disturbing activities.

Avoidance areas extend up to 50 feet (15 meters) from the designated cultural and biological resources, unless otherwise indicated and except where these limits are logistically impracticable to complete construction activities. Where it is infeasible to complete construction activities, avoidance area distances and access may be adjusted, in coordination with AML cultural resource staff, to accommodate construction activities. The Contractor shall also coordinate with the Project Manager and AML cultural resource staff for access routes to be taken around designated avoidance areas to construction work sites. Disturbance adjacent to designated avoidance areas shall be minimized as practicable.

The Project Manager or Project Engineer may designate additional avoidance areas as deemed necessary. No construction disturbances including excavation, fill and stockpiling of construction materials, staging, etc. shall take place within designated avoidance areas.

When the Contractor is working near designated avoidance areas and where construction access routes pass next to these locations, the Contractor shall place four-foot high, temporary, high-visibility barrier fencing (Hi-Vis, ADPI, or equivalent) around the features. Barrier fencing shall be removed upon completion of work.

The Contractor shall bear all direct, indirect, and consequential costs of mitigation or repairs due to unauthorized damage caused by the Contractor's operations to cultural or biological resources within designated avoidance areas. These costs shall include but are not limited to fees and charges of engineers, attorneys, and other professionals, made necessary thereby.

The Contractor shall cooperate fully to preserve archaeological and historic artifacts and any threatened or endangered species found within the project area. Moving, removal or collecting of archaeological or historic materials or biological specimens from the project area or vicinity is prohibited. If the Contractor encounters a previously unidentified archaeological site, historic site, artifacts, or species listed as or proposed to be listed as threatened or endangered, the Contractor shall terminate all operation in that immediate area (100 foot radius, 30 meters) until the archaeological or biological preservation agencies have been notified and had the opportunity to assess the discovery site. This termination shall not preclude continuation of work in other areas nor shall it entitle the Contractor to additional payment in any form, other than an extension of time, unless the Contractor is substantially precluded from working on the entire project.

#### **01015 – CONTRACTOR'S USE OF THE PREMISES**

The Contractor shall take reasonable measures to avoid traffic conflicts between vehicles of the Contractor's employees and private citizens and to avoid overloading of any driveways, roads and streets. The Contractor shall limit the access of equipment and trucks to the project site and provide protection for any improvements over which trucks and equipment must pass to reach the job site.

#### **01025 – MEASUREMENT AND PAYMENT**

The measurement for payment is as defined below. Payment shall be made based on the applicable unit or lump sum price bid therefor in the Bid Form (Section 00300). The estimated quantities of materials and work required to complete the project are approximations only and are given as a basis for calculation upon which the contract award will be determined. All estimated quantities could vary considerably and will depend on the actual conditions encountered at the time the work is performed. AML reserves the right to decrease or increase any or all of the quantities of materials or work as may be deemed necessary during the project.

#### **01027 – APPLICATIONS FOR PAYMENT**

All Applications for Payment for work performed under this contract shall whenever practicable, first be reviewed by the Project Manager before being submitted to:

Mining and Minerals Division  
Energy, Minerals, and Natural Resources Department  
State of New Mexico  
1220 South St. Francis Drive  
Santa Fe, New Mexico 87505

All Applications for Payment shall include appropriate backup, such as daily reports, load counts, etc. Contract amount equals total base bid plus gross receipts tax.

**01028 – PRICES**

The following subsections describe the lump sum and unit prices to be paid under this contract.

**I. Lump Sum Prices**

The basis of payment of lump sum prices as outlined in the Bid Form is as follows:

**A. Mobilization**

Payment for Mobilization will be made at the lump sum prices bid therefor in the Bid Form but shall not exceed 10% of the total base bid. It is the intent of this specification to provide for the Contractor to receive 100% of the mobilization bid item by the time the Contractor has completed ten percent of the total original contract amount less mobilization. Total original contract amount less mobilization shall mean the total amount bid as compensation for the contract, excluding gross receipts tax, less the amount bid for mobilization. For lesser amounts of work completed (less than 10%), the Contractor shall receive a prorated portion of the mobilization.

In addition, payment for Mobilization will not be made until the Project Engineer's approval of an adequate performance. An "adequate performance" will be satisfied when the Contractor has shown the ability to successfully perform the required tasks of this project as outlined in these Specifications to the satisfaction of the Project Engineer. In case of any weather delays, compensation for additional Mobilization will not be made.

Payment for Mobilization shall include all equipment, fees, fuel, insurance, labor, permits, personnel, supervision and transportation to assemble, drive, operate, place, position, provide security measures for, and transport equipment, field offices, fuel, implements, machinery, materials, and support facilities to and at the job site in conformance with the Project Manager's directives and these Specifications. This amount shall include complete Mobilization no matter how often equipment is transported to individual sites within the project area.

**B. Radiation Protection; Health and Safety Plan (HASP)**

Payment for Radiological Protection will be made at the lump sum prices bid therefor in the Bid Form. The lump sum bid price will only cover costs of items and services purchased to comply with this specification and the costs of implementation of the specified measures as detailed in the Contractor-produced HASP. These items include, but are not limited to: respirators, respirator cartridges, plastic sheeting, liquid hand soap, paper towels, wet wipes, plastic tubs, stiff bristle brushes, leather and rubber work gloves, cotton glove liners, coveralls, car wash, laundry, storage containers, plastic bags, landfill charges, TLDs and readings, water, canister sprayers, flagging, training and radiological specialist.

Radiological Protection is a lump sum bid item that does not require measurement of quantities for payment. The Owner must review and accept the HASP and radiological protection work as set forth above before any payment on radiological protection can be requested. The owner must also review and accept all project work before full payment can be requested.

The lump sum bid price for radiological protection will be paid in two prorated payments determined by the amount of completed work approved and accepted by the owner. When 10 percent of the overall bid price has been earned from other bid items, the contractor may submit on the invoice sixty percent (60%) of the bid price for radiological protection. On completion of the contract and issuance of the notice of substantial completion, the contractor may claim the remaining forty percent (40%) of the bid price for radiological protection. payments for radiological protection are not subject to withholding of retainage. The total bid price amount for radiological protection shall be full compensation for all labor, materials, tools, equipment, services, and all other costs necessary to perform the work under this section.

#### C. Pollution Prevention

Payment for Pollution Prevention will be made at the lump sum price bid therefor in the Bid Form. This price shall include all work necessary to complete the Pollution Prevention in accordance with the specifications. This work shall all equipment, labor, material, and supervision costs and all other tasks and items necessary and incidental to apply for and maintain the SWPPP permit, install and maintain all site BMP's, provide water truck, and sufficient water.

#### D. Construction Layout and Control

Payment for Construction Layout and Control will be made at the lump sum price bid therefor in the Bid Form. This price shall include all work necessary to complete the Construction Layout and Control in accordance with the specifications. This work shall include all equipment, labor, material, and supervision costs and all other tasks and items necessary and incidental to demonstrate that the plans and lines have been met with reasonable and certain accuracy using construction surveys and staking.

#### E. Remove and Dispose of All Structures & Obstructions

Payment for Remove and Dispose of All Structures & Obstructions will be made at the lump sum price bid therefor in the Bid Form. This price shall include all work necessary to complete the Remove and Dispose of All Structures & Obstructions in accordance with the specifications. This work shall include the tasks necessary to remove and dispose of all structures and obstructions, including all equipment, labor, material, permits and supervision costs and all other items necessary and incidental to completely remove and legally recycle or dispose of derelict headframe and all debris, concrete, wire, power poles, fence poles, barbed wire, steel vent shafts, and all obstructions that could be encountered within the project area.

#### F. Main Shaft Closure

Payment for construction of the specified main shaft closure will be made at the lump sum price bid therefore in the Bid Form. This price shall include all work necessary to complete the installation in accordance with the Drawings and specifications. This includes site preparation, the tasks necessary to access the mine features, clearing as necessary, demolition as specified, preservation of site features as specified, specified site work, installation of survey caps, all construction materials other than the polyurethane foam itself (including formwork, scoria, fill material, steel pipe sections, and ventilation/drainage pipe), and all equipment, labor, and supervision necessary for their complete installation.

#### G. Excavate Mine Spoil Piles; Haul to & Place in Designated Area, & Compact

Payment for the Excavation of Mine Spoil Piles will be made at the lump sum price bid therefor in the Bid Form. This price shall include all work necessary to complete the Excavation of Mine Spoil Piles in accordance with the specifications. This work shall include all equipment, labor, material, permits and supervision costs and all other tasks and items necessary and incidental to completely move all mine spoil waste, approximately 3,410 cy, to be buried, compacted and covered with filter fabric per gully plugs as required.

#### H. Place Re-Contouring Fill; Borrow, Haul, Place, Compact, & Trim to Achieve Plan Lines & Grades

Payment for the Placement of Re-Contouring Fill will be made at the lump sum price bid therefor in the Bid Form. This price shall include all work necessary to complete the Placement of Re-Contouring Fill in accordance with the specifications. This work shall include the tasks necessary to access the mine feature, including all equipment, labor, material, permits and supervision costs and all other items necessary and incidental to completely move all required borrow material, approximately 11,550 cy of fill (considered to be the in-place, neat-line, post-compacted volume of soil determined by the design plan and cross sections), compacted and shaped to match plan lines and grades, installing gully plugs and fabric as required.

### II. Unit Prices

The methods of measurement and the basis of payment of unit prices as outlined in the Bid Form are as follows:

#### A. Construction of Vent Shaft/Conduit Closure Plugs

Payment for construction of the Vent Shaft/Conduit Closure Plugs will be made per each set of vent shaft and conduit pairs plugged. Payment for Vent Shaft/Conduit Closure Plugs will be made at the unit price bid therefor in the Bid Form. This price shall include all work necessary to complete the installation in accordance with the drawings and specifications, including access to the site and closure of temporary access roads, site preparation, excavation



and backfill, fabrication, formwork, construction materials (including cast-in-place concrete, reinforcing steel), welding, and all equipment, labor, and supervision necessary for complete installation.

B. Polyurethane Foam

Measurement for payment for PUF will be made by estimating the accepted quantity of foam installed in the specified mine openings.

For machine applied foam, the proportioner shall have a direct reading device to monitor output of components and the PUF applicators shall inform the Project Manager of the constant to be used to estimate PUF quantities actually installed. The Project Manager may examine barrels of material with a dipstick with the assistance of the PUF applicators in order to provide a second measure of quantities installed.

For poured-in-place foam, measurements of the volumes of component material used will be the basis for calculating the amount of foam installed. The Project Manager may examine barrels of material with a dipstick with the assistance of the PUF applicators in order to confirm the measurement of quantities installed.

Measurement for payment will be based on attaining the specified thickness of foam to create a plug within the openings and computations of volumes installed will be based on the specified minimum nominal density of the polyurethane foam.

For pre-bagged foam, the manufacturer's standard rated volume of expanded foam per bag and the number of bags used in the specified mine openings will be used to estimate the quantity of foam installed.

No payment will be made for off-ratio PUF or for PUF lost due to form failure.

C. Rock Sill Structures w/Class III non-woven geotextile filter fabric

Payment for construction of the Rock Sill Structures w/Class III non-woven geotextile filter fabric will be made by the Cubic Yard (cy) as submitted on rock delivery tickets. Payment for Rock Sill Structures will be made at the unit price bid therefor in the Bid Form. This price shall include all work necessary to complete the installation in accordance with the drawings and specifications, including access to the site and closure of temporary access roads, site preparation, excavation and backfill, fabrication, construction materials where required (including rock, class III non-woven geotextile filter fabric), and all equipment, labor, and supervision necessary for complete installation.

D. Seeding

Measurement for payment for seeding will be made by the acre, as measured in the field, parallel to the seeded surface using methods acceptable to the Project Engineer.

Payment for seeding will be made at the unit price bid therefor in the Bid Form. This price shall include soil preparation including tilling, topdressing, incorporating specified soil amendments, seeding by broadcasting, mulching and fertilizing, including all equipment, labor, material and supervision costs necessary to complete installation, of all areas disturbed by construction activities.

Disturbed areas include on-site borrow areas, depressions and mounds at shafts, filled areas at adits, temporary access routes and obliterated roads, areas occupied by the Contractor for campsites, office, plant sites, equipment parking, haul roads, closed access trails, stockpile and storage areas, service areas and areas stripped of native covering.

#### E. Biodegradable Double Net Coconut Straw Erosion Control Blanket

Measurement for payment for Erosion Control Blanket will be made by the square yard (SY) basis as measured in the field. Payment for Erosion Control Blanket will be made at the unit price bid therefor in the Bid Form. Placement, staking, excavation and backfilling of anchoring trenches, and any other appurtenances as required by manufacturers is considered incidental to this work item. Pay quantity does not include fabric overlap, trenching, etc. Site preparation shall be incidental to those operations, and no separate payment will be made.

### **01030 – ALTERNATES**

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Whenever equipment or materials are specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular supplier, the naming of the item is intended to establish the type, function, and quality required. Unless the name is followed by words indicating that no substitution is permitted, the Project Engineer may accept equipment or materials of other suppliers if the Contractor submits sufficient information to allow for adequate determination that the equipment or materials proposed are equivalent or equal to that named.

### **01035 – MODIFICATION PROCEDURES**

The following section describes procedures for making modifications to the contract by change orders. Modifications may involve changes in contract sum, contract time, and scope.

### **01036 – CHANGE ORDER PROCEDURES**

The Contractor shall submit a request for any changes in the work under this contract, in writing, to the Project Engineer. No changes in work or quantities shown shall be authorized until a properly executed Change Order has been issued by MMD. Any work performed outside

the original quantities or scope of work, before the issuance of a properly executed Change Order, shall be at the Contractor's risk.

The Contract Time may only be changed by a Change Order. Any claim for an extension in the Contract Time shall be based on written notice delivered to the Project Engineer within fifteen working days of the occurrence of the event causing the claim. The extent of the claim with supporting data shall be included unless the Project Engineer allows additional time to ascertain more accurate data. The Project Engineer shall determine all claims for adjustment in the Contract Time. Any change in the Contract Time resulting from any such claim shall be incorporated in a Change Order. The Contract Time will be extended in an amount equal to time lost due to delays beyond the control of the Contractor if a claim is made therefore as provided above. Such delays shall include, but may not be restricted to, acts or neglect beyond the Contractor's control, epidemics, fires, floods, labor disputes, abnormal weather conditions, or acts of nature. In the event delays in construction occur due to weather, the conditions as outlined above will be in effect. If the Contractor leaves the project area due to a weather delay, the Contractor shall be responsible for assuring that all areas are left in a clean and safe condition as approved and directed by the Project Manager. In case of any weather delays, compensation for additional Mobilization or Demobilization will not be made.

## **01040 – COORDINATION**

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The following sections define the parties responsible for coordination of the contract work at the project and job site levels.

### **01041 - PROJECT COORDINATION**

The Project Engineer will send the Contractor Notices to Proceed, Change Orders, other contract documents, and approvals on Applications for Payment. The Project Manager or Project Engineer may issue a Suspension of Work Notice if he has any reasonable basis to believe that the Contractor is violating any condition or term of the contract or specifications, or that violations of health and safety standards will occur unless such notice is issued. No work shall proceed until the Suspension of Work Notice has been vacated.

### **01042 – MECHANICAL AND ELECTRICAL COORDINATION**

The Contractor shall be responsible for the coordination of all mechanical and electrical aspects of the contract work. This includes overseeing of the general operation and maintenance of that equipment.

### **01043 – JOB SITE ADMINISTRATION**

The Contractor shall be responsible for the administration of the contract work at the job site. This includes assuring that all equipment and materials used for the contract work meet the required specifications set forth and that all work is performed in a timely and orderly manner.

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs concerning the work. The Contractor shall designate a full time on-site superintendent or authorized representative who shall be present or can be contacted readily during project working hours. This person shall represent the Contractor in dealing with the Project Manager and shall insure adherence to these specifications and any other directives.

### **01050 – FIELD ENGINEERING**

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The Contractor shall be responsible for locating and avoiding all underground utilities at the contract work site. If damage to the utilities occurs during the contract work, the damage shall be repaired at the Contractor's expense.

The Contractor shall also be responsible for the proper setting of all construction staking. The Contractor shall provide engineering surveys for construction to establish reference points that are necessary to enable the Work to proceed. The Contractor shall be responsible for surveying and laying out the Work, shall protect and preserve any established reference points, and shall make no changes or relocations without the prior written approval of the Project Engineer. The Contractor shall report to the Project Engineer whenever any reference point is lost or destroyed or requires relocation because of necessary changes in grades or locations. The Contractor shall replace and accurately relocate all reference points so destroyed, lost, or moved. When it becomes necessary in the construction of public works, to remove or obliterate any triangulation station, bench mark, corner monument, stake, witness mark, or other reference mark, it shall be the duty of the Contractor in charge of the work to cause to be established by a New Mexico registered land surveyor one or more permanent reference marks which shall be plainly marked as witness corners or reference marks, as near as practicable to the original mark, and to record a map, field notes, or both, with the county clerk and county surveyor of the county wherein located, showing clearly the position of the marks established with reference to the position of the original work. The surveys or measurements made to connect the reference marks with the original mark shall be of at least the same order of precision as the original survey.

### **01060 – REGULATORY REQUIREMENTS**

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The Contractor shall keep fully informed of all federal and state laws, all local laws, ordinances, and regulations, and all orders and decrees of bodies or tribunals having any jurisdiction or authority which in any manner affect those engaged or employed on the work or which in any way affect the conduct of the work. The Contractor shall at all times observe and comply with all such laws, ordinances, regulations, orders, and decrees and shall protect and indemnify the State of New Mexico and its representatives against any claim or liability arising from or based on the violation of any such law, ordinance, regulation, order, or decree, whether by the Contractor or any employees. The Contractor shall procure all permits and licenses, pay all charges, fees, royalties, and taxes, and give all notices necessary and incidental to the due and lawful prosecution of the work.

## **01090 – REFERENCES**

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Reference to standard specifications, manuals, or codes of any technical association, organization, or society, or to laws or regulations of any governmental authority, whether such reference be specific or by implication, shall mean the latest standard specification, manual, code, laws, or regulation in effect at the time of opening of Bids, except as may be otherwise specifically stated. However, no provision of any referenced standard specification, manual, or code (whether or not specifically incorporated by reference in the Contract Documents) shall be effective to change the duties and responsibilities of the Contractor.

## **01092 - ABBREVIATIONS**

The following is an explanation of the abbreviations that may be used in the contract documents:

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|-----|--------|--|
| 1.  | AASHTO | American Association of State Highway and Transportation Officials |
| 2.  | ACI    | American Concrete Institute  |
| 3.  | AML    | Abandoned Mine Land Program of MMD                                 |
| 4.  | ANSI   | American National Standards Institute                              |
| 5.  | ASTM   | American Society for Testing and Materials                         |
| 6.  | AWS    | American Welding Society   |
| 7.  | CRSI   | Concrete Reinforcing Steel Institute                               |
| 8.  | EMNRD  | Energy, Minerals, and Natural Resources Department (state)         |
| 9.  | MMD    | Mining and Minerals Division of EMNRD                              |
| 10. | OSMRE  | Office of Surface Mining, Reclamation, and Enforcement (federal)   |
| 11. | SAE    | Society of Automotive Engineers                                    |

## **01094 – DEFINITIONS**

The following is a definition of the terms that may be used in the contract documents (source: A Dictionary of Mining, Mineral, and Related Terms, Paul W. Thrush, Bureau of Mines, Department of the Interior, Washington, D.C., 1968):

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|----|----------|--|
| 1. | adit     | A horizontal or nearly horizontal passage driven from the surface for the working or dewatering of a mine. |
| 2. | back     | The roof or upper part in any underground mining cavity.   |
| 3. | cribbing | The close setting of timber supports when shaft sinking through loose ground.                              |
| 4. | collar   | Timbering or concrete around the mouth or top of a shaft; the junction of a mine shaft and the surface.    |

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5. drift A horizontal passage underground.
  6. entry A haulage road, gangway, or airway to the surface.
  7. gob pile A pile of heap mine refuse on the surface.
  8. incline A shaft not vertical; usually on the dip of a vein.
  9. lagging Planks, slabs, or small timbers placed over the caps or behind the posts of the timbering, not to carry the main weight, but to form a ceiling or a wall, preventing fragments or rock from falling through.
  10. lining The brick, concrete, cast iron, or steel casing placed around a tunnel or shaft as a support.
  11. loading chute A three-sided tray for loading or for transfer of material from one transport unit to another.
  12. portal Any entrance to a mine.
  13. red dog Material of a reddish color resulting from the combustion of shale and other mine waste dumps on the surface.
  14. shaft An excavation of limited area compared with its depth, made for finding or mining ore or coal, raising water, ore, rock, or coal, hoisting and lowering personnel and material, or ventilating underground workings.
  15. spoil The overburden or on-ore material removed in gaining access to the ore or mineral material in surface mining.
  16. stope An excavation in which ore has been excavated in a series of steps.
  17. stull A timber prop set between the walls of a stope, or supporting the mine roof.
  18. subsidence A sinking down of a part of the earth's crust.
  19. talus A heap of coarse rock waste at the foot of a cliff.
  20. tipple Originally the place where the mine cars were tipped and emptied of their coal, and still used in that sense, although now more generally applied to the surface structures of a mine, including the preparation plant and loading tracks.
  21. winze Interior mine shaft.

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**01100 – SPECIAL PROJECT PROCEDURES**

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The following section describes special procedures for alteration, preservation, security, hazardous materials, and other types of projects demanding unique procedures.

**01135 - HAZARDOUS AND CONFINED AREA PROCEDURES**

This project requires construction work in, around, and over hazardous and unprotected mine shafts, stopes, adits, and other openings which may be open to the surface or hidden from view by vegetation, trash, debris, or thin and unstable layers of surface materials or rock. The Contractor shall be responsible for thoroughly investigating the site conditions and scheduling equipment, equipment operations, personnel, and safety procedures to prevent accidents and injuries.

Before entry, the Contractor shall review safety procedures with all persons entering the mine. At least one standby person, whenever possible someone who is trained in CPR and mine rescue procedures, shall remain outside the mine during entry by others. The standby person(s) shall have access to first aid, appropriate rescue equipment, and a vehicle and shall know where the nearest telephone for emergency calls is found. A communication system shall be established between the person(s) working inside the mine and the standby person(s) outside.

All persons entering the mine opening shall wear appropriate clothing and carry appropriate gear, including, as required for the conditions present, harnesses, head, hand and foot protection, life lines, respirators or self-contained breathing apparatuses, and other special equipment. Proper ventilation and adequate lighting at the workplace inside the mine entry shall be provided. The Contractor shall review with all workers and personnel the use of hazardous chemicals or materials, electrical power, or internal combustion engines inside mine entries for safety precautions and procedures.

The Contractor is fully responsible for construction safety and shall keep the Project Manager informed of hazardous area safety procedures. Following is a discussion of some common abandoned mine hazards and appropriate procedures to be followed.

**I. Bad Air**

Miners use the term "bad air" to describe an atmosphere that will not support life. The poor air circulation in some mine openings can allow carbon dioxide (CO<sub>2</sub>), carbon monoxide (CO), methane, hydrogen sulfide (H<sub>2</sub>S), or radon gas to accumulate. These gases are treacherous inside mine openings and even experienced miners have been killed or harmed by entering areas containing them. Carbon monoxide cannot be readily detected and is lethal in very small amounts. The Contractor shall follow the following and other appropriate hazardous bad air procedures.

An oxygen meter shall be used to test air before and while any personnel work inside a mine opening. The oxygen meter shall be a National Mine Service (NMS) OX231 oxygen meter or equivalent. The oxygen meter shall continuously monitor oxygen levels and have an audible warning signal. If the oxygen level falls below 19 percent, all personnel shall withdraw from the working area in the mine until the oxygen content increases to safe levels.

Any remedy for increasing oxygen content of the working area or providing ventilation from the surface shall be determined in consultation with the Project Manager.

## II. Adit Cave-ins

Cave-ins are a danger in any abandoned mine. Disturbances such as vibrations caused by walking, speaking, blasting, hammering, percussion drilling, or construction equipment may cause a cave-in inside an inactive mine. The Contractor shall follow appropriate adit cave-in protection procedures, including scaling and barring of loose rock before beginning work in an area, shoring of decayed or weak timber framing, and shoring, jacking, or rock bolting of materials in the back (roof) and sides of the adits.

## III. Collar Cave-ins

The collar or top of a shaft, stope, or subsidence often contains decomposed rock, decayed timbers, and other conditions that allow for rapid disintegration at the opening. With the additional weight and vibration of construction machinery, workers, and backfilling operations near the mine opening, the area around the collar can slide into the opening, along with nearby machinery and men. Backfilling operations can tear loose cribbing or lining in a shaft leading to collapse at the collar. The Contractor shall follow appropriate collar cave-in protection procedures.

## IV. Falling

Because a shaft or stope has little light, the feeling of height and normal reaction to "pull back" is not evident to most persons. Many abandoned mine shafts, stopes, and winzes are deep enough to insure that anyone that falls down them is badly injured or killed. Rescue operations of a fallen person can also be extremely hazardous.

The Contractor shall follow appropriate hazardous fall protection procedures. This includes proper lighting, barricades, fences, personal fall arrest systems, guardrails, covers, safety net systems, safety monitoring systems, and other protection as suitable for the conditions. Fall protection shall be in accordance with OSHA regulations regarding construction fall protection (OSHA 29 CFR Subpart M). These regulations establish a six-foot threshold for the height at which fall protection is required, require employers to provide training for each employee who might be exposed to a fall hazard, and prohibit the use of body belts for fall protection and the use of non-locking snap hooks.



## V. Loose Rock

A mineshaft or open stope will weather in much the same way as a cliff. Loose rocks are always found on timbers or on the walls. A small rock that falls a sufficient distance can penetrate a person's skull. The Contractor shall follow appropriate hazardous loose rock protection procedures, including scaling of loose rock, construction of shields, and wearing of head protection.

### **01170 - INDUSTRIAL WASTES AND TOXIC SUBSTANCES**

The Contractor shall comply with all applicable laws and regulations existing or hereafter enacted or promulgated regarding industrial wastes and toxic substances. In any event, the Contractor shall comply with the Toxic Substances Control Act of 1976, as amended (15 U.S.C. 2601, et seq.) regarding any toxic substances that are used, generated by or stored at the project site. See 40 C.F.R., Part 702799. Additionally, any release of toxic substances (leaks, spills, etc.) greater than the reportable quantity established by 40 C.F.R., Part 117, shall be reported as required by the Comprehensive Environmental Response, Compensation and Liability Act of 1980, Section 102b. A copy of any report required or requested by any federal agency or state government because of a reportable release or spill of any toxic substances shall be furnished to the Project Engineer concurrent with the filing of the reports to the involved federal agency or state government.

### **01200 – PROJECT MEETINGS**

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The following sections describe the required project meetings that the Contractor is expected to attend.

#### **01210 - PRECONSTRUCTION CONFERENCES**

Before starting work at the site, a conference will be held to review the construction schedules; to establish procedures for handling documents, drawings, other submissions, and for processing Applications for Payment; and to establish a working understanding between the parties as to the nature of the project. Present at the conference will be the Project Manager, the Project Engineer, the Contractor, the Contractor's superintendent, and other persons as appropriate. The Contractor shall present the progress schedule at the preconstruction conference as specified in Section 01310 below and the fire prevention and awareness plan as specified in Section 01565 below.

#### **01220 - PROGRESS MEETINGS**

Progress meetings may be held during construction for purposes of scheduling and coordination of work. Throughout the life of the project, the Contractor shall keep the Project Manager and Project Engineer well informed of the schedule of work.

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**01300 – SUBMITTALS**

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The following sections describe the required documents and reports to be submitted by the Contractor during the contract work.

**01310 - PROGRESS SCHEDULES**

The Contractor shall provide a detailed progress schedule to be followed in completing the work. This schedule shall be submitted in writing at the preconstruction conference and shall show the anticipated time required by the Contractor to complete each item of work in the Bid Form. Schedules may be prepared as a horizontal bar chart with a separate bar for each major portion of work or operation, identifying the first workday of each week.

**01320 - PROGRESS REPORTS**

The Contractor shall submit written accurate daily progress reports to the Project Manager. The reports shall include but are not limited to work accomplished, quantities of unit price bid items installed, including load tickets as appropriate, records of any complaints including corrective actions taken, records of visitors to the site, and records of any personal injury or property damage incidents. The Contractor's authorized representative shall meet the Project Manager a minimum of once each week to verify and sign-off on all payable units of work performed during that week. The authorized representatives from both parties shall be designated at the start of the project during the preconstruction conference.

**01340 - SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES**

The Contractor shall submit shop drawings, product data, and samples as required in the specifications. Submittals shall be organized such that each submittal covers items in no more than one specification section. The Contractor shall allow a minimum of 21 calendar days for the Project Engineer's review; shorter periods for Project Engineer's review will not be acceptable. The Contractor shall allow acceptable time for the entire review process including transmittal, initial Project Engineer's review, correction and resubmission, final review, and distribution.

Engineering data and shop drawings covering all equipment and fabricated materials shall be submitted to the Project Engineer for review and comments. These data shall include drawings and descriptive information in sufficient detail to show the kind, size, arrangement, and operation of component materials and devices; the external connections, anchorages, and supports required; and performance characteristics and dimensions needed for installation and correlation with other materials and equipment. Data submitted shall include drawings showing essential details of any changes proposed by the Contractor.

It shall be the duty of the Contractor to check all data and shop drawings for completeness before submittal for Project Engineer's review. Each drawing or data sheet shall have indicated thereon the proposed use of the item as it pertains to the Work. Catalog cuts, pages, or copies submitted for review shall have items proposed for use in the Work clearly

marked and identified. The current catalog number, date, and revision and drawing number (if applicable) shall be included.

Deviations from the drawings or specifications shall be identified on each submittal and shall be referenced in the Contractor's transmittal letter. The submittal for such deviations shall also include details of changes proposed and modifications required for all affected portions of the Work.

Shop drawings and other review data shall be submitted to the Project Engineer only from the Contractor.

The Contractor's submittal of shop drawings and other review material shall represent that he has reviewed the details and requirements of the Contract Documents, that he has coordinated the subject of the submittal with other portions of the Work, and that he has verified dimensions, quantities, construction details, materials, and installation criteria, as applicable for the Work. The Contractor shall accept full responsibility for the completeness of each submittal and, for re-submittals, verify that exceptions noted on the previous submittal have been accounted for.

Any requirement for more than one resubmission or delay in obtaining Project Engineer's review of submittals will not entitle the Contractor to an extension of Contract Time unless authorized by Change Order.

The Project Engineer's review of drawings and data submitted by the Contractor will cover only general conformity to the drawings and specifications, external connections, and dimensions that affect the plans and layout. The Project Engineer's disposition of submittals will not constitute a blanket approval of all dimensions, quantities, and details of the material, equipment, or item shown. Regardless of the corrections made in, or disposition given to, such drawings and data by the Project Engineer, the Contractor shall be responsible for the accuracy of such drawings and data and for their conformity and compliance with the contract documents.

No work shall be performed in connection with the fabrication or manufacture of materials and equipment, nor shall any material, accessory, or appurtenance be purchased until the drawings and data therefor have been reviewed.

Four copies of each drawing and necessary data shall be submitted to the Project Engineer. Each drawing or data sheet shall be clearly marked as instructed above. Submittals will be accepted only from the Contractor.

When the drawings and data are returned NOT APPROVED or RETURNED FOR CORRECTION, corrections shall be made as noted by the Project Engineer and four corrected copies resubmitted as instructed above.

When drawings and data are returned marked NO EXCEPTIONS NOTED, EXCEPTIONS NOTED, or RECORD COPY, no additional copies need be submitted.

The Project Engineer will return two copies with comments to the Contractor. The Contractor shall send additional copies with the original submittal if the Contractor requires more than two copies.

All drawings and data, after final processing by the Project Engineer, shall become a part of the contract documents and the work shown or described thereby shall be performed in conformity therewith unless otherwise required by the Project Engineer.

### **01380 - CONSTRUCTION PHOTOGRAPHS**

The Contractor may provide routine periodic construction photographs to support Applications for Payment and to supplement Project Record Documents.

### **01400 – QUALITY CONTROL**

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The following sections outline the duties, responsibilities, and qualifications of inspectors, testing laboratories, and the Contractor's quality control requirements required to perform the contract work.

#### **01405 - CONTRACT QUALITY CONTROL**

The Contractor shall be responsible for the maintenance of quality control throughout the period of the contract work. This includes making periodic spot checks to assure that equipment, materials, and construction quality, meet the contract specifications.

#### **01410 - TESTING LABORATORY SERVICES**

Independent commercial testing laboratories shall perform all tests required by the contract documents to determine compliance with the specifications. The testing laboratories shall be acceptable to the Project Engineer. The laboratories shall be in the regular business of testing services in accordance with the specifications for which tests are required, and shall be staffed with trained and experienced technicians, equipped properly, and fully qualified to perform the specified tests in accordance with reference standards.

All testing services for tests of materials required by the contract documents shall be the responsibility of the Contractor. The Project Engineer shall review all sources of materials before delivery of the materials to the job site. Before the performance of any testing, the Contractor shall obtain the concurrence of the Project Engineer for the laboratory or laboratories selected by the Contractor.

The Contractor shall require the producer or manufacturer of materials, for which the specifications require inspection or testing services during the production or manufacturing process, to arrange for and pay an independent organization to perform the specified services.

The Project Manager will determine the exact time and location of field sampling and testing. The Project Manager or Project Engineer may require additional sampling and testing as necessary to assure that materials conform to the contract documents. The Contractor shall pay the costs of any retesting or re-sampling required when initial tests or samples fail to meet the specified requirements.

Written reports of tests furnished by the Contractor for the Project Engineer's review shall be submitted in conformance to the procedures set forth in Section 01340.

## **01500 – CONSTRUCTION FACILITIES AND TEMPORARY CONTROLS**

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The following sections specify the types of construction facilities and temporary controls the Contractor shall provide for completion of the contract work.

### **01505 - MOBILIZATION**

The Contractor shall furnish and mobilize all specified construction facilities, temporary controls, equipment, labor, materials, power, supervision, and supplies to the site and commence work within ten working days after receipt via certified mail of the Notice to Proceed. Mobilization includes everything necessary to complete the required contract work. The Contractor shall inform the Project Manager of plans and schedules to move all equipment, machinery, and supplies to the job site. The Contractor shall locate and position the staging area including field offices, parking, storage, and support facilities as directed and approved by the Project Manager. All equipment and machinery shall be moved onto the job site in conformance with previously approved plans and schedules. It is the Contractor's responsibility to arrange for storage facilities for equipment and materials. City, state, federal, or other public or private property shall not be used as temporary storage or parking areas for any equipment or materials unless written clearance is obtained by the Contractor from the appropriate public officials or private individuals. The Contractor must be prepared to move all necessary equipment to each construction site within the project area. This movement of equipment shall be at the Contractor's expense and should be covered under Bid Item No. 1, Mobilization, on the Bid Form.

### **01508 RADIOLOGICAL PROTECTION**

#### **I. Introduction**

A. This Radiological Protection Plan describes the methods to be employed for health and safety at abandoned uranium mine sites. The guidelines in this plan are generic and allow for variations in site-specific conditions.

B. The main radiological concerns while working on this project are dust control, personal hygiene, and monitoring exposure. The object of this Technical Specification Section is to provide all personnel working on this project with information on how to reduce dust while the work is conducted, reduce the spread of contaminated dust between workers, and prevent the ingestion and inhalation of dust.

C. The procedures in this Radiological Protection Plan are based on the principles of time, distance, and shielding. This is the idea that a person's exposure to radiation, and the potential health risks associated with that exposure, can be controlled by managing the length of time of exposure, distance from the source, and/or protective barriers between the person and the source of radiation. Contractor shall strive to manage all construction activities at uranium mines with the goals of minimizing worker time in radioactive areas, keeping workers away from radioactive materials, and shielding workers from radiation with protective clothing.

## II. Submittals

Contractor shall submit proof of Health and Safety Training completion. Contractor shall submit before site work starts a Health and Safety Plan (HASP) that outlines how work is to be performed while workers are being protected from radiation. The HASP should be read by and agreed to by all workers signature before each individual worker will be allowed on site. Upon completion of the Work the results of the Thermo Luminescent Dosimeter (TLD) badge analyses for each employee.

## III. Safety Training

A. The Contractor and crew are required to attend a Health and Safety Training meeting after the bid is awarded and before construction begins or provide acceptable proof of training. This meeting will be arranged by the Contractor. The trainer and course content are subject to Owner's approval. It shall include radiological safety and cover risk reduction, hygiene, protective clothing, respirators, decontamination protocols, and exposure standards. All on-site crew members must go through the training. New workers hired or brought to the project after the start of construction must go through equivalent training before working on the project.

B. The Contractor is required to hold a mandatory Health and Safety meeting once a week during the course of the work.

## IV. Radiological Terms

A. Rad (acronym for Radiation Absorbed Dose) is the deposition of energy into soft tissue (human body) by a specific form and energy level of radiation.

B. Roentgen (R) is a unit for measuring the amount of radiation passing through air that an individual would be subjected to if he or she were to stand in that spot. It is defined as 1 electrostatic unit per cubic centimeter of air.

C. Rem (acronym for Roentgen Equivalent Man) is the estimation of the biological risk associated with the radiation exposure regardless of the type of radiation or its energy level. Roentgen and Rem are considered equal measurements and are often used interchangeably.

D. Radiation levels encountered in the field are usually very small fractions of the defined units. To simplify the expression of very small numbers, the following prefixes and symbols are commonly used:

mR stands for milli-Roentgen (R).

1 milli-Roentgen =  $10^{-3}$  Roentgen = 0.001 Roentgen = 1000  $\mu$ R

$\mu$ R stands for micro-Roentgen (R).

1 micro-Roentgen =  $10^{-6}$  Roentgen = 0.000001 Roentgen = 0.001 mR

E. Working Level (WL) is a unit for measuring the concentration of radon gas and radon daughters in an atmosphere. One working level is equal to 200 pCi/l (pico-curies per liter of air). Exposure to radon gas is measured in working level months (WLM) or working level hours (WLH). Radon exposure is calculated by multiplying the exposure time (in hours or months) by the radon concentration (working level). For working level calculations, a month is defined as 173 hours. A WLM is thus equal to 173 WLH, or the equivalent of 173 hours exposure in a 1 WL area, 346 hours exposure in a 0.5 WL area, etc.

#### V. Personal Protection Products/Terms

A. Coveralls. Coveralls shall have a full body and sleeves. Suspender type coveralls are not acceptable unless they are worn with a long sleeve jacket. Coveralls may be made of cotton or polyester fabric or some blend thereof. Coveralls must not have torn seams or holes. If coveralls tear during the course of the work they shall be mended or replaced. The Contractor may choose to wear Tyvek® suits instead of coveralls.

B. Work Gloves. Leather and rubber-coated work gloves shall not have holes or broken seams. If gloves develop holes or broken seams during execution of the Work they shall be immediately removed and replaced with new gloves.

C. Glove Liners. Cotton glove liners shall be worn under the leather or rubber work gloves while personnel are within the Exclusion Zone. Cotton liners shall be changed regularly.

D. Respirators. Respirators shall be NIOSH/MSHA approved. Cartridges shall be rated for radon daughters. Standard paper or fabric dust masks are not acceptable.

E. TLD. A Thermo Luminescent Dosimeter (TLD) is a badge worn to monitor gamma radiation dose. All personnel shall wear a TLD while working within the project boundary (not just within the Exclusion Zones). TLDs are available through the companies listed below:

Mirion Technologies (GDS), Inc.  
P.O. Box 19536  
Irvine, California 92623  
phone: 800-251-3331 or 714-545-0100 ext 6925  
<http://www.mirion.com>

Troxler Electronic Laboratories, Inc.  
3008 E. Cornwallis Rd. • PO Box 12057  
Research Triangle Park, NC 27709  
Phone: 1.877.TROXLER (1.877.876.9537)  
Fax: 1.919.549.0761  
<http://troxlerlabs.com/safety/badge.php>

Landauer Corporate Office  
2 Science Road  
Glenwood, Illinois 60425-1586  
Telephone: (708) 755-7000  
Toll Free: (800) 323-8830  
Facsimile: (708) 755-7016  
Email: [custserv@landauer.com](mailto:custserv@landauer.com)  
[www.landauer.com](http://www.landauer.com)

F. Exclusion Zone. The Exclusion Zone is a restricted work area within which protective measures are required. The Exclusion Zone typically encompasses the mine dump and shaft or adit. This area may be delineated with flagging or staking. The Exclusion Zone shall have a single entrance/exit point, which should be clearly indicated. The size of the Exclusion Zone shall be dictated by the mine closure method. If the mine is to be backfilled, the Exclusion Zone shall include the area between the mine opening and the dump. All workers shall be aware of the Exclusion Zone. Only equipment and personnel actively engaged in mine closure work are allowed within the Exclusion Zone. All personnel working within the Exclusion Zone shall wear cotton coveralls or Tyvek® suits, safety boots, safety glasses, ANSI approved hard hats, and leather work gloves with cotton glove liners underneath. All personnel and equipment leaving the Exclusion Zone must be decontaminated.

G. Decontamination Station. A Decontamination Station shall be set up in the Exclusion Zone entrance/exit. The location shall meet the Owner's approval. This station shall be placed upwind of dust-generating activities if possible. All personnel entering and exiting the Exclusion Zone shall pass through this area to remove soil from boots, and to remove, dispose of, and store personal protective equipment. The Contractor shall provide the decontamination station with all



materials necessary for personnel exiting the Exclusion Zone to clean boots, wash hands and wipe faces, and store protective gear.

H. Wash Station. A Wash Station shall be set up in the Exclusion Zone entrance/exit at the decontamination station. The Contractor shall supply the Wash Station with liquid hand soap, paper towels, moist towelettes, water, and wash tubs. All personnel leaving the Exclusion Zone shall wash dust from exposed skin surfaces before being allowed to exit.

#### VI. Radiologic Protection Standards

A. All construction workers are required to wear TLDs to monitor their exposure to gamma radiation during the project. Readings will be recorded on a quarterly basis. All exposure information will be supplied to Owner.

B. No worker shall be permitted to receive a radiation exposure in excess of 100 mR in any calendar year.

C. Workers shall wear respirators whenever dust is generated and during welding activities. No worker shall be exposed to air containing radon daughter concentrations exceeding 1.0 WL (working level) without a respirator. Where radon daughter concentrations exceed 10 WL, respirator protection against radon gas shall be provided by supplied air.

D. The Contractor and all others on site must comply with the Health and Safety Plan developed for this project.

#### VII. Smoking And Eating Restrictions

A. Smoking is prohibited within 300 feet of the Exclusion Zone due to the synergistic health effects of smoking and radiation.

B. Eating, drinking, and chewing gum and tobacco are prohibited within 300 feet of the Exclusion Zone to prevent ingestion of radioactive dust.

#### VIII. Dust Control

A. Dust control is mandatory for all excavation and mine backfill work, whether done with machinery or manual labor. To minimize muddy conditions and maximize dust control at each mine site, small pesticide-type canister sprayers or similar devices shall be used to allow for local application of water for dust control. Water trucks may be used as long as the amount of water applied does not create a muddy work area (Exclusion Zone). During windy conditions work will be temporarily suspended until dust generation is minimized.

#### IX. Mine Ventilation

A. A fan system or other device shall be installed in mine adits to circulate fresh outside air with the mine air to reduce worker exposure to radon gas during construction of bat gates and walls. Adequate ventilation is particularly important while welding due to the smoke and fumes generated. Fans should not be used in a way that stirs up dust. It may be necessary to dampen the work area to keep dust controlled.

B. The Contractor may hang plastic sheeting or use other material to block the mine inside the brow to reduce radon gas levels at the brow of the mine where welding or other activities will take place.

X. Use Of Personal Protective Gear

A. All construction workers are required to wear TLDs to monitor their exposure to gamma radiation during the project. Workers shall wear a TLD while working within the project boundary (not just within the Exclusion Zones). TLDs are to be worn underneath the coveralls to keep dust out of the badge to prevent a false radiation exposure reading.

B. Cotton coveralls shall be worn while conducting the Work described in these specifications. Coveralls must not have torn seams or holes. If coveralls tear during the course of the work they shall be mended or replaced. Coveralls shall be stored individually in heavy (8 mil) plastic bags or equivalent when not in use. This is to prevent cross-contamination with coveralls worn by other workers. Coveralls shall be laundered regularly during the course of the Work.

C. If the Contractor opts to wear Tyvek® suits instead of cotton coveralls they shall be provided by the Contractor and worn while conducting the Work described in these specifications. Tyvek® suits shall be removed and thrown away whenever they become torn or overly dirty. Tyvek® suits shall be removed at lunch time, breaks, and between shifts. Clean Tyvek® suits shall be donned before entering the Exclusion Zone and removed when leaving the Exclusion Zone at the Decontamination Station. A Tyvek® suit shall not have holes or open seams. If a Tyvek® suit is torn during execution of the Work, the worker shall immediately go to the Decontamination Station to remove the torn suit and don another.

D. Leather and rubber-coated work gloves shall be worn with cotton glove liners. Cotton liners shall be provided by the Contractor and replaced daily. Each pair of work gloves shall be stored in individually assigned self-sealing bags (e.g. Ziplock®) when not in use to prevent cross-contamination with gloves worn by other workers. Work gloves shall be replaced when holes wear through them or seams come undone.

E. Workers shall wear respirators whenever dust is generated and during welding activities. No worker shall be exposed to air containing radon daughter concentrations exceeding 1.0 WL (working level) without a respirator. Where radon daughter concentrations exceed 10 WL, respirator protection against radon gas shall be provided by supplied air.

F. Respirator cartridges shall be changed as needed. Respirators shall be maintained, kept free of dust, and individually stored in a self-sealing plastic bag when not in use. Respirators shall not be shared by multiple workers.

G. Used Tyvek® suits, gloves, glove liners, respirator cartridges, towels, towelettes, plastic bags, and other disposable contaminated items shall be stored in plastic garbage bags and taken to a licensed landfill for disposal.

H. Reusable clothing items (coveralls, glove liners) may be laundered and reused if they are contaminated at less than twice the background radiation level. Laundering may be done in a standard washing machine, but contaminated items should be washed separately from other laundry. Clothing contaminated at more than twice the background radiation level shall be stored in plastic garbage bags and taken to a licensed landfill for disposal.

#### XI. Decontamination (Decon) Procedure For Personnel

A. A designated area (Decon Station) shall be set up by the Contractor, with the approval of the Project Engineer, for the removal of gloves and coveralls and for brushing dirt off of boots. The Decon Station shall be at or near the entrance/exit to the Exclusion Zone. At the Decon Station, containers for gloves, coveralls, and respirators shall be left so that personnel can properly store these items as they leave the Exclusion Zone. Brushes and water shall be supplied to brush and/or rinse boots off.

B. The order of decontamination shall be as follows:

1. Soil and dust shall be brushed and/or rinsed off of boots.
2. Leather gloves shall be removed and stored in a sealed plastic container.
3. While still wearing the cotton glove liners, remove coveralls by rolling the inside away from the body, turning the coveralls inside out. This is done to contain dust on the outside of the coveralls. Store coveralls in a separate sealed plastic container or bag.
4. While still wearing the cotton glove liners the respirator shall be removed and wiped free of dust. If the cartridges need to be changed, the used ones can be disposed of at this time. The respirator shall be stored in a sealed plastic container. Used cartridges shall be disposed of at a licensed landfill.
5. The cotton gloves shall then be scanned for beta and gamma radiation. If the reading is below twice the background levels the cotton gloves may be kept in a separate bag and used again. If they measure twice the background level or higher they are to be stored in a plastic bag and disposed of at a licensed landfill.
6. Proceed from this Decon Station to the Wash Station.

7. ALL PERSONNEL will wash at the Wash Station whenever leaving the Exclusion Zone to remove dust from exposed skin surfaces. This is the final decon step before leaving the Exclusion Zone.

C. Coveralls shall occasionally be frisked with a beta and gamma probe to determine level of contamination.

## XII. Decontamination (Decon) Procedure For Equipment And Demolition Waste

A. Tools used within the Exclusion Zone do not have to be decontaminated between mine sites if they are transported or stored in an open truck bed. If they are stored inside an enclosed storage area or inside a vehicle, all tools used in the Exclusion Zone shall be rinsed with water at the same Decon Station personnel pass through to remove all soil and dust particles. All tools shall be decontaminated before demobilizing at the end of the project.

B. The interior and exterior of heavy equipment shall be decontaminated with a pressure washer prior to final demobilization. The wastewater created by washing shall be contained on site for evaporation or infiltration into the soil on the project site. Wash Station sites and equipment shall be situated so that heavy equipment and vehicles do not drive through mud created by the washing process.

C. No extra precautions in the handling of equipment air filters beyond standard practice is required because on-site dust shall be controlled at all times.

D. All vehicles (passenger and other) driven to a mine site and not used as part of the Work at the site shall be parked up-wind of dust-generating activities with the windows rolled up. These vehicles should be vacuumed and washed at a car wash before proceeding with off-site or weekend activities.

E. Workers will occasionally have to drive between mine sites. Coveralls may be worn inside the vehicle if the seats and floors are covered with plastic sheeting provided by the Contractor. This will minimize getting dust in the upholstery.

F. Equipment operators may tram between mine sites without going through the decon station, as long as they decontaminate themselves before leaving the last mine site. Operators must, however, go through Decon procedures before leaving the site at lunchtime or at the end of the day.

### **01510 - TEMPORARY UTILITIES**

The following sections describe temporary utilities, controls, facilities, and construction aids required during construction. They include requirements for installation, maintenance, and removal.

**01516 - TEMPORARY SANITARY FACILITIES**

The Contractor shall provide temporary sanitation facilities during the contract work. The facility shall be installed on the project site in a location removed from the immediate contract work area. The facility shall be locked to prevent unauthorized access during the times work is not conducted. The Contractor shall remove the facility upon completion of the contract work and restore the area.

**01530 – BARRIERS AND ENCLOSURES**

The Contractor shall provide barricades with blinking markers for all equipment on roadways and pedestrian walkways. The barricades shall be no less than twenty feet from the front and rear of any equipment in the described rights-of-way. Traffic control devices shall be in substantial conformance with the American Traffic Services Association (ATSA) Guide for Work Area Traffic Control. The Contractor shall remove the barricades upon completion of the contract work.

**01533 - TREE, PLANT AND WILDLIFE PROTECTION****I. Tree and Plant Protection**

Environmental disturbance shall be kept to a practical minimum.

In steep areas and around vegetation, the Contractor shall, before beginning work, discuss the planned extent and nature of disturbance with the Project Manager. Existing plants and trees shall be protected from damage or injury resulting from the Contractor's operations. Damaged trees and shrubs shall be trimmed to remove broken limbs where minor damage has occurred. Where directed by the Project Manager, cut or scarred surfaces of trees or shrubs shall be treated with a heavy coat of a tree sealant approved by the Project Manager.

**01535 - PROTECTION OF INSTALLED WORK**

The Contractor shall protect installed work and control traffic in the immediate area to prevent damage from subsequent operations.

**01540 – SECURITY**

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The Contractor shall act to assure the protection of the contract work and equipment at the contract work site. The Contractor shall furnish, install, and maintain safety fences around any hazardous or high-voltage equipment at the site for the duration of the project. Where

appropriate, the Contractor shall restrict access to the project site by barricading access roads during off-hours and by posting "No Admittance" and "Hard Hat Area" signs.

### **01550 – ACCESS ROADS AND PARKING AREAS**

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Unless otherwise indicated, all Contractor personnel and equipment shall enter and leave the project site via existing roads and trails. Upon the regrading, recontouring, or reclamation of any part of the site, further vehicular use shall be limited to that necessary to complete operations. Any access routes that are determined by the Project Manager to be maintained throughout the project duration shall be left in as good or better condition than the condition before the start of the project. Existing roads and trails shall be used whenever possible.

Equipment shall be "walked" or operated cross-country to travel to work sites where roads do not exist. Should the Contractor make a request to the Project Manager to blade, clear or doze an access path that is not otherwise indicated on the Drawings, the Project Manager shall obtain written approval from the Project Engineer, in coordination with the AML Cultural Resources staff, before any ground disturbance takes place. Top dressing shall be stripped and stockpiled before blading as directed by the Project Manager. All unspecified roads, trails, or travel routes shall be regraded to approximate original contours, reclaimed, and revegetated, as necessary, in conformance with the specifications at no additional cost to EMNRD. Where directed by the Project Manager, the Contractor shall build earthen berms to discourage vehicular traffic and to control erosion on closed temporary construction access roads.

### **01560 – TEMPORARY CONTROLS**

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The Contractor shall take all reasonable steps to reduce any inconvenience and disruption to the public because of this project. The Contractor shall provide the following temporary controls for the duration of the contract work.

#### **01561 - CONSTRUCTION CLEANING**

The Contractor shall keep the contract work area, equipment, and adjacent areas free from spillages of construction and maintenance materials during the contract work. The Contractor shall also provide for the containment of solid debris created by unpackaging construction materials and waste from meals consumed at the contract work site. The Contractor shall assure the cleanup and removal of all spillages and solid debris to an approved disposal site at the end of each contract workday.

#### **01562 - DUST CONTROL**

The Contractor shall take all necessary measures to control dust emanations from the construction equipment. The Contractor shall assure that the equipment used in the contract work is fitted with all standard dust control devices. To maintain the health and safety of project

personnel, dust control measures at this site shall comply with all local, state, and federal health and safety regulations. The Contractor shall be prepared to begin dust control measures anytime at the request of the Project Manager. Water for dust control shall be distributed in sufficient quantity and at proper times by water trucks equipped with spray bars approved by the Project Manager. The quantity of water required and the frequency of watering shall be dependent upon the weather and the site's surface conditions and may vary throughout the project duration.

#### **01564 - NOISE CONTROL**

The Contractor shall assure that all equipment used in the contract work is fitted with standard noise suppression devices.

#### **01565 - FIRE PREVENTION AND SAFETY AWARENESS**

The Contractor shall develop an emergency plan that will outline precautionary measures and identify initial attack resources and procedures in case of a fire incident. This plan will be submitted to the Project Manager at the Pre-Construction meeting. The Project Manager will then provide feedback about the plan. The Contractor shall provide the fire emergency plan to all individuals working on this project.

Examples of precautionary measures might be:

1. Inspect all motorized and mechanized equipment to insure mufflers and spark arresters are operating properly.
2. Insure personnel are properly trained on the safe use of welding torches, arc welders, generators, saws, power grinders, chainsaws, and other tools and are also familiar with the potential of this equipment to create hot sparks and ignite fires.
3. Avoid welding or cutting in areas next to and above flammable materials or during windy conditions. This would pertain to materials inside the mine as well as outside the mine. Welding shall not take place within 25 feet of polyurethane foam during application. After its application, welding shall not take place above it without first covering the surface with at least 6" of fill material.

Examples of resources and procedures might be:

1. Maintain adequate fire extinguishers, water tanks, sprayers, and other equipment at the work site that would enable personnel to immediately extinguish any accidental ignition.
2. Have personnel observe the work area while welders are operating (welders cannot see where the sparks are falling when he is under the welding hood).

3. Assign an individual to be responsible for the area being "safe" (no hot sparks, iron is cold) before leaving the work site.
4. Develop an emergency notification procedure in case the fire incident is or appears to be reaching an out-of-control status.

The Contractor shall obey all fire restrictions declared by the landowner(s) (i.e. U.S. Forest Service or Bureau of Land Management).

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### **01570 – TRAFFIC REGULATION**

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The Contractor shall take the following measures for regulation of traffic at the contract work site.

#### **01572 - FLAGGERS**

The Contractor shall post flaggers during the off-loading and on-loading of equipment or materials in roadways at the contract work site. The flaggers shall halt traffic during the off-loading or on-loading process or direct traffic to an alternate route.

#### **01574 - HAUL ROUTES**

The Contractor shall consult with the authority having jurisdiction in establishing public thoroughfares to be used for haul routes and site access.

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### **01580 – PROJECT IDENTIFICATION AND SIGNS**

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At least one temporary project sign shall be furnished and erected by the Contractor at the most convenient point of public access to the project site. The project identification sign shall be installed within ten working days after the receipt via certified mail of the Notice to Proceed or within five days after the Contractor initially mobilizes to the project site, whichever comes first. The sign is to be a minimum of four feet by eight feet by three quarter inch (4' x 8' x 3/4") exterior grade plywood and is to give the project title, project number, and other data within the box on the Title Page (Section 00001). Exterior quality paint in contrasting colors shall be used. The Contractor shall remove sign, framing, supports, and foundations at completion of Project and restore the area. The costs connected to the construction, painting, erection, and later removal of the sign should be covered under Bid Item No. 1, Mobilization, on the Bid Form.

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### **01590 – FIELD OFFICES AND SHEDS**

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Portable or mobile buildings, or buildings constructed with floors raised above ground, may be provided by the Contractor in locations approved by the Project Manager and the landowner. At completion of work, the Contractor shall remove all buildings, foundations, utility services, and debris and restore areas.



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**01600 – MATERIALS AND EQUIPMENT**

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All materials and equipment required to complete the work shall be as specified. Any substitution to the specified products requires prior approval by the Project Engineer.

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**01700 – CONTRACT CLOSEOUT**

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The following sections specify the duties and responsibilities of the Contractor to close out the contract.

**01701 - CONTRACT CLOSEOUT PROCEDURES**

When work is completed, the Contractor shall submit project record documents to the Project Manager.

**01702 - FINAL INSPECTION**

Upon written notice from the Contractor that the entire Work or an agreed portion thereof is complete, the Project Engineer will make a final inspection with the Project Manager and Contractor and will notify the Contractor in writing of all particulars in which this inspection reveals that the Work is incomplete or defective. The Contractor shall immediately take such measures as are necessary to remedy such deficiencies.

**01710 - FINAL CLEANING**

After completion of all work, the Contractor shall demobilize and remove all equipment, materials, spills, supplies, and trash from the project site and shall reclaim all areas disturbed by the Contractor's activities. Unless otherwise specified, developed, maintained roads that existed before commencement of the Contractor's activities need not be reclaimed, but must be left in a condition equal to or better than what existed before the Contractor's activities began. Fences, gates, plants, sod, and other surface materials disrupted by these operations shall be replaced or restored to original or better conditions immediately upon completion of work at the site. Other damage to private or public property shall be immediately repaired. All such cleanup, repair, or replacement work shall be done at the Contractor's expense and to the satisfaction of the Project Manager pending approval of the appropriate public officials and property owners. Payment for Demobilization should be covered under Bid Item No. 1, Mobilization, on the Bid Form.

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**01720 – PROJECT RECORD DOCUMENTS**

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The Contractor shall prepare final Project Record Documents providing information regarding all aspects of the Work, both concealed and visible, to enable future modification of the Work to proceed without lengthy and expensive site measurement, investigation, and

examination. At Contract closeout, the Contractor shall deliver Project Record Documents and samples under provisions of Section 01701.

**END OF DIVISION 1**

## **DIVISION 2 – SITEWORK**

The following sections describe the sitework to be performed under this contract.

### **02050 – DEMOLITION**

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The mine openings require the removal of all debris such as head frame, concrete, power poles, fencing, boards, signs, timbers, wire, steel vent shaft, etcetera. Salvageable materials shall be recycled at the Contractor's expense, while trash shall be properly disposed of at the Contractor's expense at an appropriate licensed landfill. All fasteners shall be removed from the lumber and timbers.

### **02100 – SITE PREPARATION**

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#### **02110 - SITE CLEARING**

This work shall consist of clearing, grubbing, trimming, removing and disposing of vegetation and debris in accordance with these specifications, except those items designated to remain. This work shall also include the preservation from damage or defacement of all vegetation and items designated to remain.

The Contractor shall mark the limits of areas to be cleared and grubbed by means of stakes, flags, tree markings or other suitable methods. The Contractor shall notify the AML Program or its agent(s) at least 5 days prior to any clearing and grubbing operations within such limits. No clearing and grubbing shall begin without the authorization of the AML Program or its agent(s). Trees to be left standing and uninjured shall be marked accordingly by placing a special mark on the trunk at a height of about six feet above the ground. Trees located partially in the path of construction shall be trimmed. Damaged trees shall be trimmed to remove broken limbs where minor damage has occurred.

Within construction limits for borrowing backfill material, all surface debris, roots, stumps, trees, and other objectionable protruding obstructions shall be cleared with the Project Manager's concurrence.

### **02200 – EARTHWORK**

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The following sections describe the earthwork to be performed under this contract.

#### **02210 - GRADING**

The following sections describe the grading to be performed under this contract.

**02211 - FINAL GRADING**

The final surface provided in the drawing sets correspond to the final graded plan. Geomorphic grading/re-contouring requires that specified tolerances be met. In final shaping of the surface of earthwork, maintain a tolerance of 0.25 foot (3 inches) above or below the plan grades. Earthwork shall be shaped to match adjacent sill grades at the upstream and downstream edges of the sills. Active and historic roads that are scheduled for obliteration and decommissioning shall also be decompacted prior to placing re-contouring fill.

The final digital files for the graded design surface and the existing surface are available to the Contractor from the AML Program or its agent(s).

**02212 - DECOMPACTION**

Before construction demobilization and following the need for any construction access to each abandoned mine site, the Contractor shall decompact areas compacted by construction activity, including temporary work areas and access trails, and staging, storage and parking areas. After final grading, all cut and fill areas shall also be decompacted.

Where bedrock is exposed at the surface, such decompaction will not be required. Decompaction methods shall be effective at reducing soil density to a minimum depth of twelve inches (except where bedrock is closer to the surface) and shall be accomplished without inverting the soil layers. Wherever practicable, ripping shall be done along the contour.

**02216 - ACCESS ROAD CLOSURES**

Before construction demobilization and following the need for any construction access to the abandoned mine site, the Contractor shall close temporary construction access roads as specified and as directed by the Project Manager. The Contractor shall outslope the road surface and remove all berms along the outer edge of the road. By grading material toward the cut bank, the Contractor shall take care not to spill graded material over the fill slope. The outslope shall be enough to divert water over the bank at approximately four to eight percent. The surface of the closed road shall be covered with topsoil to a minimum depth of six (6) inches and made uneven using extreme roughening as specified in Section 02900 – Landscaping.

The Contractor shall construct berms and cross-ditches, as shown in the drawings and as directed by the Project Manager, to restrict vehicular access and control erosion.

In addition, cross-drains shall be located to divert water where the road traverses a ridge, above and below road junctions, above steep incurves to prevent bank cutting and to keep road surface water from entering a draw, below sharp incurves to prevent water from a draw from coursing down the road, and below seeps and springs.

**02220 - EXCAVATING, BACKFILLING, AND COMPACTING**

The following sections describe the excavating, backfilling, and compacting to be performed under this contract.

**02222 – EXCAVATION OF MINE SPOIL PILES**

Mine spoils in designated areas shall be excavated and placed in the bottom of the adjacent gully. The spoils will form the first lift of backfill for the gully and be used to construct geotextile covered gully plug structures as shown in the plans. Geotextile material properties and installation shall conform to the specifications in Section 02350. All spoils shall be capped with a minimum 3 feet of cleaner fill from re-contouring operations.

The Contractor is responsible for directing the work and utilizing appropriate equipment and procedures required to avoid unexpected movement of the mine spoil. The Contractor shall avoid over-excavating native material beneath mine spoil piles.

**02223 - BACKFILLING OF MINE OPENINGS**

This work shall consist of backfilling mine gully with onsite fill material as designated in the specifications or as directed by the Project Manager.

**I. General**

Before backfilling mine openings, the Contractor shall remove cribbing, garbage, wood and other materials as specified and as directed by the Project Manager. All trash and debris shall be hauled to a permitted landfill or transfer station.

Backfill material shall be free of snow, ice, frozen lumps, logs, timbers, significant amounts of woody or vegetative debris, other deleterious materials and materials of such size and shape that they may bridge the opening being filled.

**II. Final Layer of Fill**

Wherever practicable, the final eight- to twelve-inch layer of the fill at mine openings shall be soil of comparable quality to the undisturbed soil surrounding the backfilled feature. Note the topdressing requirements of Section 02921.

**02224 - BORROW**

Mine waste material shall be used first then material will come from the approved borrow area as required and as directed by the Project Manager.

For indicated mine openings and as required, fill material shall be taken from designated borrow areas as indicated in the drawings. Any other non-designated borrow sources shall be approved before use by the Project Manager in consultation with AML cultural resource staff and, for borrow sites on nearby BLM lands, by the Bureau of Land Management. Topdressing at onsite borrow areas shall be stripped and stockpiled before borrow operations. Haul routes for borrow material shall be approved by the Project Manager before commencement of hauling.

### **02229 - COMPACTION**

The placing and spreading of fill material shall be started at the lowest point and the fill brought up and compacted to obtain a density similar to the surrounding undisturbed material. Earthfill shall be placed in approximately horizontal layers.

The Gully Plugs and all areas with more than 3 feet of backfill shall meet the requirements of the modified proctor (ASTM D 1557) compaction procedure for compaction specification and control, compaction to 95 percent of the maximum dry unit weight. The Contractor shall submit a complete soil analysis performed by an approved Soil Testing Lab and results from in place nuclear densometer testing using ASTM D6938 - 10.

The thickness of each layer shall be placed in loose lifts of not more than 12 inch thickness and shall be compacted by suitable compaction equipment unless otherwise specified as may be shown on the drawings. Each lift shall be compacted before placement of material for the next lift.

Unless otherwise specified, earthfill material, when placed, shall contain sufficient moisture so that a sample when taken in the hand and squeezed shall remain intact when released. If the compaction moisture density curve exhibits a well-defined peak and optimum water content, the soil should be compacted using a water content approximately equal to the optimum water content. If no well-defined peak in the moisture density curve is observed, the soil should be compacted with significant water, e. g., corresponding to 50 to 75 percent saturation or the maximum amount of moisture that can be retained. Adequate moisture is necessary to prevent significant post-construction settlement.

Materials placed by dumping in piles or windrows shall be spread uniformly to not more than the specified thickness before being compacted.

The contractor shall not waste or otherwise dispose of suitable materials. As identified by the Project Manager, all surplus excavated materials shall be stockpiled or graded out on site to the extent possible.

Hand compacted earth backfill shall be placed in layers whose thickness before compaction does not exceed the maximum thickness specified for layers of earth backfill compacted by manually directed power tampers. Unless otherwise specified, heavy equipment, including backhoe mounted power tampers or vibrating compactors and manually directed vibrating rollers, shall

not be operated within 2 feet of any structure. Compaction within 2 feet of any structure will be by hand tamping, hand operated mechanical tamper, or other methods approved by the engineer.

### **02340 - SEDIMENT AND EROSION CONTROL**

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The following sections describe the sediment and erosion control to be performed under this contract.

The Contractor shall take measures to control erosion and subsequent sediment carried off the project sites and access roads due to construction activities. These controls shall be included in the Storm Water Pollution Prevention Plan (SWPPP) to be developed by the Contractor in accordance with the General Notes found in the drawing sets. Sediment control measures shall be placed wherever soil disturbed by construction could erode and be carried beyond the limits of construction. These areas include areas disturbed by construction activities, temporary access and haul roads, and temporary earth stockpiles.

Erosion and sediment control measures shall be placed as grading and earthmoving operations progress. The operation shall not progress at a distance further than the distance that sediment control installations can be placed by the end of daily operations. Areas of surface disturbance shall be kept to a practicable minimum.

Unless temporarily demobilized from the project area due to specified seasonal limitations, the Contractor shall inspect the erosion and sediment control features at least biweekly and within 24 hours of each rainfall. The Contractor shall repair any erosion and sediment control feature within seven days following the inspection during which damage is noted or following notification by the AML Program or its agent(s) that repairs are required. Repairs shall be initiated within 24 hours of damage occurring to erosion control features that could result in a discharge of sediment into a stream, arroyo or water impoundment.

All erosion and sediment control measures shall be maintained by cleaning or replacement as needed, or as directed by the AML Program or its agent(s). These measures shall be fully effective for the purpose intended until permanent erosion control measures are in place and operational. Temporary erosion and sediment control features shall remain in place after construction operations are completed, unless otherwise designated in the contract, and shall be maintained until the date of final acceptance of the project.

### **02350 – Installing Rock Sill Structures**

### I. Description

The work shall include the supply and transport of all labor, material, and equipment to successfully complete the placement and construction of the rock sill structures as shown on the plans and to the requirements of this construction specification.

### II. Scope of Work

Place rock in sill structures

### III. Inspection

The Contractor shall stop work and call for inspection prior to rock placement over geotextile fabric.

### IV. Rock

Erosion protection rock shall be obtained from local sources, as approved by the Engineer or authorized representative. Individual rock fragments shall be dense, sound, and free from cracks, seams, and other defects conducive to accelerated weathering. The rock shall be angular in shape. The least dimension of an individual rock fragment shall be not less than one-third the greatest dimension of the fragment. All erosion protection rock shall be composed of hard, durable, angular, sound pieces having a specific gravity of not less than 2.6 per ASTM C127. Rounded rocks and cobbles shall not be used.

Rock for sills shall conform approximately to the following gradation:

<u>Diameter, in.</u>	<u>Percent Passing by Weight</u>
8	100
6	50
4	20

### V. Non-Woven Geotextile

Geotextile fabric shall be Class III non-woven with the following properties:

<b>Property</b>	<b>Test Method</b>	<b>Value</b>	<b>Unit</b>
Tensile Strength	ASTM D4632	90	lb
Elongation	ASTM D4632	50	%
Puncture	ASTM D4833	40	lb
UV Light	ASTM D4355	70	%



## VI. Non-Woven Geotextile Placement

All rock for sill structures shall be placed over non-woven geotextile fabric and all gully plugs shall be wrapped in non-woven geotextile fabric. The existing slope and/or subgrade on which the geotextile is to be placed shall be excavated/sloped to the neat lines and grades as shown on the drawings. The surface shall be reasonably smooth and free of loose rock and clods, holes, depressions, projections, muddy conditions and standing or flowing water. The geotextile shall be placed on the prepared surface at the locations and in accordance with the details shown on the drawings. The geotextile shall be unrolled along the placement area and loosely laid (not stretched) in such a manner that it will conform to the surface irregularities when material is placed on or against it. The geotextile shall be keyed into a trench at the top of each slope per the manufacturer's recommendations or to a minimum depth and width of 6 inches, whichever is greater. The geotextile may be folded or overlapped to permit proper placement in the designated area.

The geotextile shall be joined by overlapping a minimum of 18 inches (unless otherwise specified) and secured against the underlying foundation material. Securing pins, approved and provided by the geotextile manufacturer, shall be placed along the edge of the panel or roll material to adequately hold it in place during installation. Pins shall be steel or fiberglass formed as a **U**, **L**, or **T** shape or contain "ears" to prevent total penetration through the geotextile. Steel washers shall be provided on all but the **U**-shaped pins. The upstream or upslope geotextile shall overlap the abutting downslope geotextile. At vertical laps, securing pins shall be inserted through the bottom layers along a line through approximately the mid-point of the overlap. At horizontal laps and across slope laps, securing shall be inserted through the bottom layer only. Securing pins shall be placed along a line about 2 inches in from the edge of the placed geotextile at intervals not to exceed 12 feet unless otherwise specified. Additional pins shall be installed as necessary and where appropriate to prevent any undue slippage or movement of the geotextile. The use of securing pins will be held to the minimum necessary. Pins are to remain in place unless otherwise specified.

Should the geotextile be torn or punctured, or the overlaps or sewn joint disturbed, as evidenced by visible geotextile damage, subgrade pumping, intrusion, or grade distortion, the backfill around the damaged or displaced area shall be removed and restored to the original approved condition. The repair shall consist of a patch of the same type of geotextile being used and overlaying the existing geotextile. When the geotextile seams are required to be sewn, the overlay patch shall extend a minimum of 1 foot beyond the edge of any damaged area and joined by sewing as required for the original geotextile except that the sewing shall be a minimum of 6 inches from the edge of the damaged geotextile. Geotextile panels joined by overlap shall have the patch extend a minimum of 2 feet from the edge of any damaged area.

## VII. Rock Placement

Rock rip-rap used in the construction of the sills shall be placed by equipment on the surface and to the depth specified. It shall be installed to the full course thickness in one

operation and in such a manner as to avoid serious displacement of the underlying material. The rock for riprap shall be delivered and placed in a manner that ensures the riprap in place is reasonably homogeneous with the larger rocks uniformly distributed and firmly in contact one to another with the smaller rocks and spalls filling the voids between the larger rocks. Some hand placing may be required to provide a neat and uniform surface.

All erosion control rock shall be keyed-in such that finish rock surfaces conform to the plan sections for the sills. Local surface irregularities of the erosion protection on the sill inlet and outlet shall not vary from the planned elevations by more than 0.25 feet (3 inches).

### **02360 – Permitting**

All permits and authorizations that are applicable for the construction and/or operation shall be obtained prior to construction. The contractor is responsible for obtaining coverage under a National Pollutant Discharge Elimination System (NPDES) Storm Water Pollution Prevention (SWPPP) permit and will act as the “operator” under that permit. The contractor will be responsible for submittal of Notice of Intent for coverage under the NPDES Construction General Permit.

## **02800 - SITE IMPROVEMENTS**

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Road or street improvements destroyed, removed, or damaged during construction shall be replaced with the same type and dimensions of units removed and shall be equal to and consistent with the undisturbed portions of the improvements existing before the project.

### **02890 - SURVEY CAPS**

The Contractor shall install a survey cap, provided by the Project Manager, in a new six-foot long nominal 3½ -inch inside diameter galvanized steel pipe (4.0" O.D., minimum 9.11 lbs./ft.) of backfilled and safeguarded mine features as indicated in Table II. The lower two feet of pipe shall be set in concrete a minimum of one foot in diameter and the upper twelve inches of pipe shall extend above grade. The Contractor shall grout a survey cap, provided by the Project Manager, into the pipe using a non-shrink grout, such as Moly Parabound, Pour Rock, or Quikrete.

## **02900 - LANDSCAPING**

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The following sections describe revegetation to be performed under this contract.

### **02908 – Fertilizer and Amendments**

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Fertilizer and soil amendments shall be placed in all areas to be seeded as shown and as specified.

### I. Organic Fertilizer

Slow release organic fertilizer shall be “Protein Crumbies” by Soil Secrets LLC, Los Lunas, N.M. ([www.soilsecrets.com](http://www.soilsecrets.com)), 505.550.4236) or approved equivalent. The Contractor shall provide bag labels, invoices, analyses and other documentation showing the purity and composition of the fertilizer to the Project Manager.

Organic fertilizer shall be spread evenly onto the soil surface at all areas to be seeded, before drilling or broadcasting seed, at the rate of one-half cubic yard per acre (13.5 CF per acre). Equivalent rates of application for any approved equivalent fertilizer will be adjusted as the Project Engineer directs.

### II. Soil Conditioner

Soil conditioner shall be a cultured soil humus concentrate that contains a broad spectrum of beneficial mycorrhizal fungi and bacteria. In addition, ingredients shall include worm castings, rock dust, and kelp. Humic acid content shall be a minimum of 65% by weight (as determined by the methods outlined in “Separation and Analysis of Humic Acid and Fulvic Acid, J.M. Verploegh and L.A. Brandvold). The product shall be non-polluting, non-volatile and non-toxic, and leave no undesirable residues in the soil. It shall not impair existing vegetative growth and shall be non-injurious to seed, human or animal life.

Soil conditioner shall be “Commercial TerraPro” by Soil Secrets LLC, Los Lunas, N.M. ([www.soilsecrets.com](http://www.soilsecrets.com)), 505.550.4236) or approved equivalent. Products from different manufacturers may be proposed for approval by the Project Engineer provided they have documentation of equivalent revegetation abilities as the products specified.

Soil conditioner shall be spread evenly onto the soil surface at all areas to be seeded, before drilling or broadcasting seed, at the rate of one cubic yard per acre (27.0 CF per acre). Equivalent rates of application for any approved equivalent soil conditioner will be adjusted as the Project Engineer directs.

### III. Mycorrhizal Inoculum

Mycorrhizal inoculum shall be a concentrate of endomycorrhizal (VAM) species with viable spores capable of inoculating seed and grass species found in the Northern Hemisphere.

Each mycorrhizal inoculum shall carry a supplier’s guarantee of number of spores per unit weight or volume of bulk material. For each fungal species claimed by the supplier, the label shall include a certification for each species of endomycorrhizal fungus claimed and the certified spore count of each species contained in the inoculum. The inoculum shall be viable and healthy with sufficient time remaining in its recommended shelf life for inoculation of the seeded plants. No antagonistic pathogens shall be present at significant levels.

Mycorrhizal inoculum shall be “EndoMaxima Advantage” by Soil Secrets, LLC, or approved equivalent.

Mycorrhizal inoculum is a live material; it shall be transported and stored in vehicles, containers and in application equipment with a temperature of less than 90° F. Direct exposure to sunlight shall be avoided. Since tobacco is toxic to the mycorrhizal spores, all personnel handling the mycorrhizal inoculum shall be free from use of tobacco in previous two weeks.

Seed can be pretreated by mixing the spores with the seed in a cement mixer at the desired spore count per given seed count or have Soil Secrets use a ribbon mill at the rate of one-half (1/2) pound per acre, total eight (8) pounds. Equivalent rates of application for any approved equivalent inoculum will be adjusted as the Project Engineer directs. At areas where seed is to be broadcast (where allowed as specified below), the inoculum shall be dry broadcast evenly onto the soil surface and incorporated by disking, tilling, harrowing, track walking or ripping within one hour of broadcasting as required to result in the incorporation of 80% of the inoculum to a depth of one to four inches. Elsewhere the inoculum shall be applied during the drilling operation. In all cases, inoculum shall be placed prior to or concurrent with application of seeds.

#### **02920 - SOIL PREPARATION**

Prior to seedbed preparation, the Contractor shall grade all disturbed areas as described, decompact those areas specified above. Disturbed areas include the mine backfill borrow areas, depressions and mounds at safeguarded shafts, filled areas at adits, temporary access and haul routes, closed access roads, areas stripped of native vegetation and any other surface disturbed areas except as otherwise specified.

The specified fertilizer shall be spread just before and incorporated into surface roughening. After roughening, seed shall be drilled or broadcast as specified below. In areas with extremely dry and loose soil, the Project Manager may require the Contractor to wait until the soil has settled before seeding.

Large and small boulders may be left exposed on site prior to seeding, either singly or in groupings that blend with the natural surroundings, as directed by the Project Manager. The Project Manager may require that additional boulders be placed on site to enhance visual variation and provide wildlife habitat.

The extent of seedbed preparation shall not exceed the area on which the entire seeding operation can be applied to such prepared seedbed before any surfaces crusting or loss of seed and fertilizer due to erosion. If erosion or crusting occurs, the entire area affected shall be reworked beginning with seedbed preparation.

#### **02921 – TOPSOIL STOCKPILING AND TOPDRESSING**

The work shall consist of stripping, stockpiling, and spreading topsoil in accordance with the applicable specifications.

I. Stripping

Strip topsoil only from the borrow area. A 4 to 6 inch stripping depth is common, but depth varies depending on site. Determine depth of stripping by taking soil cores at several locations within each area to be stripped. Topsoil depth generally varies along a gradient from hilltop to toe of the slope. All planned erosion and sediment control practices shall be in place and functioning properly prior to stripping.

II. Stockpiling

Select a stockpile location to avoid slopes and natural drainageways, and to avoid traffic routes. Perimeter controls shall be placed around the stockpile immediately.

III. Spreading Topsoil

Uniformly distribute topsoil to a minimum compacted depth of 2 inches on 3:1 slopes and 4 inches on flatter slopes.

Topsoil shall not be spread while it is frozen or muddy or when the subsoil is frozen or muddy.

Compact the topsoil enough to ensure good contact with the underlying soil, but avoid excessive compaction, as it increases runoff and inhibits seed germination and seedling growth.

As specified, on construction sites, mined areas, and other critical areas where the existing surface material is either chemically or physically unsuited to support adequate vegetation, the best available soil material shall be evenly spread on the surface in sufficient depths to maintain plant growth. Available topdressing in all areas to be disturbed shall be set aside prior to deeper soil disturbance for excavation, mine feature backfilling and access road blading.

Topdressing shall be applied generally along the contour, but if hazardous conditions arise, the application may be in another direction. In all cases, placement shall be such that erosion is kept to a minimum.

**02930 - GRASSES**

The following section describes the seeding to be conducted under this contract.

**02933 - SEEDING**

Following completion of seedbed preparation, the Contractor shall seed areas according to the Specifications and as follows:

Seeding Time

Seeding shall be accomplished between June 15 and August 31 of each year, unless specific permission in writing is issued by the Project Engineer to allow seeding before or after these dates. Seeding shall not be done when the soil is too wet, too dry, or otherwise untillable.

Seed Species and Mixtures

To assure AML that the seed purchased shall exhibit the characteristics associated with the given variety, and that it is genetically pure, the Contractor shall provide certified seed of named varieties. For the unnamed varieties, the seed shall be obtained by the Contractor from a source adapted to the climate and soil in which it is being planted; that is, a similar land resource area which is not more than approximately three hundred miles south or about two hundred miles east, north, or west. The percentage of each species comprising seed mixtures for application is outlined below. The mixture is to be used for revegetation of areas defined above in Section 02920. Seed species and varieties, which are well adapted to the soil, climate, and topography of the disturbed areas, shall be used in revegetation and are discussed below.

Seeding Methods

## A. Drilling

All seeding operations, where practical, shall be accomplished by drilling and shall be across the slope. Drills shall be in good working order, equipped with two or more boxes, one for small, smooth seeds and one for fluffy seeds, with good agitators to keep the seed mixed, with double disk furrow openers, depth bands, drop tubes, packer wheels or drag chains, and rate control attachments. The distance between the drilled furrows shall not be more than eight inches. If the furrow openers on the drill exceed eight inches, the area shall be drilled twice. Equipment used shall accurately dispense both smooth-and fluffy seeds, at a depth of one-fourth to three-fourths inch. Efforts should be taken to ensure that perennial grasses and shrubs are planted at the appropriate depth. Intermediate size seeds such as wheatgrasses and shrubs should be planted at a depth of 0.5 inches, larger seeds such as Indian ricegrass at 1 to 2 inches, and small seeds such as alkali sacaton, and sand dropseed should be planted at a depth of 0.25 inches. In situations where differing planting depths are not practicable with the equipment being used, the entire mix should be planted no deeper than 0.25 inch. Planting too shallow is generally better than planting too deep.

## B. Broadcasting

Where accomplishing the seeding by drilling is not practical, the seed shall be broadcast. When broadcast seeding, passes shall be made over the site to be seeded such that an even distribution of seed is obtained. Broadcast seeding shall take place immediately following the completion of final soil preparation. Broadcast seeding shall not be conducted when wind velocities would prohibit an even seed distribution. Broadcast seeding shall be followed by hand raking, manual use of a drag chain, or sweeping with sturdy tree or shrub branches to cover seed. This shall be done over the entire seeded area but shall not be so extreme as to reduce the extent of soil relief.

Broadcast seeding of large areas shall be done using hand-operated “cyclone-type” mechanical seeders. All seeding equipment used shall be equipped with a metering device and set to the appropriate seeding rate.

Broadcast seeding of small areas of disturbance, less than 0.05 acres (approximately 2500 square feet or 50 feet by 50 feet) may be done by hand scattering. Raking of small areas is not necessary if there is sufficient surface roughness to ensure that seeds will fall in crevices and other micro-topographic depressions such that weather and gravity will cause them to be covered and stay in place.

After completion of the broadcast seeding and seed covering, organic debris such as logs, tree stumps and grubbed vegetation shall be randomly redistributed across the sites. This shall be done at the Project Manager’s direction for the purpose of creating visual variation, ground shading, and production of wildlife habitat. Care shall be taken to avoid leveling the soil surface.

### C. Completion

If the Contractor is scheduled to close the project outside the specified seeding time when seeding is the only incomplete item, the Contractor shall complete only seed bed preparation and 75 percent of the lump sum bid price for seeding will be retained. Then the job shall be held open for seeding during the next seeding season with the remainder of the bid price being paid upon completion and acceptance of seeding. Application of a final layer of mulch, at the rates described below, shall then be carried out after seeding.

If all of the work required by the contract, except seeding, is completed before seeding is accomplished because of seasonal limitations, partial acceptance of the work will be made with final acceptance delayed until seeding has been accomplished in accordance with these specifications. Liquidated damages will not be assessed against the Contractor during the interim period between the dates of partial acceptance and final acceptance if such delay is the result of seasonal limitations.

## D. Seeding Rates

Seeding rates are given in Table I. Pure Live Seed (PLS) expresses seed quality. PLS is a percentage of pure, viable seed in a particular lot of seed. PLS is calculated by multiplying the percent total germination by the percent purity and dividing by one hundred (100):

$$\text{Percent PLS} = \frac{\text{Purity} \times \text{Germination}}{100}$$

**Table I – SEED MIX**  
**Grants Uranium – Spencer Mine Safeguard and Reclamation Project**

<u>No.</u>	<u>Species</u>	<u>Scientific Name</u>	<u>Bulk Seed/lb.</u>	<u>Percent of total mix</u>	<u>Application Rate lbs. seed /Ac.</u>
1.	Purple three-awn	<i>Aristida purpurea</i>	250,000	21.5%	3.0
2.	Sand dropseed	<i>Sporobolus cryptandrus</i>	5,600,000	4%	0.5
3.	Prairie aster (Annual)	<i>Machaeranthera tanacetifolia</i>	408,000	2%	0.25
4.	Blue grama	<i>Bouteloua gracilis</i>	135,000	15%	2.0
5.	Four-wing saltbush	<i>Atriplex canescens</i>	52,000	21.5%	3.0
6.	Shadscale saltbush	<i>Atriplex confertifolia</i>	64,900	15%	2.0
7.	Rubber rabbitbush	<i>Ericameria nauseosa</i>	123,000	15%	2.0
8.	Alkali sacaton	<i>Sporobolus airoides</i>	1,750,000	2%	0.25
9.	Blanket flower (perennial)	<i>Gaillardia pulchella</i> or <i>G. aristata</i>	132,000	2%	0.25
10.	Rocky Mt. beeplant (Annual)	<i>Cleome serrulata</i>	65,900	2%	0.25
<b>Totals</b>			<b>100%</b>	<b>13.5 lb/ac</b>	

**\*Based on 60 pure live seeds (PLS) per square foot, drill seeded. Double this rate (120 PLS per square foot) if broadcast.**

**\*\*This mix includes a selection of annual and perennial forbs. Some of these may be limited in supply; if so, substitute from BLM seed mix. It is suggested that a mix of annuals and perennials be planted. These species are known to be generally less palatable to grazing.**

All seed shall comply NMSA 1978, Sections 76-10-11 through -22 and 21.18.4.NMAC, Seed Standards and Classifications. Invoices or bag labels showing purity and germination for all seed shall be provided to the Project Manager before seeding.



The Contractor shall protect and care for seeded areas until final acceptance of the work, and shall repair all damage to seeded areas caused by pedestrian or vehicular traffic at no additional cost to EMNRD.

#### **02940 – MULCHING**

The Contractor shall apply mulch to all seedbed areas. Mulching will not be permitted when the wind velocity exceeds fifteen miles per hour. The mulch type shall be coarse bark and/or wood chips or chunks, pecan shells, or approved equivalent. Materials shall be wind resistant. No more than 15 percent, by loose volume, shall pass through a 0.25-inch sieve. The mulch shall not contain resin, tannin, or other compounds in quantities that would be detrimental to plant life. Sawdust or materials with noxious seed or plants will not be acceptable. Chipped, but uncomposted, yard waste will not be acceptable unless the material is certified to be free of weed seed. All nonorganic matter (plastic, rubber, etc.) shall be removed after application.

The mulch shall be spread uniformly over the prepared area either by hand or with a mechanical mulch spreader. Mulch shall be applied by the Contractor to all seeded areas immediately after seeds are planted to provide suitable surface litter for improvement of moisture conditions and to reduce the potential for damaging erosion or soil blowing which might occur before or during plant establishment.

The rate of application of woody mulch shall be 75 cubic yards per acre (approximately ½”-thick after spreading).

Manufactured wood mulch products are acceptable for use. Manufactured wood mulch, if used, shall be an engineered all-wood, long-strand soil erosion control mulch that is a blend of geometrically regular wood elements that have a straw-like form and function. The materials shall be inherently free of noxious weed seed and other additives detrimental to plant life. Special wood mulch can be “Woodstraw Model LS64-100” by Forest Concepts, LLC (877.838.4759, [www.woodstraw.com](http://www.woodstraw.com))<sup>9</sup>, or approved equivalent. After amending and seeding, special wood mulch shall be evenly placed at a rate targeted to provide 50 percent soil cover. Mulch shall be spread in a manner recommended by the manufacturer and to provide even coverage.

#### **02941 – EROSION CONTROL BLANKET**

##### **I. Description**

The work shall include the supply and transport of all labor, material, and equipment required for furnishing and placing erosion control fabric as shown on the plans and to the requirements of this construction specification.

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<sup>9</sup> Use of brand names is for the purpose of describing the standard of quality, performance and characteristics desired and is not intended to limit or restrict competition.

## II. Scope of Work

Install and secure double-net erosion control fabric

## III. Erosion Control Blanket

Biodegradable erosion control blanket made of jute, coir, straw, coconut or other natural material with all-natural netting shall be placed over the seed in the designated planting zones for protection and to provide a good environment for vegetative regrowth. Blanket is laid and anchored over seeding to reduce soil erosion and provide a good environment for vegetative regrowth. The Contractor shall install the blanket, including fasteners, anchors, overlaps, waddles/logs, etc., in strict accordance with the manufacturer's instructions and plans.

### A. Double New Straw/Coconut

Erosion Control Blanket (ECB) containing a matrix fiber blend of 30% coconut and 70% straw by weight with double net biodegradable jute/scrim netting and biodegradable thread (Western Excelsior CS-3 Coconut Straw Erosion Blankets - All Natural Netting or comparable) for extended-term erosion control. ECB shall meet the following properties:

Property	Test Method	Value	Unit
Tensile Strength	ASTM D6818	12.5 (MD), 12.5 (TD)	lb/in
Elongation	ASTM D6818	5.0 (MD), 5.0 (TD)	%
Mass per Unit Area	ASTM D6566	9.5	oz/yd <sup>2</sup>
Thickness	ASTM D1777	8.5	mm
Light Penetration	ECTC TASC 00197	10	% open
Water Absorption	ASTM D1117	325	%
Max Velocity (Un-vegetated)	ASTM D6460	6.0	ft/s
Max Shear Stress (Un-vegetated)	ASTM D6460	1.6	psf

## IV. Fasteners

Fasteners shall meet the specifications as outlined below and installed per the manufacturers specifications or the specifications as outlined on the plans. At a minimum, 6" long by 1" crown, 11 gauge staples are to be used to secure the blanket to the ground surface. Installation in rocky, sandy or other loose soil shall require longer staples.

**02955 – SALVAGE OF NATIVE PLANTS**

Before any area is disturbed for access, borrow, fill or other construction activities, the Contractor shall thoroughly scout the area for native plant species. All significant plants shall be avoided wherever practicable. Of those that need to be disturbed, the Contractor shall salvage those that can be replanted, as the Project Manager directs and as specified below. Species that shall be salvaged include prickly pears (*Opuntia spp.*) and other cactus species, including pincushion types.

Plants to be salvaged shall be dug from the soil before earthmoving operations, preserving as many roots and as much of the soil around the roots as practicable. The south side of the plant and the soil line shall be marked with paint or marking crayons. When transplanted the plant shall be placed in the same orientation it was exposed to before harvesting.

The top half of prickly pear pads shall be cut from the mother plant. Before replanting, cactus roots on the mother plant and the cut prickly pear pads shall be allowed to dry in a shaded, ventilated location for at least two weeks but no more than six weeks. Cactus of other species and other salvaged plants shall be planted as soon as possible but no more than one week after harvest.

Salvaged plants shall be placed into well-drained soil, preferably in areas that have been disturbed by construction activities and along closed access roads. The soil in the planting areas shall be tested before planting by filling a planting hole with water. If the water drains within four hours, the site is suitable.

The cactus plants shall be placed into the planting hole at their original orientation and planting height to avoid sunburn and stem decay. The bottom one-third of the cut prickly pear pads shall be covered with soil, with the pads oriented so that their broad sides face east and west. The planting holes shall be backfilled with native, unamended soil and the air in the soil worked out by gently moving the soil with a rod or pole. The plants shall be watered in at the time of planting; no further watering is required. Larger specimens shall be staked as necessary as determined by the Project Manager.

**02990 SUBMITTALS**

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Complete data and specifications for the results of the soil analysis, in-place soil density results, wood mulch (if use of other than the products specified is proposed) and accessories shall be submitted in accordance with the procedure set forth in Section 01340.

**Table I**  
**PROJECT SUMMARY INCLUDING APPROXIMATE MINE OPENING**  
**DIMENSIONS AND MINE FILL VOLUME ESTIMATES**

The approximate mine opening dimensions and mine fill volume estimates are provided only for the information of the potential Bidder. The Abandoned Mine Land Program makes absolutely no guarantee of their accuracy or precision. Volume estimates are of the material that may be required to fill the mine cavities and adjacent areas as indicated, including an allowance for shrinkage, irregularities and known underground mine voids. All mine features are irregular in shape. Estimates of mine fill volumes are generally not indicated at structural closures; excavation, fill and other earthmoving activities there are considered incidental to the work.

**At sites with construction time restrictions, allowable work periods are italicized below. Work outside the specified periods shall take place only with the written permission of the Project Engineer.**

AML NUMBER	MINE OPENING	VOLUME (C.Y.)	WORK REQUIRED/COMMENTS
S01	Main Shaft	NA	Construct Reinforced Concrete Plug <i>Any time after removal of headframe; Install survey marker at surface</i>
S02	Vent Shaft	NA	Excavate and Construct Reinforced Concrete Plug <i>Any time; Install survey marker</i>
S03	Vent Shaft	NA	Excavate and Construct Reinforced Concrete Plug <i>Any time; Install survey marker</i>
S04	Vent Shaft	NA	Excavate and Construct Reinforced Concrete Plug <i>Any time; Install survey marker</i>
S05	Vent Shaft	NA	Excavate and Construct Reinforced Concrete Plug <i>Any time; Install survey marker</i>
7	Mine Spoils	3,410	Excavate Mine Spoils, Place as Bottom Layer in Gully, <i>After Disposal of All Obstructions</i>
8	Re-Contouring Fill	11,550	Excavate Borrow and Grade Site to Plan Lines and Specs, <i>After Excavation of Mine Spoils</i>
RS01-08	Rock Sills	1,342	Supply and Install Rock Sills and Filter Fabric per Plans, <i>As Re-Contouring is Completed</i>
<b>TOTAL</b>		<b>16,302</b>	<b>yards</b>

**END OF DIVISION 2**

## **DIVISION 3 – CONCRETE**

This work shall consist of furnishing and placing Portland cement concrete to construct cast-in-place concrete caps over vertical and near vertical mine openings and footings, in substantial compliance with the specifications and the lines, grades, and dimensions shown on the plans or established by the Project Manager. This work includes excavation of footings, furnishing and installation of forms, reinforcing steel, and concrete.

This work shall consist of grouting as indicated on the drawings. Unless otherwise specified all grouting shall be done with non-shrink grout.

### **03001 – GENERAL REQUIREMENTS**

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All cast-in-place concrete shall be accurately formed, properly placed, and finished as indicated on the drawings and as specified in this section.

At least twenty-four (24) hours in advance, the Contractor shall inform the Project Engineer and Project Manager of the times and places at which he intends to place concrete. No concrete shall be placed without prior examination of the foundation conditions, formwork, and steel reinforcing by the Project Engineer or Project Manager.

All concrete work shall conform to all requirements of ACI 301, Specifications for Structural Concrete for Buildings, except as modified by the requirements below.

### **03010 - CONCRETE MATERIALS**

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#### **I. Materials**

##### **A. Cement**

All cement used in concrete shall be Portland cement conforming to all requirements of ASTM C150, Type II, low alkali. High-early-strength Type III Portland cement may be used in concrete at the Contractor's option. When Portland cement is delivered in packages, the name and brand of the manufacturer and the type shall be plainly identified thereon. When cement is delivered in bulk, the same information shall be contained in the shipping invoices accompanying the shipment. A bag shall contain 94 pounds net weight and will be considered equal to one cubic foot. A barrel shall consist of 376 pounds net weight and will be considered equal to four cubic feet. The Contractor shall obtain from the manufacturer and furnish a certificate of compliance stating that the cement delivered to the work complies with the requirements herein provided. To prevent deterioration after delivery, cement and aggregates shall be stored as to prevent intrusion of foreign matter. Any material that has deteriorated or has been contaminated shall not be used for concrete.

## B. Admixtures

Admixtures shall conform to ASTM C494. Sugar, calcium chloride or admixtures containing chloride from other than impurities from admixture ingredients will not be permitted. Air entraining admixtures shall be required and shall conform to ASTM C260. Water reducing admixtures may be used and shall conform to ASTM C494 or ASTM C1017.

## C Curing Compounds

Liquid membrane-forming compounds for curing concrete shall conform to the requirements of ASTM C309.

## D. Water

Water for concrete shall be clean and free from harmful amounts of acids, alkalis, oils, organic materials, salts, sand, sewage, or other deleterious substances and shall be furnished by the Contractor. Water shall be potable and shall have a pH value of not less than 4.5 nor more than 8.5 as determined by AASHTO T26 before its use. The sulfate content as SO<sub>4</sub> shall not exceed one thousand parts per million (1,000 ppm).

## E. Fine Aggregate

1. General Characteristics. Fine aggregate shall consist of natural sand, manufactured sand, or a combination thereof, or other accepted inert materials composed of clean, durable, hard, uncoated, well-rounded grains.

2. Grading. Fine aggregate shall be well graded and, when tested by standard laboratory sieves, shall conform to the following:

Sieve (ASTM E11)	Percent Passing by Weight
3/8-in.	100
No. 4	95 to 100

The fine aggregate shall have not more than 45 percent passing any sieve and retained on the next consecutive sieve of those shown above, and its fineness modulus shall be not less than 2.3 nor more than 3.1.

3. Deleterious Substances. The maximum percentage of deleterious substances shall not exceed the following limits:

Clay lumps	3.0% by weight
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Material finer than No. 200 sieve	3.0% by weight
Coal and lignite	1.0% by weight
Other deleterious substances	1.0% by weight

All fine aggregate shall be free from harmful amounts of alkali and organic impurities.

4. Soundness. Fine aggregate shall conform to the requirements of magnesium sulfate soundness of ASTM C33. The maximum loss in five (5) cycles shall not exceed 12 percent by weight.

#### F. Coarse Aggregate

1. General Characteristics. Coarse aggregate shall consist of natural gravel, crushed gravel, crushed stone, or crushed hydraulic-cement concrete, or a combination thereof, or other accepted inert materials having clean durable, hard, strong pieces; free from adherent coatings; and conforming to the requirements of these Specifications. Fifty percent by weight of the minus  $\frac{3}{4}$  inch sieve size particles shall have a minimum of two fractured faces.

2. Grading. Coarse aggregate shall be well graded between the limits specified and shall conform to the following requirements:

Sieve	Percent Passing by Weight
1-in.	100
$\frac{3}{4}$ -in.	95 to 100

3. Deleterious Substances. The maximum allowable percentage of deleterious substances and physical properties shall not exceed the following limits:

Soft fragments	2.0% by weight
Clay lumps	0.25% by weight
Material finer than No. 200 sieve	1.0% by weight
Coal and lignite	0.25% by weight

4. Sampling and Testing. Methods of sampling and testing the coarse and fine aggregate shall be in accordance with ASTM C33.

## II. Concrete Mix Design

Structural concrete for concrete footings and collars for steel bat cupolas and for other shaft bat compatible and airflow closures shall be made with aggregates and cement conforming to a minimum compressive strength of 3,500 pounds per square inch (psi) after 28

days. The concrete shall contain a minimum of 611 pounds of cement (6.5 bags) per cubic yard and a maximum water/cement ratio of 0.49. Fine aggregate shall be not less than 38 percent or more than 42 percent by weight of the mix.

All concrete shall have an entrained air content between 4 percent and 8 percent by volume when determined with the requirements of ASTM C231.

### III. Mixing Concrete

If the concrete is mixed on the site, equipment and mixing procedures shall conform to ACI 301. All concrete shall be thoroughly mixed in a batch mixer of an accepted type and capacity for not less than two minutes after all the materials including water have been placed in the drum. During mixing, the drum shall be operated at the speed specified by the manufacturer of the equipment. The entire contents of the mixer shall be discharged before being recharged, and the mixer shall be cleaned frequently. The concrete shall be mixed only in such quantities as are required for immediate use. No retempering of concrete will be permitted. Hand mixed concrete will not be permitted except by special acceptance of the Project Engineer.

### IV. Ready-Mixed Concrete

At the option of the Contractor, ready-mixed concrete may be used instead of concrete mixed at the job site. Ready-mixed concrete shall conform to all requirements of ASTM C94 and these Specifications as to grading of aggregates, strengths, consistency, and so on. The Project Manager shall have free access to the mixing plant at all times. Ready-mixed concrete shall be continuously mixed from the time the water is added until the time of use. Concrete shall be delivered to the site of the work, and discharged from the truck mixer or truck agitator shall be completed within one hour after the cement contacts the mixing water or with aggregates that are surface wet. The organization supplying ready-mixed concrete shall have sufficient plant and transportation facilities to assure continuous delivery of concrete at the required rate.

### V. Proportioning

The proper proportioning of aggregates and cement will be determined by an acceptable independent testing laboratory at the expense of the Contractor. The proportioning of aggregates will be the most suitable combination of aggregates that will give the necessary workability and desired consistency when mixed with water and cement as specified. The ratio of cement to dry, fine aggregate shall be that necessary to provide the maximum amount of density of the mixture when used with the minimum amount of water required to produce the specified slump in the resulting concrete. This determination of the proper ratio shall be made by testing laboratory, at the expense of the Contractor, using representative samples of the aggregates which will be used, and before use shall be reviewed by the Project Engineer. The batch proportions used shall be such that full bags of cement are used in each batch.



## VI. Consistency

The consistency for concrete shall be kept uniform for each class of work and shall be checked by means of slump tests. The slump for concrete shall be not less than two inches and not more than four inches. The consistency of the concrete shall be varied as directed by the Project Engineer or Project Manager. If through accident, intention, or error in mixing, any concrete is too wet, such concrete shall not be incorporated in the work, but shall be discarded as waste material at an accepted disposal area.

## VII. Placing Concrete

Where indicated, mine openings to be closed with a cast-in-place footings and steel structures and cast-in-place concrete caps shall be excavated to competent bedrock or founded on clean, durable existing concrete. The Contractor is responsible for site inspections, testing or exploration necessary to insure that the bid adequately reflects excavation conditions including hand trimming and leveling required.

The surface of hardened concrete upon which fresh concrete is to be placed shall be rough, clean, sound, and damp. The hardened surface shall be cleaned of all laitance, foreign substances (including curing compound), washed with clean water, and wetted thoroughly preceding placement of fresh concrete.

Concrete shall be handled from the mixer to the place of final deposit as rapidly as possible by methods that prevent separation or loss of ingredients. It shall be deposited as nearly as practicable in its final position to avoid rehandling. It shall be deposited in continuous layers, the thickness of which generally shall not exceed 12 inches.

The rate of depositing concrete in forms shall be controlled to prevent deflection of the form panels. The concrete shall be thoroughly compacted by means of a suitable mechanical vibrator. Vibrating shall be supplemented with hand spading the concrete around the reinforcing steel.

The Contractor is cautioned that cold weather protection for concrete may be required should concrete be placed in the winter months. If cold weather concreting is done, it shall conform to the requirements of ACI 306R. No concrete shall be placed or be allowed to cure without protection in any weather where the temperature falls below forty degrees Fahrenheit (40° F) at any time during the daily 24-hour period. The period of time such protection shall be maintained shall be not less than seven days. If hot weather concreting is done, it shall conform to the requirements of ACI 305R.

Concrete shall have a temperature of at least 50°F and not more than 80°F at the time of placing. At no time during placement or curing shall the concrete surface temperature be allowed to fall below 40°F. Concrete shall not be placed on frozen ground. Frozen aggregate

shall not be used in concrete.

Finishes of concrete work shall be as specified in ACI 301.

#### VIII. Concrete Equipment

All concrete equipment used shall be of a type, capacity, and mechanical condition suitable for accomplishing all requirements of this work and all applicable local, state, and federal codes and regulations, both safety and otherwise. Equipment shall be maintained in first class operating condition at all times. Concrete equipment may include a mixer equipped with a mechanically operated paddle type agitator or equivalent. This may be accomplished by using a single or multiple batch bin system. A water meter shall be installed by the Contractor on water lines to permit accurate measurement of the quantity of water used in making the various mixes. The Contractor shall supply certificates of calibration for all gauges and meters used on this work. Water supply lines for mixing shall be routed for maximum protection and minimum traffic interruption. Facilities shall be provided by the Contractor to measure the proportion of aggregate, cement, sand, water and admixtures required in the design mix. In addition, the Contractor shall devise a system to accurately measure the volume of concrete delivered from the mixing plant or transportation vehicle per unit of time.

#### IX. Tests

For each 10 cubic yards of concrete or portion thereof placed, one sampling for compressive strength, consisting of a minimum of three cylinders shall be taken and paid for by the Contractor. Bagged concrete mix pre-approved by the project engineer is excluded from this requirement. All sample cylinders shall be taken at the same time: one cylinder to be used for a seven-day test and two for a 28-day test following standard lab curing. The Project Manager may require additional random samples, which will be done at EMNRD's expense.

An independent testing laboratory accepted by the Project Engineer shall make all tests of aggregates, cement, and concrete. Samples of concrete for specimens shall be taken at the mixer, or in the case of ready-mixed concrete, from the transportation vehicle during discharge in accordance with ASTM C172. Test cylinders shall be made and cured in accordance with ASTM C31. The test specimens shall be molded immediately after the sample is taken and then placed in a protected spot and kept under curing conditions similar to the conditions under which the concrete they represent is being cured. They shall be removed to the testing laboratory not sooner than six days after casting.

The testing of cylinders shall be in accordance with ASTM C39. A slump test shall be made of each 25 cubic yards or fraction thereof, of concrete placed, or at the direction of the Project Manager. Slump tests shall be in accordance with ASTM C143 and shall be paid for by the Contractor.

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**03100 - CONCRETE FORMWORK**

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Concrete structures shall be cast in place with proper formwork. The Contractor shall be fully responsible for reinstallation of concrete structures should forming materials and methods fail to adequately support the concrete. All cast-in-place concrete structures shall meet the tolerances for formed surfaces specified in ACI 301.

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**03200 - CONCRETE REINFORCEMENT**

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**03210 - REINFORCING STEEL****I. Bars**

Reinforcing steel bars shall be new billet steel conforming to ASTM A615, Grade 60.

**II. Placing Reinforcing Steel**

Reinforcing steel, before being placed, shall be thoroughly cleaned of heavy rust, scale or other coatings that will destroy or reduce the bond. A slight coating of rust will not be considered objectionable. Reinforcement shall be carefully formed to the dimensions indicated. It shall not be bent or straightened in a manner that will injure the material, including heating by a torch. Bars with kinks or bends not shown shall not be used. Reinforcing steel shall be accurately placed and secured against displacement by using annealed iron wire of not less than No. 18 gauge or suitable clips. The reinforcing steel shall be supported using bar supports to support the steel the proper distance above the bottom of the footings.

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**03250 - CONCRETE ACCESSORIES**

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Bar supports shall meet the requirements of CRSI Class C, plastic protected, or Class E, stainless steel protected.

A survey marker supplied by the Project Manager shall be set in each exposed cast-in-place footing, cap, or structure. At the location indicated by the Project Manager, the survey marker shall be cast in the structure or grouted by drilling a hole and grouting the cap in place using a non-shrink grout such as Moly Parabond, Quikrete, or Pour Rock, or approved equal. Alternately the survey marker may be fixed in the concrete structure using epoxy grout. For caps that are backfilled, a pipe monument as specified in Section 02890 and as shown on the drawings.

**03300 - CAST-IN-PLACE CONCRETE**

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**03370 - CONCRETE CURING**

All concrete, regardless of temperature, weather, or season, shall be allowed to cure (kept moist) for a period of not less than seven days after the concrete is poured. Curing will not be required longer than 72 hours only if high-early-strength concrete (Type III) is used.

The concrete in structures shall reach a minimum compressive strength of 3,000 psi before attachment of the steel structures or backfilling can occur, except for backfilling shallow edges of concrete caps. Backfill material shall be placed in maximum two-foot lifts and shall be placed in a manner which will prevent damage to the structures and which will allow these structures to assume the load from the fill gradually and uniformly. The material shall be compacted to a density of no less than what the backfill equipment is reasonably capable of obtaining to the satisfaction of the Project Manager.

**03600 - GROUTS**

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This section specifies grouting as indicated on the drawings.

**03610 - GROUT MATERIALS**

Nonshrinking grout	L&M Construction Chemicals “Crystex” or “Premier” or “Duragrout”, Master Builders “Masterflow 713 Plus” or “Masterflow 928” or “Set Grout”, Euclid “Hi-Flow Grout” or “N-S Grout”, “Five Star Grout”, or approved equivalent <sup>10</sup> , meeting the requirements of ASTM C1107, Grade C
Water	Clean and free from deleterious substances

**03620 - NONSHRINKING GROUT**

Nonshrinking grout shall be furnished factory premixed so only water is added at the job site. Grout shall be mixed in a mechanical mixer. No more water shall be used than is necessary to produce a flowable grout. The grout shall meet strength requirements of  $f'_c = 5,000$  psi.

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<sup>10</sup> Use of brand names is for the purpose of describing the standard of quality, performance and characteristics desired and is not intended to limit or restrict competition.

Grout shall be placed in strict accordance with the directions of the manufacturer so all spaces and cavities are filled without voids. Forms shall be provided where structural components will not confine the grout. The grout shall be finished smooth in all locations where the edge of the grout will be exposed to view after it has reached its initial set.

Nonshrinking grout shall be protected against rapid loss of moisture by covering with wet rags or polyethylene sheets. After edge finishing is completed, the grout shall be wet cured for at least seven days.

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**03990 - SUBMITTALS**

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Each proposed concrete mix shall be submitted in accordance with the procedure set forth in Section 01340, which submittal shall include the following information:

1. Slump on which design is based;
2. Total gallons of water per cubic yard;
3. Brand, type, composition and quantity of cement;
4. Specific gravity, source and gradation of each aggregate;
5. Ratio of fine to total aggregate;
6. Surface dry weight of each aggregate per cubic yard;
7. Brand, type, ASTM designation, active chemical ingredients, and quantity of each admixture; and
8. Compressive strength base on seven-day and 28-day compression tests.

Other submittals shall be made as required by ACI 301.

The Contractor shall submit manufacturer's data or catalog information, including placing and finishing recommendations, for the grout materials, curing compounds and coloring pigment furnished. Submittals shall be made in accordance with the procedure set forth in Section 01340.

**END OF DIVISION 3**

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## **DIVISION 13 - SPECIAL CONSTRUCTION**

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The following sections describe the special construction to be performed under this contract.

### **13050 - POLYURETHANE FOAM CLOSURES**

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The following section describes the polyurethane foam (PUF) closures to be installed in the specified mine features. The work consists of installing a bottom form, installing PUF to specifications, backfilling over the PUF to the specified level, and, where required, installing corrugated steel riser pipes with steel grates and ventilation/drainage pipes.

The Contractor shall inform the Project Engineer and Project Manager of the times and places at which PUF is to be placed at least three working days in advance.

### **13051 - Materials and Equipment**

Unless otherwise specified, polyurethane foam (PUF) shall have a minimum installed density of 1.85 pounds per cubic foot (p.c.f.). Machine-applied or poured-in-place PUF shall be equivalent to SWD Urethane Co. "SWD 425," North Carolina Foam Inc. "NCFI-811," Foam Concepts LLC, "EFS Equipment-less Foam Sealant", Mine Seal, LLC, "PUF-Seal" or Urethane Contractors Supply and Consulting "SES III 2.0 Pour." Bagged PUF shall be equivalent to Foam Concepts Inc. "EFS Equipment-less Foam Sealant" or Mine Seal, LLC "PUF-Seal,"<sup>11</sup> or approved equal.

PUF characteristics shall conform to the following standards:

<b>PUF CHARACTERISTIC</b>	<b>STANDARD</b>	<b>SPECIFICATION</b>
Density	As specified	ASTM D1622
Closed Cell Content	>85%	ASTM D6226
Compressive Strength	25 psi minimum	ASTM D1621
Water Absorption	0.2 lbs./sq. ft. maximum	ASTM D2842
Exothermic Reaction Rate	Low	-
Fire Resistance	High	-

PUF used in mine closures shall not contain chlorinated fluorocarbons (CFC's) or hydrochlorofluorocarbons (HCFC's).

The proportioning unit shall be capable of attaining a minimum temperature of 125°F and shall be a Gusmer Model H-11 or equivalent<sup>1</sup>. For remote project locations, or with the approval

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<sup>11</sup> Use of brand names is for the purpose of describing the standard of quality, performance and characteristics desired and is not intended to limit or restrict competition.

of the Project Manager, smaller capacity proportioners will be acceptable. In this event the proportioner shall be the Gusmer FF or equivalent.

Minimum heated hose length from proportioner to gun shall be 80 feet. The hose shall maintain or increase component temperature from the proportioner. Longer heated hose lengths may be required depending upon the distance from the proportioning unit to the reclamation site. Approval of the Project Manager is required for the use of any length of unheated hose on a PUF closure.

The application gun shall be capable of mixing plural components in the proper ratio at the minimum acceptable output of four pounds per minute. The gun shall be a Gusmer AR mechanically self-cleaning design or equivalent. Application guns constructed by individuals or manufacturers not typically used in the PUF industry may be used if warranted by the PUF supplier or manufacturer.

For poured foam, separate component measuring and mixing containers shall be used. Each component shall be assigned a specific measuring container, each marked with a predetermined volume level corresponding to the required mix ratio. The components shall always be measured in the same quantities, the components added in a separate container, and thoroughly mixed using an appropriate mixing device. In all cases, measuring and mixing of poured PUF shall be done in strict accordance with manufacturer's recommendations, including maintenance of recommended temperatures of the components for mixing and placement. The Contractor shall supply a proper thermometer and use it to check each mixed batch.

The manufacturer shall package bagged foam with pre-measured amounts of each component.

Foam shall be used prior to the end of the manufacturer's designated shelf life.

Corrugated steel pipe used for access to or venting of the mine shall be as specified in Division 2. Unless otherwise indicated, corrugated steel pipe shall consist of 14 or 16 gauge galvanized steel pipe with helical or annular corrugations. The pipe shall be free of rust, gaps in seams, holes in the wall, and deformations that reduce the inside diameter by more than two inches.

### **13052 - Material Safety, Handling and Transport**

Materials shall be stored in accordance with the manufacturer's recommendations. All safety precautions outlined by the Polyurethane Division of the Society of Plastics Industries, NFPA, OSHA, EPA, and the manufacturer's Material Safety Data Sheets (MSDS) shall be observed. MSDS and technical data sheet shall be on-site and available at all times.

There shall be no welding, smoking, or open flames within 25 feet of PUF application. A minimum 15-pound, class ABC, fire extinguisher shall be on site during foam application.



Workers wearing organic respirator masks and safety glasses or goggles shall apply PUF. State or federal regulations requiring additional safety equipment shall supersede these requirements.

The Contractor shall follow all applicable state and local regulations for the transport and use of PUF and chemicals required for cleanup. The Contractor shall obtain any required permits for transportation. In the event of a component leak or spill, the Contractor shall notify the appropriate agencies and jurisdictions.

An oxygen meter shall be used to test air before and during installation of the bottom forms or any other work inside a mine opening. The oxygen meter shall be a National Mine Service (NMS) OX231 oxygen meter or equivalent. The oxygen meter shall continuously monitor oxygen levels and have an audible warning. If the oxygen level falls below 19 percent, all personnel shall withdraw from the working area in the mine until the oxygen content increases to safe levels.

Any remedy for increasing oxygen content of the working area or providing ventilation from the surface shall be determined in consultation with the Project Manager.

### **13055 - Execution**

Debris, dirt, and loose rock in the mine opening shall be cleared wherever PUF will be installed. Historic debris shall be placed neatly to the side of the completed opening. Trash shall be taken to permitted landfill or transfer station. No mine equipment such as skips or carts shall be embedded in PUF.

#### **I. Formwork**

The bottom form and cross members may consist of any commonly available building materials capable of sustaining an initial lift of two to four feet of PUF. Acceptable cross member materials include, but are not limited to, reinforcing steel, 2x4's, dowels, cardboard tubes, and fabric air-inflated plugs. Acceptable bottom form materials include, but are not limited to, plywood, cardboard, paneling, and carpeting. Any combination of the above materials will be acceptable. Alternate bottom forms shall be reviewed with the Project Manager prior to use.

The formwork shall be installed at that level specified in the closure drawings or as directed by the Project Engineer following uncovering by the Contractor of the existing conditions within the mine opening. Unless otherwise indicated, cross members may be placed at an angle no greater than 20 degrees from the horizontal as long as both ends are seated in competent rock. The bottom form shall be set over the cross members.

All bottom forms shall be completed prior to the application of any polyurethane foam. The Contractor shall provide the Project Manager with a list of installed depth to bottom forms for polyurethane foam closures. Any breach in the bottom form caused by rock fall or other reason shall be repaired prior to the arrival of PUF applicators at that site. The Contractor shall be responsible for the integrity of the bottom form and the loss of any polyurethane should it fail.

## II. Ventilation/Drainage Pipe and Corrugated Steel Pipe

The ventilation/drainage pipe shall consist of a six-inch diameter Schedule 40 PVC or similar gauge HDPE pipe. The ventilation/drainage pipe shall be cut with a hacksaw across the circumference to create slits no longer than three inches and no less than ¼-inch wide at six-inch increments. Only the portions of the pipe exposed to common fill, granular fill, and lightweight aggregate fill shall be slit.

Four to twelve inches of the ventilation/drainage pipe shall extend above the finish grade, except where otherwise indicated. The six-inch PVC or HDPE pipe shall be encased in an eight-inch steel sleeve in the portion exposed above grade and for two feet below grade, except where otherwise indicated. The annular area shall be filled with concrete or grout.

The ventilation/drainage pipe and corrugated steel pipe for access shall be placed over a portion of the bottom form unobstructed by cross members. In shafts with more than one compartment, the access pipe shall be placed in one of the outside compartments, or as directed by the Project Manager. Both pipes shall be open to the underlying mine void after installation of the foam and shall be supported by a tripod or other load-bearing device such that the load is not placed on the bottom form. Any welding that takes place above the PUF closure shall take place prior to placement of PUF in the mine opening or after installation of the backfill. Under no circumstances shall welding take place over exposed PUF.

The slits made for drainage in the ventilation/drainage pipe shall be covered with visqueen or polyethylene tape during foam application. After application of PUF the visqueen or tape shall be removed exposing the slits. Any foam covering the slits shall be removed to allow an unobstructed flow of water into the pipe.

The corrugated steel pipe shall have PUF covering the outside of the pipe at least two inches thick in the common fill section of the PUF plug. Polyurethane foam may be draped or splashed against the culvert during foam installation to achieve this coverage.

Steel strap with a width greater than two inches shall be welded to the steel sleeve across the opening of the ventilation/drainage pipe in such a manner as to prevent rocks with a dimension greater than two inches from being dropped down the pipe. As an alternative, steel grating as specified in Division 5 may be cut to fit the opening across the ventilation/drainage pipe and welded in place.

## III. Polyurethane Foam (PUF)

The depth of polyurethane foam installed to plug a shaft or stope opening shall be as specified or indicated in the contract documents or as directed by the Project Engineer.

In large pours, PUF can get hot enough to actually melt and even burn. This may leave a hollowed out plug or “eggshell” that has very little strength. The remaining foam will be cracked and discolored, very similar to severe UV damage.

Polyurethane foam shall be installed in lifts with a maximum rise of 18 inches. The lifts shall be installed no sooner than 20 minutes apart (and no sooner than 30 minutes apart for ambient air temperatures above 84°F) and have a maximum lift height of three vertical feet per hour. Installed PUF lifts shall pass through the tack free stage before applying the next lift. At no time shall sprayed or poured PUF cut into the rising foam. The PUF shall be applied in such a manner that the entire void is filled, that shadow zones or voids are not created during PUF application, and that temperatures are not raised to unsafe levels.

The Project Manager may use an infrared non-contact thermometer to monitor exothermic generation. If the ambient air temperature is below 60F, extra time will be required to allow the PUF to fully expand and may prevent each lift from reaching a full height of 18 inches. Every degree of ambient air temperature over 65°F adds at least two degrees to the temperature of the rising foam. Ambient air temperatures above 90°F can cause problems with PUF formation. PUF application shall cease if heating or off-ratio foam is observed. The Contractor shall remedy off-ratio foam and demonstrate proper quality PUF to the Project Manager before application resumes. The surface temperature should reach a plateau and start to drop before resuming foam installation. If using bulk foam, reduce the quantity per bucket as the day heats up.

Bagged or poured-in-place polyurethane foam shall be placed in strict accordance with the manufacturer's recommendations, including the need for thorough mixing of components. If required by the Project Engineer, the manufacturer of bagged or poured-in-place polyurethane foam shall provide a certified representative experienced in the placement of their product for a minimum of one eight-hour day. This representative will direct field operations and instruct the Contractor in the proper mixing, placement, and safety procedures for bagged or poured-in-place PUF.

The surfaces of the void to be filled shall be as free as possible of grease and standing water. PUF shall not be applied to surfaces with running water. Remedial action for such situations shall be reviewed with the Project Manager. Polyurethane foam shall not be applied directly to a debris plug, but shall be applied to a bottom form of known physical and chemical properties. PUF shall not be applied during rain unless the foam is fully protected from interaction with water by a physical barrier.

If off-ratio PUF is observed, the applicator must stop, correct the imbalance, and continue application with the proper ratio PUF. Correction and determination of the foam ratio shall be done on a plastic sheet away from the work area. Any lift of off-ratio PUF comprising over two percent of the intended PUF column heights shall be removed. An amount of off-ratio PUF less than two percent of the specified volume may remain if allowed to cool and if the outer perimeter of off-ratio PUF is removed. If off-ratio foam comprises more than 10 % of the specified PUF volume, five percent of the price bid for the site will be deducted as a penalty.

The Contractor shall be responsible for lost or damaged equipment. Damages or claims arising from PUF overspray shall be the responsibility of the Contractor. Under no circumstances shall foreign material be placed in the PUF material unless specifically specified or authorized by the Project Manager. Non-PUF materials shall be non-toxic and non-hazardous and shall not compromise the strength or water saturation characteristics of the PUF.

Upon reaching the specified grade level for application of PUF, the Contractor shall undertake cleanup of PUF operations.

#### IV. Field Quality Control

The Project Manager will make periodic checks of the quality of PUF applied. The principal check on quality will be visual. Acceptable PUF shall be tan-white to buff in color with no vesicles and a smooth to coarse orange peel surface. Any one of the following conditions shall cause PUF application to cease and efforts to correct the off-ratio condition begun.

<u>Condition</u>	<u>Possible Cause</u>
Dark PUF color Smooth and Glassy Friable or Brittle PUF Improper Density	Excess A Component
Light in Color to White Bad Cell Structure Mottled Appearance Blowholes or Pinholes	Excess B Component

<u>Condition</u>	<u>Possible Cause</u>
Slow Rise	Bad Material
Poor Cell Structure	
Frequent Equipment Clogging	
Slow Curing	
Poor Physical Properties	
Air Bubbles on Surface	Pouring Too Fast Between Lifts
Tension Cracks on Surface	
Excessive Air Bubbles	

At any time during PUF application the Project Manager may call for a density test. The Contractor shall provide and fill a container for this purpose and the sample will be tested for density. The density of the sample shall be within the range of 1.85 to 3.00 pounds per cubic foot. Density tests indicating that PUF installed is not within the minimum specified range shall cause corrective action resulting in PUF within the acceptable nominal range, less deviation due to barometric pressure changes from Standard Temperature and Pressure.

The Contractor shall conduct density tests of PUF at no additional expense to EMNRD. At the discretion of the Project Manager, density tests showing PUF in the acceptable range will be taken in the center of the cavity to which PUF is being applied. A sampling box constructed of sheet aluminum and lined with polyethylene shall be lowered into the cavity to take a representative sample of PUF just above the level of installed polyurethane.

At the option of the Project Manager, up to three one-cubic-foot samples of PUF may be taken from the job site for density analysis at the Contractor's expense. In addition, at the option of the Project Manager, up to three samples of up to 100 cubic inches in volume may be taken for on-site tensile strength testing at the Contractor's expense. PUF shall be provided for the samples at no additional cost to EMNRD.

#### V. Backfilling

To protect the PUF from vandalism if the site is to be left unattended, two to six inches of fill shall be uniformly shoveled over the foam as soon as possible after the last layer of PUF has solidified. No sooner than 96 hours after PUF application, the remaining void above the PUF plug shall be backfilled. The first two-foot lift of fill shall be placed by hand, bucket, or chute to lower the velocity of impact against the PUF. With approval of the Project Manager, this fill may be placed by streaming from heavy equipment such as a loader bucket. The depths and types of fill over the PUF shall be as indicated or specified in the contract documents or as directed by the Project Manager. Unless otherwise indicated, the minimum cover shall be 24 inches of common fill.

Unless otherwise specified or directed by the Project Manager, common fill above polyurethane foam closures shall be nearby cohesionless mine waste material or other nearby

cohesionless material with no pieces larger than six inches in diameter, free of debris or trash, and containing no materials classified as toxic or hazardous.

Fill above the polyurethane foam closures shall be placed in a manner that will prevent damage to the polyurethane foam plug and riser pipes and will allow these structures to assume the load from the fill gradually and uniformly.

The use of riding vibratory compaction equipment shall be prohibited above polyurethane foam closures and vibrations due to other construction equipment operations shall be kept to a minimum in these areas. With care and for the minimum acceptable period of time, small walk-behind compaction equipment, such as rammer tampers, may be used in these areas.

#### VI. Survey Caps

As described in Division 2, a steel pipe with grouted survey cap shall be installed near the ventilation/drainage pipe. Where the PUF/interface is less than five feet below finish grade, the pipe shall be set in a concrete footing, which shall be at least one foot in diameter and extend from the PUF/fill interface to a height of two feet. The upper six inches to one foot of pipe shall extend above grade. Where the PUF/fill interface is more than five feet below the finish grade, a six-foot long pipe shall be used. The lower two feet of pipe shall be set in concrete a minimum of one foot in diameter and the upper six inches to one foot of pipe shall extend above grade.

Alternately, the Contractor may drill and grout the cap in undisturbed, competent rock or concrete at or immediately adjacent to the feature.

#### VII. Cleanup

The Contractor shall clean the site of all PUF fragments and overspray. PUF overspray greater than 1/8-inch thick on timbers or historic materials shall be scraped or ablated to 1/8 inch minus to permit ultraviolet degradation of oversprayed polyurethane. Tools and equipment shall be cleaned in such a manner as to avoid injury to vegetation or wildlife. Handling of chemicals used in cleanup shall comply with all applicable local, State and Federal regulations.